# PUBLIC MATTER

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## FILED

STATE BAR OF CALIFORNIA MAY 1 0 2010 OFFICE OF THE CHIEF TRIAL COUNSEL RUSSELL G. WEINER, No. 94504 STATE BAR COURT INTERIM CHIEF TRIAL COUNSEL CLERK'S OFFICE PATSY J. COBB, No. 107793 LOS ANGELES DEPUTY CHIEF TRIAL COUNSEL DJINNA M. GOCHIS, No. 108360 ASSISTANT CHIEF TRIAL COUNSEL DANE C. DAUPHINE, NO. 121606 SUPERVISING TRIAL COUNSEL CHARLES T. CALIX, No. 146853 DEPUTY TRIAL COUNSEL 1149 South Hill Street Los Angeles, California 90015-2299 Telephone: (213) 765-1255 kwiktag® 022 606 171 STATE BAR COURT **HEARING DEPARTMENT - LOS ANGELES** Case Nos. 07-O-11968, 07-O-13696, In the Matter of: 08-O-12328, and 09-O-12276 14 RICKEY BRIAN OXMAN, NOTICE OF DISCIPLINARY CHARGES No. 72172. and 16 MAUREEN PATRICIA JAROSCAK, 17 No. 117677, 18 A Member of the State Bar 19 **NOTICE - FAILURE TO RESPOND!** 20 IF YOU FAIL TO FILE AN ANSWER TO THIS NOTICE WITHIN THE 21 TIME ALLOWED BY STATE BAR RULES, INCLUDING EXTENSIONS, OR IF YOU FAIL TO APPEAR AT THE STATE BAR COURT TRIAL, (1) 22 YOUR DEFAULT SHALL BE ENTERED, (2) YOU SHALL BE ENROLLED AS AN INACTIVE MEMBER OF THE STATE BAR AND 23 WILL NOT BE PERMITTED TO PRACTICE LAW UNLESS THE DEFAULT IS SET ASIDE ON MOTION TIMELY MADE UNDER THE 24 RULES OF PROCEDURE OF THE STATE BAR, (3) YOU SHALL NOT PARTICIPATE FURTHER IN THESE PERMITTED TO BE 25 **PROCEEDINGS UNLESS YOUR DEFAULT IS SET ASIDE, AND (4) YOU** 

STATE BAR RULES REQUIRE YOU TO FILE YOUR WRITTEN **RESPONSE TO THIS NOTICE WITHIN TWENTY DAYS AFTER** SERVICE.

SHALL BE SUBJECT TO ADDITIONAL DISCIPLINE.

1 IF YOUR DEFAULT IS ENTERED AND THE DISCIPLINE IMPOSED BY THE SUPREME COURT IN THIS PROCEEDING INCLUDES A PERIOD 2 OF ACTUAL SUSPENSION, YOU WILL REMAIN SUSPENDED FROM THE PRACTICE OF LAW FOR AT LEAST THE PERIOD OF TIME 3 SPECIFIED BY THE SUPREME COURT. IN ADDITION, THE ACTUAL SUSPENSION WILL CONTINUE UNTIL YOU HAVE REQUESTED, 4 AND THE STATE BAR COURT HAS GRANTED, A MOTION FOR TERMINATION OF THE ACTUAL SUSPENSION. AS A CONDITION 5 FOR TERMINATING THE ACTUAL SUSPENSION, THE STATE BAR COURT MAY PLACE YOU ON PROBATION AND REOUIRE YOU TO 6 COMPLY WITH SUCH CONDITIONS OF PROBATION AS THE STATE BAR COURT DEEMS APPROPRIATE. SEE RULE 205, RULES OF 7 PROCEDURE FOR STATE BAR COURT PROCEEDINGS. 8 The State Bar of California alleges: 9 JURISDICTION 1. Rickey Brian Oxman ("Respondent Oxman") was admitted to the practice of law in 10 the State of California on December 22, 1976, was a member at all times pertinent to these 11 12 charges, and is currently a member of the State Bar of California. 2. Maureen Patricia Jaroscak ("Respondent Jaroscak") was admitted to the practice of 13 law in the State of California on June 11, 1985, was a member at all times pertinent to these 14 15 charges, and is currently a member of the State Bar of California. COUNT ONE 16 17 Case No. 07-O-11968 Business and Professions Code section 6103 18 [Failure to Obey a Court Order] 3. Respondent Oxman willfully violated Business and Professions Code section 6103, 19 20 by willfully disobeying or violating an order of the court requiring him to do or forbear an act 21 connected with or in the course of Respondent Oxman's profession which he ought in good faith 22 to do or forbear, as follows: 23 4. Beginning in or about August 2002, Respondent Oxman represented Raquel Axelrod 24 ("Axelrod") in a marital dissolution proceeding in the Los Angeles County Superior Court titled 25 Raquel Larson v. Christopher Larson, case number BD 267034 (the "Larson dissolution"). At 26 all times herein mentioned, Christopher Larson ("Larson") was represented by attorney John R. 27 Fuchs ("Fuchs").

