PUBLIC MATTER

FILED UG 1 8 2005

STATE BAR COURT CLERK'S OFFICE

STATE BAR COURT OF CALIFORNIA SAN FRANCISCO

HEARING DEPARTMENT - LOS ANGELES

In the Matter of

LAWRENCE RODGER DORSA,

Member No. 176730,

Case No. 04-O-14861-PEM

DECISION AND ORDER OF INVOLUNTARY INACTIVE ENROLLMENT

A Member of the State Bar.

INTRODUCTION

In this disciplinary matter, Eli D. Morgenstern appeared for the Office of the Chief Trial Counsel of the State Bar of California ("State Bar"). Respondent Lawrence Rodger Dorsa did not appear in person or by counsel.

After considering the evidence and the law, the court recommends that respondent be disbarred.

SIGNIFICANT PROCEDURAL HISTORY

The Notice of Disciplinary Charges ("NDC") was filed on March 22, 2005, and was properly served on respondent on that same date at his official membership records address, by certified mail, return receipt requested, as provided in Business and Professions Code section 6002.1(c) ("official address"). A courtesy copy was also served by regular mail at an alternate address for respondent. Service was deemed complete as of the time of mailing. (Lydon v. State

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¹All future references to "section(s)" are to the Business and Professions Code unless otherwise specified.

²Respondent's official address is: 43460 Ridge Park Drive, #220, Temecula, California 92590. The alternate address is: 1385 Oak Hill Drive, Escondido, California 92027. All future

Bar (1988) 45 Cal.3d 1181, 1186.) The certified mail was returned to the State Bar. The copy sent to the alternate address was not returned.

On April 5, 2005, respondent was properly served at his official address with a notice advising him, among other things, that a status conference would be held on May 2, 2005. This correspondence was returned as undeliverable.

Respondent did not file a responsive pleading to the NDC. On April 20, 2005, a motion for entry of default was filed and properly served on respondent at his official and alternate addresses by certified mail, return receipt requested. The motion advised him that minimum discipline of disbarment would be sought if he was found culpable. He did not respond to the motion.

Respondent did not appear at the May 2 status conference. On that same date, he was properly served with a status conference order at his official address by first-class mail, postage prepaid. The order also advised him that he matter was going to proceed by default.

On May 5, 2005, the court entered respondent's default and enrolled him inactive effective three days after service of the order. The order was properly served on him at his official address on that same date by certified mail, return receipt requested. This correspondence was returned. A courtesy copy was also sent by regular mail to the alternate address. This correspondence was not returned.

The matter was submitted for decision without hearing on May 25, 2005, after the State Bar waived hearing and filed a brief regarding respondent's culpability and the proposed level of discipline.

FINDINGS OF FACT AND CONCLUSIONS OF LAW

The court's findings are based on the allegations contained in the NDC as they are deemed admitted and no further proof is required to establish the truth of those allegations.

references to "alternate address" are to the Escondido address.

(Section 6088; Rules Proc. of State Bar, rule 200(d)(1)(A).³) The findings are also based on any evidence admitted.

It is the prosecution's burden to establish culpability of the charges by clear and convincing evidence. (In the Matter of Glasser (Review Dept. 1990) 1 Cal. State Bar Ct. Rptr. 163, 171.)

Jurisdiction

Respondent was admitted to the practice of law in California on June 9, 1995, and has been a member of the State Bar at all times since.

Facts

On July 21, 2004, the California Supreme Court entered order no. S126357 suspending respondent from the practice of law for not complying with court-ordered child and family support obligations. The order became effective on August 23, 2004.

On August 27, 2004, the California Supreme Court entered order no. S126962 suspending respondent from the practice of law for not paying State Bar of California annual membership fees. The order became effective on September 16, 2004.

On July 26 and August 27, 2004, the State Bar's membership records office properly served a copy of each of these orders on respondent at his official address. As of March 22, 2005, respondent remained actually suspended from the practice of law pursuant to each of the orders.

