

Sta Hearing Departme	te Bar Court of California ent 🗆 Los Angeles 🛛 🕅	San Francisco	
Counsel for the State Bar MARK HARTMAN DEPUTY TRIAL COUNSEL	Case number(s) 04-0-13150-JMR	(for Court's use) PUBLIC MATTER	
180 Howard Street San Francisco, CA 94105 Telephone: (415) 538-2000		FILED	
Bar# 114925		JUL 2 0 2005	
Counsel for Respondent XIn Pro Per, Respondent James J. Bajgrowicz 176 Wikiup Drive, #B		STATE BAR COURT CLERK'S OFFICE SAN FRANCISCO	
Santa Rosa, CA 95403 Telephone: (707) 528-2510			
Bar # 49253	Submitted to 🛛 🖾 assigned judge	settlement judge	
in the Matter of	STIPULATION RE FACTS, CONCLUSIONS OF LAW AND		
JAMES J. BAJGROWICZ	DISPOSITION AND ORDER APPR	OVING	
Bar # 49253 A Member of the State Bar of California (Respondent)	ACTUAL SUSPENSION		
in the space provided, must be se	this form and any additional inform et forth in an attachment to this stipu usions of Law," "Supporting Authority,	llation under specific headings,	
A. Parties' Acknowledgmen	ts:		
	e State Bar of California, admittedJu	(date)	
(2) The parties agree to be bound disposition are rejected or char	by the factual stipulations contained her nged by the Supreme Court.	ein even if conclusions of law or	
 All investigations or proceeding by this stipulation and are deen The stipulation and order consist 	is listed by case number in the caption one consolidated. Dismissed charge(s)/cost of $_14$ pages.	f this stipulation, are entirely resolved ount(s) are listed under "Dismissals."	
(4) A statement of acts or omission under "Facts."	s acknowledged by Respondent as caus	e or causes for discipline is included	
(5) Conclusions of law, drawn from Law."	and specifically referring to the facts are a	ilso included under "Conclusions of	
(6) The parties must include suppo	rting authority for the recommended leve	el of discipline under the heading	

(7) No more than 30 days prior to the filing of this stipulation, Respondent has been advised in writing of any pending investigation/proceeding not resolved by this stipulation, except for criminal investigations.

(Stipulation form approved by SBC Executive Committee 10/16/2000, Revised 12/16/2004)

"Supporting Authority."

(8) Payment of Disciplinary Costs—Respondent acknowledges the provisions of Bus. & Prof. Code §§6086.10 & 6140.7. (Check one option only):

until costs are paid in full, Respondent will remain actually suspended from the practice of law unless relief is obtained per rule 284, Rules of Procedure.

KK costs to be paid in equal amounts prior to February 1 for the following membership years: 2006 and 2007.

(hardship, special circumstances or other good cause per rule 284, Rules of Procedure)

costs walved in part as set forth in a separate attachment entitled "Partial Waiver of Costs"

costs entirely waived

- B. Aggravating Circumstances [for definition, see Standards for Attorney Sanctions for Professional Misconduct, standard 1.2(b)]. Facts supporting aggravating circumstances are required.
- (1) XXX Prior record of discipline [see standard 1.2(f)]
 - (a) DXX State Bar Court case # of prior case 94-0-14770

(b) 🖾 Date prior discipline effective September 3, 1997

(c) XX Rules of Professional Conduct/ State Bar Act violations: Rule 3-300(A) and

3-300(B) of the Rules of Professional Conduct; section 6068,

subdivision (m) of the Business and Professions Code.

(d) KX Degree of prior discipline <u>2-year stayed suspension and 2-year probation</u>, conditioned on 90-day actual suspension.

(e) If Respondent has two or more incidents of prior discipline, use space provided below or a separate attachment entitled "Prior Discipline."

- (2) Dishonesty: Respondent's misconduct was surrounded by or followed by bad faith, dishonesty, concealment, overreaching or other violations of the State Bar Act or Rules of Professional Conduct.
- (3) Trust Violation: Trust funds or property were involved and Respondent refused or was unable to account to the client or person who was the object of the misconduct for improper conduct toward said funds or property.
- (4) Harm: Respondent's misconduct harmed significantly a client, the public or the administration of justice.

