و.	PUBLIC MATTER				
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2	NOV 0 3 2003				
2	STATE BAR COURT CLERK'S OFFICE				
4	SAN FRANCISCO THE STATE BAR COURT				
5	HEARING DEPARTMENT - SAN FRANCISCO				
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8	In the Matter of ) Case No. 02-O-10454-JMR				
9	) MARK L. WEBER, ) DECISION INCLUDING DISBARMENT				
10	) RECOMMENDATION AND ORDER OF Member No. 207644, ) INVOLUNTARY INACTIVE				
11	A Member of the State Bar.				
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13	I. <u>INTRODUCTION</u>				
14	The Office of the Chief Trial Counsel of the State Bar of California (State Bar) was				
15	represented by Tammy M. Albertsen-Murray. Respondent Mark L. Weber represented himself.				
16	Initially, Respondent was represented by counsel whose motion to be relieved was granted on				
17	April 21, 2003.				
18	After considering the matter, the court recommends that Respondent be disbarred.				
19	II. SIGNIFICANT PROCEDURAL HISTORY				
20	Although properly noticed, Respondent failed to participate in the proceedings since May				
21	5, 2003.				
22	Respondent was advised of the July 8, 2003 trial date by a status conference order filed				
23	and properly served on him on May 27, 2003. <sup>1</sup> This order also advised him about a pretrial				
24	conference to be held on June 30, 2003, and that a pretrial statement would be due on June 25,				
25	2003. On June 18, 2003, the State Bar properly served Respondent with a notice in lieu of				
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27 28	<sup>1</sup> Respondent did not appear at the May 27, 2003, status conference although he had been notified of this appearance at the May 5, 2003, status conference in which he participated and then was properly served with a status conference order confirming it.				

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subpoena to appear at trial on July 8. The State Bar's pretrial statement, properly served on Respondent on June 25, 2003, also noted the July 8 trial date and stated that Respondent's disbarment would be sought. In a minute order filed and properly served on Respondent on June 30, 2003, he was advised that his default would be entered if he did not appear at trial on July 8. 2003, at 9:00 a.m., and that the trial would proceed without him and all of the facts stated in the Notice of Disciplinary Charges would be deemed admitted. Respondent was not present at the June 30, 2003, pretrial conference.

8 Respondent did not appear on the day of trial, July 8, 2003. The court telephoned him. 9 After stating that his default could be entered, Respondent hung up the telephone. A notice of 10 entry of default was filed on that same date and properly served on Respondent by certified mail. 11 return receipt requested, at his membership records address. This notice also advised him of the 12 court's order enrolling him as an inactive member of the State Bar pursuant to Business and 13 Professions Code section 6007(e) effective three days after service of the order.

14 The matter was submitted for decision on August 4, 2003, after the State Bar filed a 15 closing brief. The State Bar's exhibits 1 - 11 and exhibit 1 attached to the closing brief are 16 admitted into evidence.

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### III. FINDINGS OF FACT AND CONCLUSIONS OF LAW

18 The court's findings are based on the allegations contained in the Notice of Disciplinary 19 Charges as they are deemed admitted and no further proof is required to establish the truth of 20 those allegations. (Bus. & Prof. Code, § 6088; Rules Proc. of State Bar, rule 200(d)(1)(A).) The 21 findings are also based on any evidence admitted.

22 A.

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# Jurisdiction

Respondent was admitted to the practice of law in California on June 13, 2000, and has 24 been a member of the State Bar at all times since.

25 В. **Findings of Fact** 

26 Commencing in the summer of 2000, Respondent worked as an associate attorney at the 27 law offices of Christopher L. Dolan.

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Dolan was the only signatory on his office's general business account. In March 2001, as

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was his monthly custom and practice, Dolan reviewed the checks that had cleared the account during the previous month. He discovered the following six checks that he had not written or authorized anyone else to write:

4	<u>Check No.</u>	Date	Amount	<b>Payee</b>
5	10652	1/26/01	\$ 350	Mark L. Weber
6	10653	1/18/01	\$ 300	Mark Weber
7	10738	2/5/01	\$ 435	Mark Weber
8	10778	2/8/01	\$ 500	Mark Weber
9	10782	2/12/01	\$ 275	Mark Weber
10	10783	2/14/01	\$ 180	Mark Weber

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12 Each of these checks was negotiated by "Mark L. Weber."

