




<p><b>STATE BAR COURT OF CALIFORNIA</b></p> <p><b>HEARING DEPARTMENT</b></p> <p>1149 S. Hill St., 5<sup>th</sup> Fl., Los Angeles, CA 90015-2299</p>	<p>FOR CLERK'S USE ONLY:</p> <p><b>FILED</b></p> <p>JAN 17 2008</p> <p>STATE BAR COURT CLERK'S OFFICE LOS ANGELES</p> 
<p>In the Matter of:</p> <p><b>DEVIN G. ROCKEFELLER,</b></p> <p>Member No. 174528,</p> <p>A Member of the State Bar.</p>	<p>Case No(s): 07-C-13850</p> <p><b>NOTICE OF HEARING ON CONVICTION</b> <b>(Business and Professions Code §§ 6101 and 6102)</b></p>

**NOTICE TO RESPONDENT RE: DEFAULT AND INACTIVE ENROLLMENT**

**If you fail to file an answer to this notice within the time allowed by State Bar Rules, including extensions, or if you fail to appear at the State Bar Court trial, (1) your default shall be entered; (2) you shall be enrolled as an involuntary inactive member of the State Bar and will not be permitted to practice law unless the default is set aside on motion timely made under the Rules of Procedure of the State Bar; (3) you shall not be permitted to participate further in these proceedings unless your default is set aside; and (4) you shall be subject to additional discipline.**

**State Bar Rules require you to file your written response to this notice within twenty days after service.**

**If your default is entered: (1) evidence that would otherwise be inadmissible may be used against you in this proceeding; and (2) you will lose the opportunity to participate further in these proceedings, including presenting evidence in mitigation, countering evidence in aggravation, and moving for reconsideration, unless and until your default is set aside on motion timely made under the prescribed grounds. See rules 200 et seq., and rules 602, 603 and 604, Rules of Procedure for State Bar Court Proceedings; Business and Professions Code section 6102(g).**

**If your default is entered and the discipline imposed by the Supreme Court in this proceeding includes a period of actual suspension, you will remain suspended from the practice of law for at least the period of time specified by the Supreme Court. In addition, the actual suspension will continue until you have requested, and the State Bar Court has granted, a motion for termination of the actual suspension. As a condition for terminating the actual suspension, the State Bar Court may place you on probation and require you to comply with such conditions of probation as the State Bar Court deems appropriate. See rule 205, Rules of Procedure for State Bar Court Proceedings.**

Pursuant to the order of the Review Department, filed **December 27, 2007**, a true and correct copy of which

is attached as an exhibit hereto, your conviction has been referred to the Hearing Department of the State Bar Court.

**You are notified to be present in person or by counsel at a status conference to be set pursuant to rule 1210, Rules of Practice of the State Bar Court.**

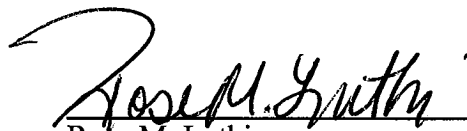
YOU ARE FURTHER NOTIFIED, pursuant to rules 600-607, Rules of Procedure for State Bar Court Proceedings, to be present at such time and place as is set for the first day of trial, in person or by counsel to present evidence on your behalf, to examine and cross-examine witnesses and otherwise participate in the proceedings before the State Bar Court.

Your attention is directed to the Rules of Procedure of the State Bar and Rules of Practice of the State Bar Court, which govern these proceedings. You may locate the Rules of Procedure and Rules of Practice on the internet at <http://www.statebarcourt.org>. If you do not have access to the Internet, please contact Administrative Services at (213) 765-1121 to obtain a copy of the rules.

**NOTICE - COST ASSESSMENT**

**In the event these proceedings result in public discipline, you may be subject to the payment of costs incurred by the State Bar in the investigation, hearing and review of this matter pursuant to Business and Professions Code sections 6086.10 and 6140.7. See also rule 280, et seq., Rules of Procedure of the State Bar.**

Dated: January 17, 2008



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Rose M. Luthi  
Case Administrator  
State Bar Court

FILED

DEC 27 2007

STATE BAR COURT  
CLERK'S OFFICE  
LOS ANGELES

07-C-13850

**REVIEW DEPARTMENT OF THE STATE BAR COURT  
IN BANK**

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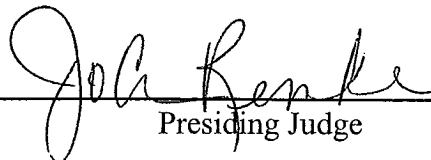
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**IN THE MATTER OF DEVIN G. ROCKEFELLER,  
A MEMBER OF THE STATE BAR OF CALIFORNIA**

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The review department determines, based on the record of conviction, that violation of Corporations Code section 25210(b) is a crime which may or may not involve moral turpitude. Accordingly, this matter is referred to the Hearing Department under the authority of rule 9.10(a) of the California Rules of Court for a hearing and decision recommending the discipline to be imposed in the event that the Hearing Department finds that the facts and circumstances surrounding the violation of Corporations Code section 25210(b), of which Devin G. Rockefeller was convicted, involved moral turpitude or other misconduct warranting discipline.

  
\_\_\_\_\_  
Presiding Judge

**CERTIFICATE OF SERVICE**  
**[Rule 62(b), Rules Proc.; Code Civ. Proc., § 1013a(4)]**

I am a Case Administrator of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of Los Angeles, on January 17, 2008, I deposited a true copy of the following document(s):

**NOTICE OF ASSIGNMENT AND NOTICE OF INITIAL STATUS  
CONFERENCE; NOTICE OF HEARING ON CONVICTION AND  
ATTACHMENT HERETO**

in a sealed envelope for collection and mailing on that date as follows:

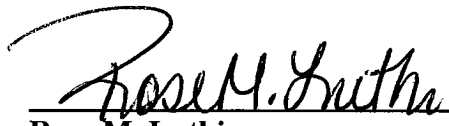
- by certified mail, No. 7160 3901 9848 5948 9197, with return receipt requested, through the United States Postal Service at Los Angeles, California, addressed as follows:

**DEVIN G. ROCKEFELLER, ESQ.**  
**940 S COAST DR STE 245**  
**COSTA MESA, CA 92626**

- by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

**ANTHONY GARCIA, ESQ., Enforcement, Los Angeles**

I hereby certify that the foregoing is true and correct. Executed in Los Angeles, California, on **January 17, 2008**.

  
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**Rose M. Luthi**  
Case Administrator  
State Bar Court