

State Bar Court of California Hearing Department 🗆 Los Angeles 🛛 🙀 San Francisco					
Counsel for the State Bar	Case number(s)	(for Court's use)			
Robin B. Brune Deputy Trial Counsel 180 Howard Street, 7th Floor San Francisco, CA 94105 (415) 538-2218 Bor # 149481 I Counsel for Respondent	04-0-13740 04-0-10660 05-0-00992 05-0-02536 06-0-10031 06-0-10098 06-0-10099 06-0-10726	FILED JAN 31 2007 VCC STATE BAR COURT CLERK'S OFFICE LOS ANGELES			
Jonathan I. Arons 101 Howard Street #310 San Francisco, CA 94105 (415) 957-1818	PUBLIC MATT	ER			
Bar # 111257	Submitted to 🛛 assigned judge	x settlement judge			
In the Matter of KEITH G. JORDAN	STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING				
Bar # 171267 A Member of the State Bar of California (Respondent)	ACTUAL SUSPENSION  PREVIOUS STIPULATION REJECTED				

Note: All information required by this form and any additional information which cannot be provided in the space provided, must be set forth in an attachment to this stipulation under specific headings, e.g., "Facts," "Dismissals," "Conclusions of Law," "Supporting Authority," etc.

# A. Parties' Acknowledgments:

(1) Respondent is a member of the State Bar of California, admitted June 7, 1994

(date)

- (2) The parties agree to be bound by the factual stipulations contained herein even if conclusions of law or disposition are rejected or changed by the Supreme Court.
- (3) All investigations or proceedings listed by case number in the caption of this slipulation, are entirely resolved by this stipulation and are deemed consolidated. Dismissed charge(s)/count(s) are listed under "Dismissals." The stipulation and order consist of <u>1</u> pages.
- (4) A statement of acts or omissions acknowledged by Respondent as cause or causes for discipline is included under "Facts."
- (5) Conclusions of law, drawn from and specifically referring to the facts are also included under "Conclusions of Law."
- (6) The parties must include supporting authority for the recommended level of discipline under the heading "Supporting Authority."
- (7) No more than 30 days prior to the filing of this stipulation, Respondent has been advised in writing of any pending investigation/proceeding not resolved by this stipulation, except for criminal investigations.

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- (8) Payment of Disciplinary Costs—Respondent acknowledges the provisions of Bus. & Prof. Code §§6086.10 & 6140.7. (Check one option only):
  - **XX** until costs are paid in full, Respondent will remain actually suspended from the practice of law unless relief is obtained per rule 284, Rules of Procedure.
  - costs to be paid in equal amounts prior to February 1 for the following membership years:

(hardship, special circumstances or other good cause per rule 284, Rules of Procedure)
 costs waived in part as set forth in a separate attachment entitled "Partial Waiver of Costs"

- costs entirely waived
- B. Aggravating Circumstances [for definition, see Standards for Attorney Sanctions for Professional Misconduct, standard 1.2(b)]. Facts supporting aggravating circumstances are required.

 Prior record of discipline [see standard 1.2(f)] (1)(a) State Bar Court case # of prior case Date prior discipline effective (b) Rules of Professional Conduct/ State Bar Act violations: (c) (d) Degree of prior discipline If Respondent has two or more incidents of prior discipline, use space provided below or a (e) separate attachment entitled "Prior Discipline."

- (2) Dishonesty: Respondent's misconduct was surrounded by or followed by bad faith, dishonesty, concealment, overreaching or other violations of the State Bar Act or Rules of Professional Conduct.
- (3) Trust Violation: Trust funds or property were involved and Respondent refused or was unable to account to the client or person who was the object of the misconduct for improper conduct toward said funds or property.
- (4) 🙀 Harm: Respondent's misconduct harmed significantly a client, the public or the administration of justice.

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- (5) Indifference: Respondent demonstrated indifference toward rectification of or atonement for the consequences of his or her misconduct.
- (6) Lack of Cooperation: Respondent displayed a lack of candor and cooperation to victims of his/her misconduct or to the State Bar during disciplinary investigation or proceedings.
- (7) XX Multiple/Pattern of Misconduct: Respondent's current misconduct evidences multiple acts of wrongdoing or demonstrates a pattern of misconduct.
- (8) D No aggravating circumstances are involved.