On each of the checks, Respondent indicated the name of a then-current Dolan client,
knowing that, if his deceit was not discovered, the amount of the checks would be attributed to
the clients' accountings and withheld from them as costs.

In early March 2001, Dolan confronted Respondent about these checks. Initially,
Respondent denied having stolen and written the checks but then admitted it. Respondent denied
Dolan's specific inquiry about whether his misconduct was in any way related to substance abuse
or addiction.

On March 13, 2001, Respondent signed a statement admitting the above-described
 misconduct and agreeing to certain conditions, such as restitution and not handling any of the
 firm's money or checks. Dolan decided to give Respondent another chance to redeem himself.

Dolan took steps to prevent Respondent from stealing other checks and to protect the integrity of the firm's clients and financial dealings. He directed that all checks were to be locked in the office safe and that no checks were to be left unattended.

Despite Dolan's efforts to protect the firm's checks, Respondent converted to his own use office check number 10826 in the amount of \$203, made out to Alameda Superior Court. This check was to be used as a filing fee for one of Dolan's client's cases. Respondent crossed out the

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name of the payee and inserted his own name and changed the date of the check from February 26, 2001, to April 26, 2001. The check was attributed to a client's file. It was negotiated by "Mark L. Weber."

In July 2001, as was his monthly custom and practice, Dolan reviewed the checks that had cleared the account during the previous month. He discovered the altered check and the following three checks that he had not written or authorized anyone else to write:

7	<u>Check No.</u>	Date	<u>Amount</u>	Payee
8	111262	4/25/01	\$ 270	Mark Weber
9	11585	6/21/01	\$ 873	Mark Weber
10	11617	6/26/01	\$ 543	Mark Weber

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12 Check no. 111262 was attributed as a cost to one of Dolan's clients. All of these checks were
13 negotiated by "Mark L. Weber."

14 Following his review of the July 2001 bank statement and his discovery of the checks 15 stolen, altered and forged by Respondent, Dolan again confronted him. Respondent admitted 16 having stolen and forged the checks, noting that these were checks that were in his possession at 17 the time of the March confrontation with Dolan. He begged Dolan to give him one more chance 18 to redeem himself. Respondent denied Dolan's inquiry about whether his misconduct was in any way related to substance abuse or addiction or other problems. Dolan offered to support his 19 20 rehabilitation. Respondent only noted that his girlfriend had a drug problem and that she was 21 leaving California, thus allowing him to get his life back on track.

Dolan agreed to give Respondent another chance. On August 31, 2001, Respondent again acknowledged in writing that he stole, altered and forged the checks and agreed to other conditions for continuing in Dolan's employment, including having funds reduced from his paycheck in order to make restitution.

On October 2, 2001, Nerissa Sarmiento, Dolan's bookkeeper, received a telephone call
from Shirley Bozdeck, Respondent's landlord. Bozdeck told Sarmiento that she had received
from Respondent check number 11414 from Dolan's office, dated September 22, 2001, for

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\$5800, made payable to Bozdeck for "back rent." According to Bozdeck, Respondent said that Dolan had written this check for him. However, her bank refused to honor the check, noting that it seemed to have been altered in at least four places.

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Sarmiento's research of the Dolan account's records indicated that check number 11414 had originally been made payable to a client's lienholder, Humana Hospital, for \$2800. Bozdeck returned the check to Dolan's office. The original of the check had been altered using correction fluid and then Bozdeck's information had been typed in.

8 Dolan again confronted Respondent, who initially denied stealing and altering the check 9 but then admitted it. He also admitted to retaining the check for many months and to knowing 10 that the check was written to a client's lienholder. Respondent again denied having a chemical or 11 other addiction problem. Respondent explained that he had bills to pay and that, despite his 12 salary in excess of \$100,000 annually, he felt that his contributions deserved additional pay. 13 Dolan informed Respondent that his employment was effectively terminated and that Dolan would be contacting the police. He allowed Respondent to remain employed pending finding a 14 15 replacement and transferring the files to that person. Dolan cautioned the firm's employees to protect the firm's checks in order to prevent further thefts during the transition period. 16

17 Respondent obtained more of Dolan's firm checks, specifically 11997, 11999 and 12000.
18 These checks were contained in a trial binder to be used for trial-related expenses in the matter
19 entitled *Reardon v. US Trust*. Respondent had no interest in and had done no work on the
20 preparation of this case, which wound up settling before trial. The trial materials, including the
21 three blank expenses checks, were separated in Dolan's office for storage.