On September 28, 2004, while suspended from the practice of law, respondent sent a letter to attorney Gary Sirota stating that he had been retained to represent Apolonia Ruiz in a dispute with one of Sirota's clients. The letter was written on respondent's letterhead which designated him as an attorney at law. Respondent did not tell Sirota that he was not entitled to practice law.

On October 15, 2004, the State Bar opened an investigation on case no. 04-O-14861

³Future references to "rule" are to the Rules of Procedure of the State Bar unless otherwise specified.

pursuant to a complaint filed by Sirota regarding allegations of misconduct. On November 1, 2004, a State Bar investigator sent respondent a letter asking that respondent answer in writing specific allegations of misconduct regarding this complaint. The letter was addressed to respondent's official address and sent by first-class mail, postage prepaid. The letter was returned as undeliverable but noted a forwarding address. The forwarding address is one of respondent's former addresses at which the State Bar had not succeeded in contacting him in the past.

On December 20, 2004, the State Bar investigator again wrote to respondent about Sirota's complaint, asking that respondent answer in writing specific allegations of misconduct. The letter was addressed to respondent's alternate address and sent by first-class mail, postage prepaid. It was not returned to the State Bar as undeliverable or for any other reason. Respondent received this letter. He did not answer the letter or otherwise communicate with the investigator.

Conclusions of Law

Count One - Section 6068(a) (Engaging in the Unauthorized Practice of Law)

Section 6068(a) requires an attorney to support the Constitution as well as state and federal laws.

Section 6125 requires an individual to be a member of the State Bar in order to practice law in California.

Section 6126(a) makes it a misdemeanor for an individual to advertise or to hold him- or herself out as practicing or entitled to practice law or otherwise practicing law when he is not an active member of the State Bar of California.

By sending a letter on his law office letterhead to opposing counsel while suspended from the practice of law, respondent held himself out as entitled to practice law when he was not so entitled. In so doing, he violated sections 6125 and 6126(a) and failed to support the laws of this State in wilful violation of section 6068(a).

Count Two - Section 6068(i) (Failure to Participate in a Disciplinary Investigation)

Section 6068(i) requires an attorney to participate and cooperate in any disciplinary

investigation or other disciplinary or regulatory proceeding pending against him- or herself.

There is not clear and convincing evidence that respondent failed to cooperate with the State Bar's investigation by not responding to the October 15 letter as it was returned as undeliverable. Consequently, there is no evidence that he was actually aware of the State Bar's disciplinary investigation of his conduct pursuant to the Sirota complaint.⁴

However, there is clear and convincing evidence that, by not responding to the State Bar's December 20, 2004 letter, respondent did not participate in the investigation of the allegations of misconduct regarding the Sirota complaint in wilful violation of 6068(i).

LEVEL OF DISCIPLINE

Aggravating Circumstances

Respondent has one prior instance of discipline. (Rules Proc. of State Bar, tit. IV, Stds. for Atty. Sanctions for Prof. Misconduct, std. 1.2(b)(i).⁵) In Supreme Court order no. S131120 (State Bar Court case no. 04-O-11377; 04-O-10829 (cons.)), effective May 14, 2005, discipline was imposed consisting of three years' stayed suspension and until he complied with standard 1.4(c)(ii); and actual suspension for two years and until he completed restitution, complied with standard 1.4c)(ii) and with rule 205, among other things.⁶ In that default proceeding, respondent

⁴Since that letter was returned as undeliverable although properly sent to respondent's official address, he could have, but was not, charged with a wilful violation of section 6068(j). Section 6068(j) requires an attorney to comply with the requirements of section 6002.1, which, among other things, requires him or her to maintain a current address and telephone number with the State Bar and to notify the State Bar within 30 days of any change in same.

⁵Future references to "standard" or "std." are to these standards.

⁶Respondent was also ordered to comply with California Rules of Court, rule 955(a) and (c) (hereinafter "rule 955"), within 30 and 40 days, respectively, from the effective date of the disciplinary order. The affidavit required by rule 955(c) to be filed with this court was due on June 23, 2005. On its own motion, the court judicially notices its records pursuant to Evidence

Cal.3d 116,131; rule 955(d), Cal. Rules of Court.) The court's records do not indicate that a proceedings on this basis is pending against respondent.