(Stipulation form approved by SBC Executive Committee 10/16/2000. Revised 12/16/2004)

- (5) Indifference: Respondent demonstrated indifference toward rectification of or atonement for the consequences of his or her misconduct.
- (6) Lack of Cooperation: Respondent displayed a lack of candor and cooperation to victims of his/her misconduct or to the State Bar during disciplinary investigation or proceedings.
- (7) X Multiple/Rathance of Misconduct: Respondent's current misconduct evidences multiple acts of wrongdoing asalemonitates appaties and for the second states and the second st
- (8) D No aggravating circumstances are involved.

Additional aggravating circumstances:

- C. Mitigating Circumstances [see standard 1.2(e)]. Facts supporting mitigating circumstances are required.
- (1) D No Prior Discipline: Respondent has no prior record of discipline over many years of practice coupled with present misconduct which is not deemed serious.
- (2) D No Harm: Respondent did not harm the client or person who was the object of the misconduct.
- (3) Candor/Cooperation: Respondent displayed spontaneous candor and cooperation with the victims of his/her misconduct and to the State Bar during disciplinary investigation and proceedings.
- (4) Remorse: Respondent promptly took objective steps spontaneously demonstrating remorse and recognition of the wrongdoing, which steps were designed to timely atone for any consequences of his/her misconduct.
- (6) Delay: These disciplinary proceedings were excessively delayed. The delay is not attributable to Respondent and the delay prejudiced him/her.
- (7) 🗆 Good Faith: Respondent acted in good faith.
- (8) Emotional/Physical Difficulties: At the time of the stipulated act or acts of professional misconduct Respondent suffered extreme emotional difficulties or physical disabilities which expert testimony would establish was directly responsible for the misconduct. The difficulties or disabilities were not the product of any illegal conduct by the member, such as illegal drug or substance abuse, and Respondent no longer suffers from such difficulties or disabilities.
- (9) Severe Financial Stress: At the time of the misconduct, Respondent suffered from severe financial stress which resulted from circumstances not reasonably foreseeable or which were beyond his/her control and which were directly responsible for the misconduct.

- (10)
 Family Problems: At the time of the misconduct, Respondent suffered extreme difficulties in his/her personal life which were other than emotional or physical in nature.
- (11)
 Good Character: Respondent's good character is attested to by a wide range of references in the legal and general communities who are aware of the full extent of his/her misconduct.
- (12) C Rehabilitation: Considerable time has passed since the acts of professional misconduct occurred followed by convincing proof of subsequent rehabilitation.
- (13)
 No mitigating circumstances are involved.

Additional mitigating circumstances:

Substantial professional and community service.

D. Discipline:

- (1) Ex Stayed Suspension:
 - (a) XX Respondent must be suspended from the practice of law for a period of three (3) years.
 - i. IX and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.4(c)(ii) Standards for Attorney Sanctions for Professional Misconduct.
 - ii.
 and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.
 - iii. 🔲 and until Respondent does the following:
 - (b) 🕅 The above-referenced suspension is stayed.
- (2) XX Probation:

Respondent must be placed on probation for a period of <u>four (4) years</u> which will commence upon the effective date of the Supreme Court order in this matter. (See rule 953, Calif. Rules of Ct.)

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(3) XX Actual Suspension:

- (a) IXX Respondent must be actually suspended from the practice of law in the State of California for a period of __six (6) months _____
 - i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.4(c)(ii), Standards for Attorney Sanctions for Professional Misconduct
 - ii.
 and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.
 - iii. 🗆 and until Respondent does the following:

E. Additional Conditions of Probation:

- (1) If Respondent is actually suspended for two years or more, he/she must remain actually suspended until he/she proves to the State Bar Court his/her rehabilitation, fitness to practice, and learning and ability in general law, pursuant to standard 1.4(c)(ii), Standards for Attorney Sanctions for Professional Misconduct.
- (2) 🖾 During the probation period, Respondent must comply with the provisions of the State Bar Act and Rules of Professional Conduct.
- (3) XX Within ten (10) days of any change, Respondent must report to the Membership Records Office of the State Bar and to the Office of Probation of the State Bar of California ("Office of Probation"), all changes of information, including current office address and telephone number, or other address for State Bar purposes, as prescribed by section 6002.1 of the Business and Professions Code.
- (4) Key Within thirty (30) days from the effective date of discipline, Respondent must contact the Office of Probation and schedule a meeting with Respondent's assigned probation deputy to discuss these terms and conditions of probation. Upon the direction of the Office of Probation, Respondent must meet with the probation deputy either in-person or by telephone. During the period of probation, Respondent must promptly meet with the probation deputy as directed and upon request.
- (5) XX Respondent must submit written quarterly reports to the Office of Probation on each January 10, April 10, July 10, and October 10 of the period of probation. Under penalty of perjury, Respondent must state whether Respondent has complied with the State Bar Act, the Rules of Professional Conduct, and all conditions of probation during the preceding calendar quarter. Respondent must also state whether there are any proceedings pending against him or her in the State Bar Court and if so, the case number and current status of that proceeding. If the first report would cover less than 30 days, that report must be submitted on the next quarter date, and cover the extended period.

In addition to all quarterly reports, a final report, containing the same information, is due no earlier than twenty (20) days before the last day of the period of probation and no later than the last day of probation.

- (6) Respondent must be assigned a probation monitor. Respondent must promptly review the terms and conditions of probation with the probation monitor to establish a manner and schedule of compliance. During the period of probation, Respondent must furnish to the monitor such reports as may be requested, in addition to the quarterly reports required to be submitted to the Office of Probation. Respondent must cooperate fully with the probation monitor.
- (7) XX Subject to assertion of applicable privileges, Respondent must answer fully, promptly and truthfully any inquiries of the Office of Probation and any probation monitor assigned under these conditions which are directed to Respondent personally or in writing relating to whether Respondent is complying or has complied with the probation conditions.

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(8) XX Within one (1) year of the effective date of the discipline herein, Respondent must provide to the Office of Probation satisfactory proof of attendance at a session of the Ethics School, and passage of the test given at the end of that session.

No Ethics School recommended. Reason:

- (9) Respondent must comply with all conditions of probation imposed in the underlying criminal matter and must so declare under penalty of perjury in conjunction with any quarterly report to be filed with the Office of Probation.
- (10)
 The following conditions are attached hereto and incorporated:
 - Substance Abuse Conditions
 Law Office Management Conditions

Medical Conditions
 Financial Conditions

- F. Other Conditions Negotiated by the Parties:
- (1) KX Multistate Professional Responsibility Examination: Respondent must provide proof of passage of the Multistate Professional Responsibility Examination ("MPRE"), administered by the National Conference of Bar Examiners, to the Office of Probation during the period of actual suspension or within one year, whichever period is longer. Failure to pass the MPRE results in actual suspension without further hearing until passage. But see rule 951(b), California Rules of Court, and rule 321(a)(1) & (c), Rules of Procedure.

No MPRE recommended. Reason:

- (2) X Rule 955, California Rules of Court: Respondent must comply with the requirements of rule 955, California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 30 and 40 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter.
- (3) Conditional Rule 955, California Rules of Court: If Respondent remains actually suspended for 90 days or more, he/she must comply with the requirements of rule 955, California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 120 and 130 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter.
- (4) Credit for Interim Suspension [conviction referral cases only]: Respondent will be credited for the period of his/her Interim suspension toward the stipulated period of actual suspension. Date of commencement of interim suspension:
- (5)
 Other Conditions:





In the Matter of

JAMES J. BAJGROWICZ, No. 49253 Case Number(s):

04-0-13150-JMR

NOLO CONTENDERE PLEA TO STIPULATION AS TO FACTS, CONCLUSIONS OF LAW AND DISPOSITION

Bus. & Prof. Code § 6085.5 Disciplinary Charges; Pieas to Allegations

There are three kinds of pleas to the allegations of a notice of disciplinary charges or other pleading which initiates a disciplinary proceeding against a member:

- (a) Admission of culpability.
- (b) Denial of culpability.
- (c) Note contendere, subject to the approval of the State Bar Court. The court shall ascertain whether the member completely understands that a plea of note contendere shall be considered the same as an admission of culpability and that, upon a plea of note contendere, the court shall find the member culpable. The legal effect of such a plea shall be the same as that of an admission of culpability for all purposes, except that the plea and any admissions required by the court during any inquiry it makes as to the voluntariness of, or the factual basis for, the pleas, may not be used against the member as an admission in any civil suit based upon or growing out of the act upon which the disciplinary proceeding is based. (Added by Stats. 1996, ch. 1104.) (emphasis supplied)

RULE 133, Rules of Procedure of the State Bar of California STIPULATIONS AS TO FACTS, CONCLUSIONS OF LAW AND DISPOSITION

(a) A proposed stipulation as to facts, conclusions of law, and disposition must set forth each of the following:

(5) a statement that Respondent either

- (i) admits the facts set forth in the stipulation are true and that he or she is culpable of violations of the specified statutes and/or Rules of Professional Conduct or
- (ii) pleads noto contendere to those facts and violations. If the Respondent pleads noto contendere, the stipulation shall include each of the following:
 - (a) an acknowledgment that the Respondent completely understands that the plea of nois contendere shall be considered the same as an admission of the stipulated facts and of his or her culpability of the statutes and/or Rules of Professional Conduct specified in the stipulation; and
 - (b) If requested by the Court, a statement by the Deputy Trial Counsel that the factual stipulations are supported by evidence obtained in the State Bar investigation of the matter. (emphasis supplied)

Nolo

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I, the Respondent in this matter, have read the applicable provisions of Bus. & Prof. Code § 6085.5 and rule 133(a)(5) of the Rules of Procedure of the State Bar of California. I plead nois contendere to the charges set forth in this stipulation and I completely understand that my plea must be considered the same as an admission of culpability except as stated in Business and Professions Code section 6085.5(c)

JAMES J. BAJGROWICZ Print name

(Nolo Contendere Plea form approved by SBC Executive Committee 10/22/1997. Revised 12/16/2004.)

In the Matter of	Case No. 04-O-13150-JMR
JAMES J. BAJGROWICZ, No. 49253,	STIPULATION REGARDING FACTS, CONCLUSIONS OF LAW, AND DISPOSITION
A Member of the State Bar.	

DISMISSALS

Counts two and three of the Notice of Disciplinary Charges, filed February 23, 2005, in State Bar case number 04-O-13150-JMR ("the current case") are dismissed.

FACTS

In the late 1970's, respondent James J. Bajgrowicz ("respondent") and Alfred Fontana became friends. They belonged to a local service group, the Breakfast Optimist Club, and often saw each other. Respondent did legal work for Alfred Fontana from the late 1970's to July 2003.

In April 2002, Alfred Fontana loaned \$5,000 to respondent so that respondent could make home mortgage payments. The loan resulted from an oral agreement between respondent and Alfred Fontana. It was not put into writing. There was no specific provision for security, interest, or method, manner, and time of repayment. Nor did respondent advise Alfred Fontana in writing of his right to seek the advice of an independent lawyer.

In January 2003, Alfred Fontana was diagnosed with cancer and was receiving chemotherapy. At this time, Alfred Fontana's wife, Emily Fontana, was seriously ill and lived in a skilled nursing facility. Respondent saw Alfred Fontana about every day, often visited Emily Fontana, and did home-repair work for Alfred Fontana. By the end of January 2003, respondent had repaid Alfred Fontana about \$2,500 of the April 2002 loan.

In February 2003, respondent asked Alfred Fontana for an additional loan of \$20,000. On February 28, 2003, respondent and Alfred Fontana signed a document entitled "Note & Assignment of Interest." Pursuant to the Note & Assignment of Interest, Alfred Fontana agreed to obtain \$20,000 from a line of credit with the Bank of America and to loan this sum to respondent. Pursuant to the Note & Assignment of Interest, respondent assigned a security interest to Alfred Fontana and agreed "to timely make the monthly payments [to the Bank of America] until [the combined April 2002 and February 2003 loans were] fully satisfied."