Shane Woodward, Dolan's office manager, brought the checks to Dolan's attention after
conducting the monthly bank statement review in December 2001. He discovered that check
number 11997 was dated November 10, 2001, made out to Mark Weber for \$400. Check
numbers 11999 and 12000 were dated November 23, 2001, made out to Mark Weber for \$500
and \$520, respectively. All checks were negotiated by "Mark Weber." Each check bore a forged
version of Dolan's signature. Dolan did not authorize the issuance of any of these checks to
Respondent or for any purpose other than matters related to the *Reardon* trial.

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1 On the same date these forged checks were discovered, December 13, 2001, Dolan 2 confronted Respondent again in the presence of Woodward and Sarmiento. Respondent admitted 3 to stealing, forging and converting the checks for his own use. He apologized for the theft, again 4 explaining that he had bills to pay and that he needed the money. He was formally terminated 5 from Dolan's employment and the police were called. Respondent was arrested at the Dolan law 6 firm's office. In Respondent's presence, Dolan gave the police a document setting forth the other 7 incidents in which Respondent had stolen from the office. Dolan also reported Respondent's 8 actions to the State Bar.

9 On December 27, 2001, Respondent again negotiated a Dolan office check. This check
10 was drawn on Dolan's client trust account made payable to and negotiated by Mark Weber for
11 \$275.

Criminal charges are pending against Respondent based on the aforementioned conduct. (*People v. Weber*, San Francisco Superior Court case no. 2057679, filed July 12, 2002.) He is charged with felony counts of violating Penal Code sections 475(a) and (c) (possessing, receiving or uttering forged paper), 487(a) (grand theft) and 508 (embezzlement).

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## C. Conclusions of Law

By stealing checks from Dolan, forging his signature and altering already-signed checks
to make them payable to himself or others for his benefit and by converting the proceeds of the
stolen checks, Respondent wilfully violated section 6068(a) of the Business and Professions
Code, by not supporting the United States and California Constitution and laws, specifically,
Penal Code sections 470, 475, 487 and 508.

By repeatedly engaging in this conduct, Respondent wilfully engaged in acts of moral
turpitude, dishonesty and corruption in violations of Business and Professions Code section
6106.

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#### IV. MITIGATING AND AGGRAVATING CIRCUMSTANCES

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## A. Mitigating Circumstances

There are no mitigating circumstances. (Rules Proc. of State Bar, tit. IV, Stds. for Atty.
Sanctions for Prof. Misconduct, standard 1.2(e) ("standards").)

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B.

## Aggravating Circumstances

Respondent's acts constitute a pattern of misconduct. (Standard 1.2(b)(ii).) In a 10month period (January - November 2001), he stole, forged and/or altered 14 checks, totaling approximately \$11,126.

Respondent's misconduct was surrounded by bad faith, dishonesty or other violations of the ethical rules. (Standard 1.2(b)(iii).) He again negotiated a Dolan office check, this time from the trust account, even after Dolan terminated his employment and had him arrested. He also lied to his landlord about the antecedents of the purported rent check.

9 Respondent demonstrated indifference toward the rectification or atonement for the
10 consequences of his misconduct. (Standard 1.2(b)(v).) Although he knew that clients or
11 lienholders would be detrimentally impacted by his dishonest acts, he proceeded in his course of
12 conduct.

Respondent's failure to participate in proceedings prior to the entry of default
demonstrates his contemptuous attitude toward disciplinary proceedings and his failure to
comprehend the duty of an officer of the court to participate therein, a serious aggravating factor.
(Standard 1.2(b)(vi); *In the Matter of Stansbury* (Review Dept. 2000) 4 Cal. State Bar Ct. Rptr.
104, 109.)

#### V. LEVEL OF DISCIPLINE

The purpose of disciplinary proceedings is not to punish the attorney, but to protect the
public, to preserve public confidence in the profession, and to maintain the highest possible
professional standards for attorneys. (*Chadwick v. State Bar* (1989) 49 Cal.3d 103, 111; *Cooper v. State Bar* (1987) 43 Cal.3d 1016, 1025; standard 1.3.)