Additional aggravating circumstances:

# C. Mitigating Circumstances [see standard 1.2(e)]. Facts supporting mitigating circumstances are required.

- (1) D No Prior Discipline: Respondent has no prior record of discipline over many years of practice coupled with present misconduct which is not deemed serious.
- (2) 🗇 No Harm: Respondent did not harm the client or person who was the object of the misconduct.
- (3) XX Candor/Cooperation: Respondent displayed spontaneous candor and cooperation with the victims of his/her misconduct and to the State Bar during disciplinary investigation and proceedings.
- (4) 🕱 Remorse: Respondent promptly took objective steps spontaneously demonstrating remorse and recognition of the wrongdoing, which steps were designed to timely atone for any consequences of his/her misconduct.
- (6) 
  Delay: These disciplinary proceedings were excessively delayed. The delay is not attributable to Respondent and the delay prejudiced him/her.
- (7) 🗆 Good Faith: Respondent acted in good faith.
- (8) 
  Emotional/Physical Difficulties: At the time of the stipulated act or acts of professional misconduct Respondent suffered extreme emotional difficulties or physical disabilities which expert testimony would establish was directly responsible for the misconduct. The difficulties or disabilities were not the product of any Illegal conduct by the member, such as illegal drug or substance abuse, and Respondent no longer suffers from such difficulties or disabilities.
- (9) Severe Financial Stress: At the time of the misconduct, Respondent suffered from severe financial stress which resulted from circumstances not reasonably foreseeable or which were beyond his/her control and which were directly responsible for the misconduct.

- (10) 
  Family Problems: At the time of the misconduct, Respondent suffered extreme difficulties in his/her personal life which were other than emotional or physical in nature.
- (11) 
  Good Character: Respondent's good character is attested to by a wide range of references in the legal and general communities who are aware of the full extent of his/her misconduct.
- (12) C Rehabilitation: Considerable time has passed since the acts of professional misconduct occurred followed by convincing proof of subsequent rehabilitation.
- (13) 
  No mitigating circumstances are involved.

Additional mitigating circumstances:

# D. Discipline:

- (1) 🔂 Stayed Suspension:
  - (a) XX Respondent must be suspended from the practice of law for a period of two (2) years
    - i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.4(c)(ii) Standards for Attorney Sanctions for Professional Misconduct.
    - ii. 
      and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.
    - iii. 🔲 and until Respondent does the following:
  - (b) XXX The above-referenced suspension is stayed.
- (2) XX Probation:

Respondent must be placed on probation for a period of <u>three (3) years</u> which will commence upon the effective date of the Supreme Court order in this matter. (See rule 953, Calif. Rules of Ct.)

#### (3) K Actual Suspension:

- (a) XX Respondent must be actually suspended from the practice of law in the State of California for a period of nine (9) months
  - i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.4(c)(ii), Standards for Attorney Sanctions for Professional Misconduct
  - ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.
  - iii. 🔲 and until Respondent does the following:

# E. Additional Conditions of Probation:

- (1) III (1) Respondent is actually suspended for two years or more, he/she must remain actually suspended until he/she proves to the State Bar Court his/her rehabilitation, fitness to practice, and learning and ability in general law, pursuant to standard 1.4(c)(ii), Standards for Attorney Sanctions for Professional Misconduct.
- (2) 🕱 During the probation period, Respondent must comply with the provisions of the State Bar Act and Rules of Professional Conduct.
- (3) XX Within ten (10) days of any change, Respondent must report to the Membership Records Office of the State Bar and to the Office of Probation of the State Bar of California ("Office of Probation"), all changes of information, including current office address and telephone number, or other address for State Bar purposes, as prescribed by section 6002.1 of the Business and Professions Code.
- (4) XX Within thirty (30) days from the effective date of discipline, Respondent must contact the Office of Probation and schedule a meeting with Respondent's assigned probation deputy to discuss these terms and conditions of probation. Upon the direction of the Office of Probation, Respondent must meet with the probation deputy either in-person or by telephone. During the period of probation, Respondent must promptly meet with the probation deputy as directed and upon request.
- (5) XX Respondent must submit written quarterly reports to the Office of Probation on each January 10, April 10, July 10, and October 10 of the period of probation. Under penalty of perjury, Respondent must state whether Respondent has complied with the State Bar Act, the Rules of Professional Conduct, and all conditions of probation during the preceding calendar quarter. Respondent must also state whether there are any proceedings pending against him or her in the State Bar Court and if so, the case number and current status of that proceeding. If the first report would cover less than 30 days, that report must be submitted on the next quarter date, and cover the extended period.