Code section 452(d)(1) which indicate that, as of August 15, 2005, respondent has not filed the

affidavit. If respondent were to be found culpable of a wilful failure to comply with rule 955(c), disbarment is generally considered the appropriate sanction. (Bercovich v. State Bar (1990) 50

was found culpable, in two matters, of seven acts of professional misconduct, including failing to perform and to communicate, improperly withdrawing from employment, not maintaining client funds in trust and committing acts of moral turpitude. The misconduct occurred between July 2003 and May 2004.

Respondent's failure to participate in these proceedings prior to the entry of default is also an aggravating factor. (Std. 1.2(b)(vi).) He has demonstrated his contemptuous attitude toward disciplinary proceedings as well as his failure to comprehend the duty of an officer of the court to participate therein, a serious aggravating factor. ((Std. 1.2(b)(vi); Cf. In the Matter of Stansbury (Review Dept. 2000) 4 Cal. State Bar Ct. Rptr. 104, 109.)

Mitigating Circumstances

Since respondent did not participate in these proceedings and he bears the burden of establishing mitigation by clear and convincing evidence, the court has been provided no basis for finding mitigating factors.

Discussion

The purpose of State Bar disciplinary proceedings is not to punish the attorney, but to protect the public, to preserve public confidence in the profession, and to maintain the highest possible professional standards for attorneys. (*Chadwick v. State Bar* (1989) 49 Cal.3d 103, 111; *Cooper v. State Bar* (1987) 43 Cal.3d 1016, 1025; std. 1.3.)

Standard 1.6 provides that the appropriate sanction for the misconduct found must be balanced with any mitigating or aggravating circumstances, with due regard for the purposes of imposing discipline. If two or more acts of professional misconduct are found in a single disciplinary proceeding, the sanction imposed shall be the most severe of the applicable sanctions. (Std. 1.6(a).)

Standard 2.6 applies in this matter. It recommends suspension or disbarment for violations of sections 6067 and 6068, depending on the gravity of the offense or harm, if any to the victim, with due regard to the purposes of imposing discipline. The standards, however, are

guidelines from which the court may deviate in fashioning the most appropriate discipline considering all the proven facts and circumstances of a given matter. (*In re Young* (1989) 49 Cal.3d 257, 267, fn. 11; *Howard v. State Bar* (1990) 51 Cal.3d 215.) They are "not mandatory 'sentences' imposed in a blind or mechanical manner." (*Gary v. State Bar* (1988) 44 Cal.3d 820, 828.)

Respondent has been found culpable of practicing law while suspended and of not cooperating with the State Bar's investigation of possible misconduct. In aggravation, respondent has a recent disciplinary record and did not participate in these proceedings prior to the entry of default. He presented no mitigating circumstances in this default case.

The State Bar recommends disbarment and the court agrees.

In Morgan v. State Bar (1990) 51 Cal.3d 598, the attorney was disbarred for engaging in the unauthorized practice of law and acquiring an adverse pecuniary interest in one client matter. While on disciplinary suspension, the attorney accepted partial payment of legal fees in a dissolution of marriage matter; assisted in the preparation of legal documents for filing; court documents showed him as attorney of record although another attorney signed them; associated another attorney to make a court appearance; and entered an appearance in the case by discussing its settlement and a continuance with opposing counsel. The attorney also entered into an openended credit transaction with the client without fully explaining it to her and without reducing it to writing. Knowing the client's financial situation, he offered to but did not fully pay some delinquent credit card bills in exchange for being allowed to shop using her credit cards. After the bills were sent to collection and the client filed for Chapter 7 bankruptcy protection, the attorney paid the bills. In aggravation, it was found that the attorney had four prior instances of discipline⁷, one of which was for the unauthorized practice of law, engaged in a pattern of misconduct, demonstrated indifference to the court's disciplinary orders. Client harm was also noted. In mitigation, the attorney presented five character witnesses and evidence of community

⁷There were five prior instances of discipline but the court treated them as four because the fourth and fifth cases should have been consolidated.

service or pro bono work. Moreover, the court found that the present violation for unauthorized practice of law was an isolated incident as there was no indication that the attorney undertook to represent anyone else during his suspension.