Before February 28, 2003, respondent had informed Alfred Fontana orally, but not in writing, that Alfred Fontana could and should seek the advice of an independent lawyer. Further, on

8 Page # February 28, 200%, the Note & Assignment of Interest stated that "Alfred Fontana was advised that he could and should seek additional legal counsel."

In March 2003, Alfred Fontana obtained the \$20,000 from the Bank of America and loaned this sum to respondent. Respondent then began making payments of principal and interest on the combined April 2002 and February 2003 loans to the Bank of America. These payments exceeded the minimum monthly payments required by the Bank of America.

In July 2003, Alfred Fontana had a falling-out with respondent and hired attorney William Cutler ("Cutler"). In a letter dated July 30, 2003, Cutler demanded that respondent immediately pay the outstanding amount owed on the combined April 2002 and February 2003 loans.

Respondent received Cutler's letter and replied that he would continue to make payments to the Bank of America as he had agreed with Alfred Fontana. Altogether, respondent made the following payments by check to the Bank of America:

Check Number	Date	Amount
762	4/10/03	\$200
772	6/4/03	\$400
805	7/11/03	\$200
813	8/1/03	\$200
832	4/6/03	\$200
843	10/6/03	\$200
857	11/6/03	\$200
873	12/4/03	\$200
895	1/10/04	\$200
903	2/10/04	\$200
919	3/10/04	\$200
937	4/5/04	\$200
954	5/10/04	\$200
988	9/7/04	\$200

On May 31, 2004, Alfred Fontana died. His son, Danny Fontana, inherited the whole estate. Respondent, who had befriended Danny Fontana and had done legal work for him, represented Danny Fontana regarding the distribution of Alfred Fontana's estate.

In November 2004, Danny Fontana mortgaged the house which he had inherited from Alfred Fontana. From the proceeds of the mortgage, Danny Fontana paid the Bank of America the amount respondent still owed on the combined April 2002 and February 2003 loans.

On August 17, 2004, State Bar investigator Jacqueline Carpenter ("Carpenter") sent respondent an initial letter ("initial letter") asking for a written response to a complaint about his dealings with Alfred Fontana and for copies of documents related to his representation of Alfred Fontana. In the initial letter, Carpenter stated that respondent's written response and the copies of the documents were due by August 31, 2004. Respondent received the initial letter, but did not reply to it.

On September 27, 2004, Carpenter sent respondent a second letter ("second letter") asking for a written explanation of his representation of Alfred Fontana. In the second letter, Carpenter enclosed a copy of the initial letter and stated that respondent must provide the requested written response and copies of documents by October 6, 2004. Respondent received the second letter, but did not timely reply to it.

On November 23, 2004, respondent sent Carpenter a letter in which he stated that he had recently had surgery and was receiving therapy. He asserted: "I would like the opportunity to respond to the allegations . . . and will need some time to assemble the documents." He concluded: "It is likely that all the documents will be assembled and forwarded to you after the Thanksgiving weekend." Respondent did not, however, send Carpenter either a written response to the allegations or any documents.

On December 20, 2004, Carpenter sent respondent a third letter ("third letter") asking for a written explanation about his representation of Alfred Fontana and for copies of relevant documents by December 24, 2004. Respondent received the third letter, but did not reply to it.

CONCLUSIONS OF LAW

Rule 3-300 of the Rules of Professional Conduct provides that an attorney shall not enter into a business transaction with a client unless the following requirements have been met: (1) the transaction and its terms are fair and reasonable to the client; (2) the transaction and its terms are fully disclosed and transmitted in writing to the client in a manner which should reasonably have been understood by the client; (3) the client was advised in writing before the transaction that the client may seek the advice of an independent lawyer of the client's choice; (4) the client was given a reasonable opportunity to seek such advice; and (5) the client thereafter consents in

10 Page # writing to the terms of the transaction. With regards to the April 2002 loan of \$5,000, respondent wilfully violated rule 3-300 as follows:

- (1) The loan was not fair and reasonable to Alfred Fontana because no specific provision was made for security, interest, or method, manner, and time of repayment of the \$5,000.
- (2) The terms of the loan were not fully disclosed and transmitted in writing to Alfred Fontana in a manner which he should reasonably have understood.
- (3) Alfred Fontana was not advised in writing before the loan that he might seek the advice of an independent lawyer of his choice.
- (4) Alfred Fontana client was not given a reasonable opportunity to seek such advice.
- (5) Alfred Fontana did not consent in writing to the terms of the loan.