In addition to all quarterly reports, a final report, containing the same information, is due no earlier than twenty (20) days before the last day of the period of probation and no later than the last day of probation.

- (6) Respondent must be assigned a probation monitor. Respondent must promptly review the terms and conditions of probation with the probation monitor to establish a manner and schedule of compliance. During the period of probation, Respondent must furnish to the monitor such reports as may be requested, in addition to the quarterly reports required to be submitted to the Office of Probation. Respondent must cooperate fully with the probation monitor.
- (7) Subject to assertion of applicable privileges, Respondent must answer fully, promptly and truthfully any inquiries of the Office of Probation and any probation monitor assigned under these conditions which are directed to Respondent personally or in writing relating to whether Respondent is complying or has complied with the probation conditions.

(8) XX Within one (1) year of the effective date of the discipline herein, Respondent must provide to the Office of Probation satisfactory proof of attendance at a session of the Ethics School, and passage of the test given at the end of that session.

No Ethics School recommended. Reason: \_\_\_\_\_\_

- (9) Respondent must comply with all conditions of probation imposed in the underlying criminal matter and must so declare under penalty of perjury in conjunction with any quarterly report to be filed with the Office of Probation.
- (10)  $\overline{\mathbf{X}}$  The following conditions are attached hereto and incorporated:
  - Substance Abuse Conditions
     Law Office Management Conditions
  - Medical Conditions
     Financial Conditions
- F. Other Conditions Negotiated by the Parties:
- (1) XX Multistate Professional Responsibility Examination: Respondent must provide proof of passage of the Multistate Professional Responsibility Examination ("MPRE"), administered by the National Conference of Bar Examiners, to the Office of Probation during the period of actual suspension or within one year, whichever period is longer. Failure to pass the MPRE results in actual suspension without further hearing until passage. But see rule 951(b), California Rules of Court, and rule 321(a)(1) & (c), Rules of Procedure.

🗆 No MPRE recommended. Reason:

- (2) XX Rule 955, California Rules of Court: Respondent must comply with the requirements of rule 955, California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 30 and 40 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter.
- (3) Conditional Rule 955, California Rules of Court: If Respondent remains actually suspended for 90 days or more, he/she must comply with the requirements of rule 955, California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 120 and 130 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter.
- (4) Credit for Interim Suspension [conviction referral cases only]: Respondent will be credited for the period of his/her interim suspension toward the stipulated period of actual suspension. Date of commencement of interim suspension:

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(5) 
Other Conditions:

#### ATTACHMENT TO

#### STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION

IN THE MATTER OF: Keith Jordan

CASE NUMBER(S): 04-O-13740, *et al.* 

#### FACTS AND CONCLUSIONS OF LAW.

#### Case No. 04-0-13740

On or about October 30, 1997, an immigration judge terminated Rajendra Prakash's ("Prakash") deportation proceedings and reinstated an order of exclusion. Prakash, represented by attorney Vickie Steinheimer ("Steinheimer"), timely filed an appeal with the Board of Immigration Appeals ("BIA"). Steinheimer died in 2001 while Prakash's appeal was pending. On May 6, 2002, Prakash's appeal was dismissed. The dismissal was sent to Steinheimer's office. Prakash did not receive notice of and was not informed of the dismissal.

On or about November 10, 2002, Prakash employed respondent to review his file and consult with him about the status of his case. Prakash paid respondent \$500.00. Respondent told Prakash he would review his file with someone else and get back to him. Respondent also told Prakash that he would look into renewing his work permit, but never got back to Prakash.

Respondent reviewed the file, but did not take any steps to determine the status of the case, such as calling the INS. Respondent never informed Prakash that the Board denied his appeal or that the time for filing a further appeal had passed.