-2-

5. On or about December 22, 2004, Axelrod filed a bankruptcy petition under chapter 7
 in the United States Bankruptcy Court, Central District of California, titled *In re Raquel Axelrod*,
 case no. SV 04-18053 (the "Axelrod bankruptcy"). Axelrod sought to discharge claims made by
 Larson and Fuchs against her in addition to other obligations.

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6. On or about October 30, 2006, Fuchs filed a motion for sanctions against Respondent Oxman in the Axelrod bankruptcy. On or about November 21, 2006, Respondent Oxman filed an opposition to the motion that asserted a claim of subrogation for paying \$29,909.59 to Fuchs and Larson. On or about January 30, 2007, the hearing on the motion was held. All parties appeared and thereafter, the court took the matter under submission.

7. On or about March 13, 2007, the bankruptcy court issued an order granting Fuchs's
motion for sanctions in the Axelrod bankruptcy. The court imposed sanctions in the amount of
\$5,084 against Respondent Oxman to be paid to Larson within 45 days of the order. The court
held, among other things, that Respondent Oxman could not qualify for equitable subrogation
and that Respondent Oxman's opposition to the Compromise was frivolous and filed with an
improper purpose. Respondent Oxman received notice of the order.

16 8. Respondent Oxman did not appeal, pay the sanctions of \$5,084, or otherwise seek
17 relief from the sanctions imposed in the Axelrod bankruptcy.

9. By this conduct, Respondent Oxman disobeyed or violated an order of the court
requiring him to do an act connected with or in the course of Respondent Oxman's profession
which he ought in good faith to do.

#### <u>COUNT TWO</u>

### Case No. 07-O-11968 Business and Professions Code section 6068(0)(3) [Failure to Report Judicial Sanctions]

10. Respondent Oxman willfully violated Business and Professions Code section
6068(o)(3), by failing to report to the agency charged with attorney discipline, in writing, within
30 days of the time Respondent Oxman had knowledge of the imposition of any judicial
sanctions against Respondent, as follows:

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1	11. The allegations in Count One are incorporated by reference.	
2	12. Respondent Oxman did not report to the State Bar the sanctions of \$5,084 imposed on	
3	him in the Axelrod bankruptcy.	
4	13. By this conduct, Respondent Oxman failed to report to the agency charged with	
5	attorney discipline, in writing, within 30 days of the time he had knowledge of the imposition of	
6	any judicial sanctions against him.	
7	COUNT THREE	
8	Case No. 07-O-13696 Business and Professions Code section 6106 [Moral Turpitude – Breach of Fiduciary Duty]	
10	14. Respondent Jaroscak willfully violated Business and Professions Code section 6106,	
11	by committing an act involving moral turpitude, dishonesty or corruption, as follows:	
12	15. On or about January 19, 1992, Lyle Quatrochi ("Lyle") and Martha Quatrochi	
13	("Martha") executed the "Lyle and Martha Quatrochi Family Trust," which provided that they	
14	would act as trustees and named their two children, Gerald Quatrochi ("Gerald") and Susan	
15	Quatrochi McIntire ("Susan"), as beneficiaries. Respondent Jaroscak did not prepare the Trust.	
16	16. In or about July of 1999, Lyle and Martha employed Respondent Jaroscak for, among	
17	other things, estate planning purposes.	
18	17. In or about March of 2001, Martha died.	
19	18. On or about November 16, 2001, Lyle signed a power of attorney prepared by	
20	Respondent Jaroscak that named Respondent Jaroscak as his "agent (attorney in fact)"	
21	concerning all financial transactions. Respondent Jaroscak signed the power of attorney	
22	accepting the appointment.	
23	19. On or about December 7, 2001, Lyle sold the family home, which was held by the	
24	Quatrochi Family Trust. Pursuant to amended escrow instructions, the proceeds from the sale	
25	amounting to \$591,374.37 were made payable to the Quatrochi Family Trust and sent to	
26	Respondent Jaroscak. Respondent Jaroscak received the proceeds and deposited the funds in an	
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account held in the name of the Quatrochi Family Trust at California National Bank, account no. \*\*\*\*\*\*6877.<sup>1</sup>