With regards to the February 2003 loan of \$20,000, respondent wilfully violated rule 3-300 by failing to advise Alfred Fontana in writing before the they signed the Note & Assignment of Interest that he might seek the advice of an independent lawyer of his choice.

Section 6068, subdivision (i) of the Business and Professions Code requires that an attorney cooperate and participate in any disciplinary investigation pending against the attorney. Respondent wilfully violated this requirement by failing to provided the written explanation and copies of documents requested by Carpenter in the initial, second, and third letters.

DATE OF DISCLOSURE OF ANY PENDING INVESTIGATION OR PROCEEDING

On June 15, 2005, deputy trial counsel Mark Hartman sent a disclosure letter to respondent. This letter advised respondent of any pending investigation or proceeding not resolved by this stipulation.

ESTIMATED PROSECUTION COSTS

The estimated prosecution costs of the current cases will exceed \$3,654.00 This sum is only an estimate and does not include the cost of respondent's deposition on May 20 and June 1, 2005. If this stipulation is rejected or if relief from this stipulation is granted, the prosecution costs of the current case may increase because of the costs of further proceedings.

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SUPPORTING AUTHORITY

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The Rules of Procedure of the State Bar, Title IV, Standards for Attorney Sanctions for Professional Misconduct, standards 1.3, 1.6, 1.7(a), and 2.8 support the discipline in this stipulation.

In the Matter of		Case number(s):	
JAMES J. BAGROWICZ, No. 49253		04-0-13150-JMR	
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SIGNATURE OF THE PARTIES

By their signatures below, the parties and their counsel, as applicable, signify their agreement with each of the recitations and each of the terms and conditions of this Stipulation Re Facts, Conclusions of Law and Disposition.

٦ <u>6-23</u> Date JAMES J. BAJGROWICZ Printname inature **Print name** Respondent's Counsel's signature Date

<u>6/23/05</u> Date

anature Deputy

MARK HARTMAN Print name

in the Matter of	Case number(s):	
JAMES J. BAJGROWICZ, No. 49253	04-0-13150-JMR	

ORDER

Finding the stipulation to be fair to the parties and that it adequately protects the public, IT IS ORDERED that the requested dismissal of counts/charges, if any, is GRANTED without prejudice, and:

The stipulated facts and disposition are APPROVED and the DISCIPLINE RECOMMENDED to the Supreme Court.

The stipulated facts and disposition are APPROVED AS MODIFIED as set forth below, and the DISCIPLINE IS RECOMMENDED to the Supreme Court.



All Hearing dates are vacated.

The parties are bound by the stipulation as approved unless: 1) a motion to withdraw or modify the stipulation, filed within 15 days after service of this order, is granted; or 2) this court modifies or further modifies the approved stipulation. (See rule 135(b), Rules of Procedure.) The effective date of this disposition is the effective date of the Supreme Court order herein, normally 30 days after file date. (See rule 953(a), California Rules of Court.)

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7/20/05

CERTIFICATE OF SERVICE [Rule 62(b), Rules Proc.; Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of San Francisco, on July 20, 2005, I deposited a true copy of the following document(s):

STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING

in a sealed envelope for collection and mailing on that date as follows:

[X] by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at San Francisco, California, addressed as follows:

JAMES JOSEPH BAJGROWICZ 176 WIKIUP DR #B SANTA ROSA CA 95403 7772

[X] by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

MARK HARTMAN, Enforcement, San Francisco

I hereby certify that the foregoing is true and correct. Executed in San Francisco, California, on July 20, 2005.

Bernadette C. O. Molina Case Administrator State Bar Court