#### Conclusions of Law

By not taking steps to determine the status of Prakash's appeal, and by not informing Prakash that his appeal had been dismissed, respondent failed to perform legal services in wilful violation of rule 3-110(A) of the Rules of Professional Conduct.

#### Case No. 05-0-00992

On May 13, 2004, Mikhail Uryevich ("Uryevich") employed respondent to file an application for political asylum. Uryevich was a Russian native and had been working in the U.S. on an H1-B visa, which is valid for six years. Uryevich's visa was set to expire later in 2004. Uryevich paid respondent \$5,000.00 as a flat fee.

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Although respondent's strategy concerning the political asylum application was to wait enough time for some other viable option to transpire, i.e. Uryevich marrying a U.S. citizen or his employer sponsoring him for a green card, respondent did not believe Uryevich had a good case for political asylum.

Subsequently, respondent prepared the political asylum application. Respondent told Uryevich that he conducted research on the internet regarding country conditions in Russia and possible experts. However, respondent did not provide copies of the articles themselves or the titles to Uryevich.

Respondent never filed the political asylum application or took any other steps to help Uryevich obtain legal status in the U.S.

On or about September 3, 2004, Uryevich lost his legal status in the U.S. and was ordered to leave the country.

On or about October 1, 2004, Uryevich discharged respondent and asked for his file materials and a refund of fees. Respondent refunded \$2,500, representing one half of the fees paid.

#### Conclusions of Law

By not filing the political asylum application or pursuing another method for legalizing Uryevich's status in the U.S., respondent failed to perform, in wilful violation of the Rules of Professional Conduct, rule 3-110(A).

#### Case No. 05-0-02536

On or about October 11, 2004, Petra Perez ("Perez") employed respondent to file a motion to reopen a cancellation of removal application. Perez and her son, Efrain Estrada, were in removal proceedings before the BIA. Perez paid respondent \$600.00 towards a total flat fee of \$3,750.00.

The BIA dismissed Perez's appeal on or about December 10, 2004. The motion to reopen was therefore due by March 10, 2005. Respondent did not take steps to determine when a motion to reopen would be due, such as placing the date on his calendar. Perez met with respondent on December 27, 2004. Thereafter, Perez called respondent to determine the status of her case, but respondent failed to return the phone calls. Respondent failed to file a motion to reopen.

#### Conclusions of Law

1. By not filing a motion to reopen, respondent failed to perform, in wilful violation

of the Rules of Professional Conduct, rule 3-110(A).

2. By not returning Perez's telephone calls, respondent failed to respond promptly to reasonable status inquiries of a client, in wilful violation of Business and Professions Code, section 6068(m).

# Case No. 06-0-10726

Mario Ramirez ("Ramirez") retained respondent some time in September, 2004, to try and reopen his INS appeal. The contract called for a flat fee of \$5,000. The client paid respondent \$3,000. The deadline for the appeal to the Board of Immigration Appeals was ninety days from August 12, 2004, until November 10, 2004, to appeal.

Respondent failed to timely file the appeal. He filed in July of 2005. The appeal was rejected due to respondent's untimeliness. Respondent then sought to bring an appeal based upon ineffective assistance of counsel. He did not, though, mention his own malfeasance, but tried to attribute the delay to prior counsel, without making the required State Bar complaint. He also filed it July 2005, which was untimely. Therefore, respondent's claim was rejected.

# Conclusions of Law

1. By not timely filing the appeal on Ramirez's behalf, respondent failed to perform, in wilful violation of the Rules of Professional Conduct, rule 3-110(A).

2. By failing to advise his client that the appeal was untimely, respondent failed to keep his client reasonably informed of significant matters pertaining to the legal matter for which he was retained, in wilful violation of Business and Professions Code, section 6068(m).

3. By filing an untimely appeal, respondent provided no services of value to the client, and failed to promptly return the unearned fee, in wilful violation of Rules of Professional Conduct, rule 3-700(D)(2).

# Case No. 06-O-10099

Margarito Rios paid respondent a flat fee of \$3,500.00 and hired him on or about November 16, 2004 to file an appeal. Respondent failed to file the petition for review after being hired to do so. Respondent admits to failing to file the petition. New counsel, Sean Olender, was hired May 31, 2005 and discovered that their appeal was dismissed on February 25, 2005 because the appellate brief was not filed in a timely fashion.