3 20. On or about January 24, 2002, Lyle executed a "First Amendment to the Lyle and 4 Martha Quatrochi Family Trust" that, among other things, named Respondent Jaroscak the sole 5 trustee and named Gerald the successor trustee.

6 21. On or about June 1, 2002, Lyle died. According to the terms of the Quatrochi Family 7 Trust, the trustee was supposed to distribute the assets after the deaths of Martha and Lyle to 8 Gerald and Susan since they were both living and over 25 years old at that time.

9 22. In or about July 2002, Respondent Jaroscak distributed \$50,000 to Gerald and 10 \$50,000 to Susan from the Quatrochi Family Trust.

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23. On or about October 18, 2002, Respondent Jaroscak distributed \$246,257.48 to 12 Gerald and \$246,257.48 to Susan from the Quatrochi Family Trust.

13 24. After the distributions made in or about July 2002 and on or about October 18, 2002, 14 Respondent Jaroscak maintained control of the approximate sum of \$12,000 belonging to the 15 Quatrochi Family Trust in the Trust's bank account. Respondent Jaroscak also maintained 16 control over the approximate sum of \$58,000 belonging to the Trust in an IRA account.

17 25. Between in or about October 2002 and in or about March 2003, Gerald and Susan 18 made repeated demands to Respondent Jaroscak to distribute the remainder of the funds 19 belonging to the Trust. Respondent Jaroscak received the demands but failed to distribute the 20 funds.

21 26. Respondent as trustee of the Quatrochi Family Trust, owed a fiduciary duty to Gerald 22 and Susan, the beneficiaries, to disburse the assets to them after the death of Lyle, the surviving 23 trustor.

24 27. On or before March 25, 2003, Gerald and/or Susan employed attorney Stanley P. 25 Lieber ("Lieber") to represent them in obtaining the financial records and remaining funds 26 belonging to the Trust from Respondent Jaroscak.

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<sup>1</sup> The account number has been redacted to protect the account and account holder. -528. On or about March 25, 2003, Lieber filed a petition in the Los Angeles County
 Superior Court titled *In re: Susan McIntire and Gerald Quatrochi v. Maureen Jaroscak*, case no.
 VP009421 (the "Quatrochi Trust case"). The petition requested removal of Respondent Jaroscak
 as trustee and the release of trust funds.

29. On or about March 11, 2004, March 1, 2006, April 26, 2007, May 10, 2007, July 13,
2007, July 18, 2007, August 17, 2007, and June 28, 2007, Lieber served pleadings concerning
the Quatrochi Trust case on Respondent Jaroscak at her official membership address.
Respondent Jaroscak received the pleadings.

30. In or about August 2006, Respondent Jaroscak's law partner and husband,
Respondent Oxman, contacted Lieber and offered to release the funds if Gerald and Susan would
pay Respondent Jaroscak the \$10,000 that Respondent Jaroscak claimed that she was entitled to
receive for attorney fees. Lieber declined the offer, but counter-offered that Respondent
Jaroscak release the funds less the \$10,000 in dispute and that they would allow the superior
court to rule on the issue of Respondent Jaroscak's fees. Respondent Oxman rejected Lieber's
counter-offer and refused on behalf of Respondent Jaroscak to release the undisputed funds.