Standard 1.6 provides that the appropriate sanction for the misconduct found must be balanced with any mitigating or aggravating circumstances, with due regard for the purposes of imposing discipline. If two or more acts of professional misconduct are found in a single disciplinary proceeding, the sanction imposed shall be the most severe of the applicable sanctions. (Standard 1.6(a).) The standards, however, are guidelines from which the court may deviate in fashioning the most appropriate discipline considering all the proven facts and

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circumstances of a given matter. (*In re Young* (1989) 49 Cal.3d 257, 267 (fn. 11); *Howard v.* State Bar (1990) 51 Cal.3d 215.) They are "not mandatory 'sentences' imposed in a blind or mechanical manner." (*Gary v. State Bar* (1988) 44 Cal.3d 820, 828.)

In the instant case, the recommended level of discipline ranges from suspension to
disbarment. (Standards 2.3 and 2.6(a).) The most severe sanction is found at standard 2.3, which
recommends actual suspension or disbarment for culpability of an act of moral turpitude, fraud,
intentional dishonesty or of concealment of a material fact from a court, client or other person,
depending on the extent to which the victim of the misconduct is harmed or misled and
depending upon the magnitude of the act of misconduct and the degree to which it relates to the
attorney's acts within the practice of law.

11 The State Bar recommends disbarment. After considering the misconduct and balancing 12 the serious aggravating and the absence of mitigating circumstances, the court agrees with the 13 recommendation.

Respondent has engaged in serious misconduct during his brief tenure as a lawyer. Not only did he steal from his employer but he disregarded the interests of clients and lienholders. Although he has no prior instance of discipline, the court does not find Respondent is a good candidate for probation. His employer gave him several opportunities to rehabilitate himself and he did not do so. His repeated misconduct shows his inability or unwillingness to conform to the requirements of the law and raises concerns about the need to protect the public from his dishonesty. He offered no explanation that might render disbarment inappropriate.

Respondent's disbarment is necessary to protect the public, the courts and the legal
community, to maintain high professional standards and to preserve public confidence in the
legal profession. It would undermine the integrity of the disciplinary system and damage public
confidence in the legal profession if Respondent were not disbarred for such serious misconduct.
If Respondent desires to return to the practice of law, he will be required to demonstrate his
rehabilitation by the most clear and convincing evidence, a heavy burden.

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1	VI. DISCIPLINE RECOMMENDATION				
2	IT IS HEREBY RECOMMENDED that Respondent MARK L. WEBER be disbarred				
3	from the practice of law in the State of California and that his name be stricken from the rolls of				
4	attorneys in this state.				
5	It is also recommended that the Supreme Court order Respondent to comply with rule				
6	955, paragraph (a), of the California Rules of Court within 30 calendar days of the effective dat				
7	of the Supreme Court order in the present proceeding, and to file the affidavit provided for in				
8	paragraph (c) within 40 days of the effective date of the order showing his compliance with said				
9	order.				
10	VII. <u>COSTS</u>				
11	The court recommends that costs be awarded to the State Bar pursuant to Business and				
12	2 Professions Code section 6086.10, and that those costs be payable in accordance with section				
13	6140.7.				
14	VIII. ORDER REGARDING INACTIVE ENROLLMENT				
15	It is ordered that Respondent be transferred to involuntary inactive enrollment status				
16	pursuant to Business and Professions Code section 6007(c)(4). The inactive enrollment shall				
17	become effective three days from the date of service of this order and shall terminate upon the				
18	effective date of the Supreme Court's order imposing discipline herein or as otherwise ordered by				
19	the Supreme Court pursuant to its plenary jurisdiction.				
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22	( ) M.R. Le				
23	Dated: November 3, 2003 JOANN M. REMKE				
24	Judge of the State Bar Court				
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# **CERTIFICATE OF SERVICE** [Rule 62(b), Rules Proc.; Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of San Francisco, on November 3, 2003, I deposited a true copy of the following document(s):

# DECISION INCLUDING DISBARMENT RECOMMENDATION AND ORDER OF INVOLUNTARY INACTIVE ENROLLMENT

in a sealed envelope for collection and mailing on that date as follows:

[X] by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at San Francisco, California, addressed as follows:

# MARK L. WEBER 3025 W ARTESIA BLVD #15 TORRANCE CA 90504

[X] by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

#### TAMMY ALBERTSEN-MURRAY, Enforcement, San Francisco

I hereby certify that the foregoing is true and correct. Executed in San Francisco, California, on November 3, 2003.

**Bernadette C. O. Molina** Case Administrator State Bar Court