# Conclusions of Law

1. By failing to timely file an immigration appeal, respondent failed to perform, in

wilful violation of the Rules of Professional Conduct, rule 3-110(A).

2. By failing to advise the client that he missed the deadline and the appeal was dismissed, respondent failed to keep his client informed of significant developments in the legal matter for which he was retained, in wilful violation of Business and Professions Code, section 6068(m).

3. By missing his deadline, respondent provided no services of value to the client, and failed to promptly return unearned fees, in wilful violation of Rules of Professional Conduct, rule 3-700(D)(2).

#### Case No. 06-O-10031

Raja Aftab Akbar ("Akbar") hired respondent in March of 2004 to file an appeal for a denial of asylum. The fee agreement called for a flat fee of \$3,000.00. The client made payments towards this fee and paid a total of \$1,200. The appeal was denied in March of 2005.

The client was denied a work permit on or about September 28, 2005 and became concerned about his asylum case. In October, 2005, Akbar contacted respondent, and met with respondent outside the courthouse in San Jose. Respondent told Akbar that his case was still pending but that his chances were not good. Respondent gave the client a copy of the Notice of Appeal and told him to re-file the Application for Employment and include a copy of the Notice of Appeal. In fact, the appeal had already been denied.

Due to respondent's malfeasance, the client's time period to file an appeal with the Ninth Circuit passed.

#### Conclusions of Law

1. By failing to timely advise the client of the results of the pending immigration matter, respondent failed to keep the client reasonably informed of significant matters related to the matter for which respondent was hired to perform legal services, in wilful violation of Business and Professions Code, section 6068(m).

2. By failing to properly advise the client regarding the Notice of Appeal, respondent failed to perform, in wilful violation of Rules of Professional Conduct, rule 3-110(A).

#### Case No. 06-O-10098

Clients Pastor Perez (a.k.a. Enciso) and Andrea Lopen (a.k.a. Andrea Enciso) paid respondent \$2500.00 to file an appeal, and hired respondent in February 2004. Respondent

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failed to timely file the appeal. In June 2005, the clients were notified they should be deported.

# Conclusions of Law

By failing to timely file the appeal, respondent failed to perform, in wilful violation of Rules of Professional Conduct, rule 3-110(A).

#### Case No. 04-O-10660

This matter was referred by Jennifer Barnes, Bar Counsel, Office of the General Counsel, Executive Office for Immigration Review. After conducting an inquiry, respondent was admonished for failing to appear at four scheduled hearings, in three separate client matters. He failed to appear on June 10, and September 23, 2003 in the *Matter of Elda and Dora Avila-Morales*, he failed to appear in the *Matter of Taurino and Maria Esperanza-Rocha* on September 22, 2003, and he failed to appear in the *Matter of Mohani Mohani* on September 24, 2003.

# Conclusions of Law

1. By failing to make his scheduled court-ordered appearances in the above-entitled matters, respondent failed to perform, in wilful violation of the Rules of Professional Conduct, rule 3-110(A).

#### PENDING PROCEEDINGS.

The disclosure date referred to, on page one, paragraph A.(7), was November 8, 2006.

# COSTS OF DISCIPLINARY PROCEEDINGS.

Respondent acknowledges that the Office of the Chief Trial Counsel has informed respondent that as of 12/20/06, the estimated prosecution costs in this matter are approximately \$ 6,992.58. Respondent acknowledges that this figure is an estimate only and that it does not include State Bar Court costs which will be included in any final cost assessment. Respondent further acknowledges that should this stipulation be rejected or should relief from the stipulation be granted, the costs in this matter may increase due to the cost of further proceedings.