In *In the Matter of Taylor* (Review Dept. 1991) 1 Cal. State Bar Ct. Rptr. 563, the attorney had committed serious misconduct in three client matters, including repeatedly practicing law while suspended, deceiving a court and client by filing an unauthorized lawsuit and not complying with his criminal probation by disobeying two separate court orders requiring him to provide support to his minor children. He also had a prior record of discipline and did not participate in either the present or past disciplinary proceedings. There were no mitigating circumstances. Accordingly, the Review Department found that respondent was not a good candidate for suspension and/or probation because "... these facts reflect respondent's disdain and contempt for the orderly process and rule of law and clearly demonstrate that the risk of future misconduct is great." (*Id.* at p. 581.)

Morgan and Taylor present greater misconduct than the present case. Respondent Morgan participated in the proceedings and presented mitigating circumstances, although neither of these factors was sufficient to save him from disbarment. The common thread in Morgan, Taylor and the present case is the attorneys' disdain for the process and rule of law which demonstrate that the risk of future misconduct is great.

Respondent's misconduct and lack of participation in this case and the prior disciplinary matter raises concerns about his ability or willingness to comply with his ethical responsibilities to the public and to the State Bar. He has "displayed total indifference and lack of remorse" by ignoring his disciplinary proceedings such that "far more severe discipline is required to achieve the purposes of attorney discipline set forth in standard 1.3." (*Taylor*, *supra*, 1 Cal. State Bar Ct. Rptr. at p. 581.) No explanation has been offered that might persuade the court otherwise.

Having considered the evidence and the law, the court believes that respondent's disbarment is necessary to protect the public, the courts and the legal community, to maintain high professional standards and to preserve public confidence in the legal profession. It would undermine the integrity of the disciplinary system and damage public confidence in the legal

profession if respondent were not disbarred for his unexplained wilful disregard of the Supreme Court 's orders suspending him from the practice of law.

DISCIPLINE RECOMMENDATION

IT IS HEREBY RECOMMENDED that respondent LAWRENCE RODGER DORSA be DISBARRED from the practice of law in the State of California and that his name be stricken from the rolls of attorneys in this state.

It is also recommended that the Supreme Court order respondent to comply with rule 955, paragraph (a), of the California Rules of Court within 30 calendar days of the effective date of the Supreme Court order in the present proceeding, and to file the affidavit provided for in paragraph (c) within 40 days of the effective date of the order showing his compliance with said order.

COSTS

The court recommends that costs be awarded to the State Bar pursuant to Business and Professions Code section 6086.10, and that those costs be payable in accordance with section 6140.7.

ORDER REGARDING INACTIVE ENROLLMENT

It is ordered that respondent be transferred to involuntary inactive enrollment status pursuant to section 6007(c)(4). The inactive enrollment shall become effective three days from the date of service of this order and shall terminate upon the effective date of the Supreme Court's order imposing discipline herein or as otherwise ordered by the Supreme Court pursuant to its plenary jurisdiction.

Dated: August 18, 2005

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Judge of the State Bar Court

CERTIFICATE OF SERVICE

[Rule 62(b), Rules Proc.; Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of San Francisco, on August 18, 2005, I deposited a true copy of the following document(s):

DECISION AND ORDER OF INVOLUNTARY INACTIVE ENROLLMENT, filed August 18, 2005

in a sealed envelope for collection and mailing on that date as follows:

[X] by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at San Francisco, California, addressed as follows:

LAWRENCE R. DORSA 43460 RIDGE PARK DR #220 TEMECULA CA 92590 LAWRENCE R. DORSA 1385 OAK HILL DR ESCONDIDO CA 92027

[X] by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

ELI MORGENSTERN, Enforcement, Los Angeles

I hereby certify that the foregoing is true and correct. Executed in San Francisco, California, on August 18, 2005.

George Hue
Case Administrator

State Bar Court