# **AUTHORITIES SUPPORTING DISCIPLINE.**

In the Matter of Valnoti (Review Dept. 2002) 4 Cal. State Bar Ct. Rptr. 498

Gadda v. State Bar (1990) 50 Cal.3d 344

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In the Matter of Brockway (2006 W.L. 1360438) Cal. Bar. Ct. May 15, 2006

# AGGRAVATING CIRCUMSTANCES.

Standard 1.2(b)(ii) – Multiple Acts or Pattern

Standard 1.2(b)(iv) – Significant Harm

# FACTS SUPPORTING AGGRAVATING CIRCUMSTANCES.

Respondent demonstrates a pattern of misconduct with over five failures to perform in immigration matters, and demonstrates multiple acts

Several of respondent's clients face deportation due to respondent's failures to perform, including client Akbar and clients Pastor Perez (a.k.a. Enciso) and Andrea Lopen.

# MITIGATING CIRCUMSTANCES.

Standard 1.2(e)(v) – Candor and Cooperation

Standard 1.2(e)(vii) - Remorse and Recognition of Wrongdoing

# FACTS SUPPORTING MITIGATING CIRCUMSTANCES.

In the *Enciso Perez* matter, respondent refunded \$2,125.00 to the clients prior to State Bar involvement.

In the *Petra Perez* matter, respondent refunded \$600.00 to the client before State Bar involvement.

In the *Mikhail Uryevich* matter, respondent refunded \$2,500 to the client before State Bar involvement (representing one half of the fee he collected).

Respondent showed candor and cooperation by reaching an early stipulation in this matter.

STATE BAR ETHICS SCHOOL.

Because respondent has agreed to attend State Bar Ethics School as part of this stipulation, respondent may receive Minimum Continuing Legal Education credit upon the satisfactory completion of State Bar Ethics School.

# VARIANCE FROM NOTICE OF DISCIPLINARY CHARGES AND WAIVER OF NOTICE OF DISCIPLINARY CHARGES IN THE INVESTIGATORY MATTERS.

Respondent waives any variance between the language in this Stipulation and the Notice of Disciplinary Charges. In addition to the matters as set forth in the Notice of Disciplinary Charges, this Stipulation incorporates five additional investigatory matters. As to these matters, respondent waives his right to an Early Neutral Evaluation Conference, Notice of Disciplinary Charges, and rights attendant to a Notice of Disciplinary Charges, in order to resolve this matter by Stipulation.

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in the Matter of

KEITH G. JORDAN

04-0-13740, et seq.

# **Financial Conditions**

#### a. Restitution

Respondent must pay restitution (including the principal amount, plus interest of 10% per annum) to the payee(s) listed below. If the Client Security Fund ("CSF") has reimbursed one or more of the payee(s) for all or any portion of the principal amount(s) listed below, Respondent must also pay restitution to CSF of the amount(s) paid, plus applicable interest and costs.

Case Number(s):

Payee	Principal Amount	Interest Accrues From
Rajendra Prakash	\$500.00	11/1/02
Mario Ramirez	\$3,000.00	9/1/04
Margarito Rios	\$3,500.00	12/1/04
Raja Akbar	\$1,200.00	3/1/05

Respondent must pay the above-referenced restitution and provide satisfactory proof of payment to the Office of Probation not later than \_\_\_\_\_\_.

#### b. Installment Restitution Payments

**KK** Respondent must pay the above-referenced restitution on the payment schedule set forth below. Respondent must provide satisfactory proof of payment to the Office of Probation with each quarterly probation report, or as otherwise directed by the Office of Probation. No later than 30 days prior to the expiration of the period of probation (or period of reproval), Respondent must make any necessary final payment(s) in order to complete the payment of restitution, including interest, in full.

Payee/CSF (as applicable)	Minimum Payment Amount	Payment Frequency
Rajendra Prakash	\$100.00	monthly
Mario Ramirez	\$200.00	n
Margarito Rios	\$100.00	
Raja Akbar	\$100.00	- TI

- c. Client Funds Certificate
  - If Respondent possesses client funds at any time during the period covered by a required quarterly report, Respondent must file with each required report a certificate from Respondent and/or a certified public accountant or other financial professional approved by the Office of Probation, certifying that:
    - Respondent has maintained a bank account in a bank authorized to do business in the State of California, at a branch located within the State of California, and that such account is designated as a "Trust Account" or "Clients' Funds Account";



In the Matter of

# Case Number(s):

KEITH G. JORDAN

04-0-13740, et seq.

- b. Respondent has kept and maintained the following:
  - i. a written ledger for each client on whose behalf funds are held that sets forth:
    - 1. the name of such client;
    - 2. The date, amount and source of all funds received on behalf of such client;
    - 3. the date, amount, payee and purpose of each disbursement made on behalf of such client; and,
    - 4. the current balance for such client.
  - ii. a written journal for each client trust fund account that sets forth:
    - 1. the name of such account;
    - 2. the date, amount and client affected by each debit and credit; and,
    - 3. the current balance in such account.
  - iii. all bank statements and cancelled checks for each client trust account; and,
  - iv. each monthly reconciliation (balancing) of (i), (ii), and (iii), above, and if there are any differences between the monthly total balances reflected in (i), (ii), and (iii), above, the reasons for the differences.
- Respondent has maintained a written journal of securities or other properties held for clients that specifies:
  - i. each item of security and property held;
  - ii. the person on whose behalf the security or property is held;
  - iii. the date of receipt of the security or property;
  - iv. the date of distribution of the security or property; and,
  - v. the person to whom the security or property was distributed.
- 2. If Respondent does not possess any client funds, property or securities during the entire period covered by a report, Respondent must so state under penalty of perjury in the report filed with the Office of Probation for that reporting period. In this circumstance, Respondent need not file the accountant's certificate described above.
- 3. The requirements of this condition are in addition to those set forth in rule 4-100, Rules of Professional Conduct.
- d. Client Trust Accounting School
  - Within one (1) year of the effective date of the discipline herein, Respondent must supply to the Office of Probation satisfactory proof of attendance at a session of the Ethics School Client Trust Accounting School, within the same period of time, and passage of the test given at the end of that session.

(Do not write above this line.) In the Matter of	Case number(s):	
KEITH G. JORDAN	04-0-13740, et seq.	

# SIGNATURE OF THE PARTIES

By their signatures below, the parties and their counsel, as applicable, signify their agreement with each of the recitations and each of the terms and conditions of this Stipulation Re Facts, Conclusions of Law and Disposition.

Respondent's signature

Couñsel's signature

KEITH G. JORDAN Print name

JONATHAN I. ARONS Print name

**Deduty Trial Counsel's** 

ident's

ROBIN B. BRUNE Print name

(Do not write above this line.)		,	
In the Matter of	Case number(s):	7	<u></u>
KEITH G. JORDAN	04-O-13740, et seq		

# ORDER

Finding the stipulation to be fair to the parties and that it adequately protects the public, IT IS ORDERED that the requested dismissal of counts/charges, if any, is GRANTED without prejudice, and:

The stipulated facts and disposition are APPROVED and the DISCIPLINE **RECOMMENDED** to the Supreme Court.

The stipulated facts and disposition are APPROVED AS MODIFIED as set forth below, and the DISCIPLINE IS RECOMMENDED to the Supreme Court.

All Hearing dates are vacated.

1. On page 5, section E(7), an "x" is inserted in front of the box re: respondent's responsibility to answer fully, promptly and truthfully any inquiries of the Office of Probation.

The parties are bound by the stipulation as approved unless: 1) a motion to withdraw or modify the stipulation, filed within 15 days after service of this order, is granted; or 2) this court modifies or further modifies the approved stipulation. (See rule 135(b), Rules of Procedure.) The effective date of this disposition is the effective date of the Supreme Court order herein, normally 30 days after file date. (See rule 953(a), California Rules of Court.)

an. 29, 2007

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PAT MCELROY Judge of the State Bar Court

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# CERTIFICATE OF SERVICE [Rule 62(b), Rules Proc.; Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of Los Angeles, on January 31, 2007, I deposited a true copy of the following document(s):

# STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING

in a sealed envelope for collection and mailing on that date as follows:

[X] by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at Los Angeles, California, addressed as follows:

# JONATHAN I ARONS LAW OFFICE JONATHAN I ARONS 101 HOWARD STREET #310 SAN FRANCISCO CA 94105

[X] by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

# **ROBIN BRUNE, Enforcement, San Francisco**

I hereby certify that the foregoing is true and correct. Executed in Los Angeles, California, on January 31, 2007.

nh peaty

Angela Öwens-Carpenter / Case Administrator State Bar Court