31. On or about April 5, 2007, a hearing was held on the petition to remove Respondent
Jaroscak as Trustee in the Quatrochi Trust case. Lieber, Gerald and Susan appeared. Neither
Respondent Jaroscak nor Respondent Oxman appeared. The superior court struck Respondent
Jaroscak's response to the petition, removed Respondent Jaroscak as Trustee, ordered
Respondent Jaroscak to prepare an accounting, and ordered Respondent Jaroscak to release all
funds belonging to the Trust.

22 23 32. Respondent Jaroscak never disbursed the remaining funds belonging to the Quatrochi Family Trust.

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33. In or about June 2008, Gerald was able to obtain the funds belonging to the Trust
using orders from the Quatrochi Trust case to gain control of the Trust bank account.

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	1	34. By failing to account and disburse in violation of her fiduciary duties as the sole
	2	trustee of the Quatrochi Family Trust, Respondent Jaroscak committed an act involving moral
	3	turpitude, dishonesty or corruption.
•	4	<u>COUNT FOUR</u>
	5 6	Case No. 07-O-13696 Business and Professions Code section 6068(i) [Failure to Cooperate in State Bar Investigation]
	7	35. Respondent Jaroscak willfully violated Business and Professions Code section
	8	6068(i), by failing to cooperate and participate in a disciplinary investigation pending against
	9	Respondent Jaroscak, as follows:
	10	36. The allegations in Count Three are incorporated herein.
	11	37. On or about October 17, 2007, and November 19, 2007, a State Bar Investigator
. <sup>9</sup>	12	mailed letters to Respondent Jaroscak at her official membership records address requesting that
	13	Respondent Jaroscak respond in writing to the complaint by Lieber that Respondent Jaroscak
	14	regarding the disbursement of the funds belonging to the Quatrochi Family Trust. Respondent
	15	Jaroscak received the letters.
	16	38. Respondent Jaroscak did not provide a written or oral response to the Investigator's
	17	letters.
	18	39. By this conduct, Respondent Jaroscak failed to cooperate and participate in a
	19	disciplinary investigation.
	20	<u>COUNT FIVE</u>
	21 22	Case Nos. 08-O-12328 and 09-O-12276 Rules of Professional Conduct, rule 4-100(A) [Commingling Personal Funds in Client Trust Account]
	23	40. Respondent Oxman and Respondent Jaroscak willfully violated Rules of Professional
	24	Conduct, rule 4-100(A), by depositing or commingling funds belonging to them in a bank
	25	account labeled "Trust Account," "Client's Funds Account" or words of similar import, as
	26	follows:
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41. At all times herein relevant, Respondent Oxman and Respondent Jaroscak were
 married and were partners in the law firm of Oxman & Jaroscak.

42. From January 1, 2008 through July 31, 2008, the law firm of Oxman & Jaroscak
maintained a client trust account at Wells Fargo Bank titled "R. Brian Oxman – Attorney Trust
Account," account number \*\*\*-\*\*\*3825 ("CTA").<sup>2</sup>

6 43. Between on or about January 14, 2008 and on or about July 30, 2008, Respondent
7 Oxman and Respondent Jaroscak repeatedly deposited their personal funds into the CTA,
8 including but not limited to the following:

DATE	PAYEE	PAYOR	AMOUNI
		Jaroscak's Manhattan Life Capitol Account,	
01/14/08	Oxman check # 104, dated 1/14/08		\$3,000.00
		Jaroscak's Manhattan Life Capitol Account,	
01/28/08	Oxman	check # 106, dated 1/28/08	\$5,000.0
		LawTalk/MCLE, Inc., check # 8847, dated	
01/28/08	Oxman	1/19/08	\$619.0
		LawTalk/MCLE, Inc., check # 8853, dated	
01/28/08	Oxman	1/26/08	\$500.0
01/31/08	Oxman	Irvine University, check # 1532	\$225.0
02/19/08	Oxman	C.A.S.C.U., check # 543, dated 2/5/08	\$225.0
		Jaroscak's Manhattan Life Capitol Account,	
02/19/08	Oxman	check # 107, dated 2/18/08	\$1,000.0
	····	Jaroscak's Manhattan Life Capitol Account,	
02/22/08	Oxman	check # 108, dated 2/22/08	\$2,500.0 \$75.0
02/22/08	Jaroscak	k C.A.S.C.U., check # 546, dated 2/12/08	
02/29/08	Jaroscak	Irvine University, check # 1575, dated 2/20/08	\$112.5
02/29/08	Oxman	Irvine University, check # 1573, dated 2/20/08.	\$225.0
03/11/08	Jaroscak	Irvine University, check # 1595, dated 3/5/08	\$225.0
03/11/08	Oxman	Irvine University, check # 1594, dated 3/5/08	\$225.0
Jaroscak's Manhattan Life Capitol Account,			
03/14/08 Oxman check # 109, dated 3/14/08		\$2,000.0	
1 Jaroscak's Manhattan Life Capitol Account,			
03/19/08   Oxman   check # 110. dated 3/18/08		\$5,000.0	
03/28/08	Jaroscak	Office Depot, check # 00138951, dated 3/26/08	\$8.0
03/28/08	Jaroscak	Irvine University, check # 2742, dated 3/20/08	\$225.0
03/28/08	Oxman	C.A.S.C.U., check # 571, dated 3/13/08	\$29.5
03/28/08	Oxman	Irvine University, check # 2741, dated 3/20/08	\$225.0
		Jaroscak's Manhattan Life Capitol Account,	
04/03/08	Oxman	check # 111, dated 4/3/08	\$3,000.0
5 04/03/08 Oxman check # 111, dated 4/3/08 \$. Marjorie Jaroscak & William Jaroscak, check #			
04/03/08	Jaroscak	2678, dated 4/2/08	\$50.0
	_	Jaroscak's Manhattan Life Capitol Account,	
04/14/08	Oxman	check # 112, dated 4/14/08	\$4,000.0

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<sup>2</sup> The account number has been redacted to protect the account and account holder.

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		04/14/08	Oxman	Irvine University, check # 2757, dated	4/5/08	\$75.00
	1	04/14/00	Oxiliali	Jaroscak's Manhattan Life Capitol Aco	count,	
		04/22/08	Oxman	check # 114, dated 4/22/08		\$6,000.00
	2	04/28/08	Jaroscak	Epson, check # 6305483, dated 4/11/0	8	\$70.00
	3	04/28/08	Jaroscak	Irvine University, check # 2761, dated	4/9/08	<u>\$112.50</u> \$225.00
	Ũ	04/28/08	Jaroscak	Irvine University, check # 1627, dated Jaroscak's Manhattan Life Capitol Ac	4/20/08	
	4	05/20/08	Oxman	check # 116, dated 5/19/08	Journe,	\$5,000.00
	E	05/20/08	Jaroscak	Irvine University, check # 1663, dated	4/20/08	\$325.00
	5	05/20/08	Jaroscak	hp Invent, check # 00117309, undated		\$50.00
	6			City of Los Angeles, check # 412041,	dated	\$25.00
	Ŭ	06/12/08	Jaroscak	4/15/08	6/4/09	\$35.00
	7	06/12/08	Jaroscak	Irvine University, check # 1704, dated	0/4/08	\$337.50
		05/10/00	Oxman	ROI – D.R.S. Media Account, check #	00017,	\$1,700.00
	8	06/12/08		dated 5/16/08	0071 datad	\$1,700.00
	9	06/10/00	Oxman	HSBC Card Services, check # 010047	0071, ualeu	\$4.10
		06/12/08	Jaroscak	5/16/08 Irvine University, check # 1729, dated	6/20/08	\$225.00
	10	07/11/08	Jaroscak	C.A.S.C.U., check # 640, dated 7/5/08	0/20/00	\$225.00
		07/11/08	Jaroscak	Netgear, check # 27920109, dated 6/1	1/08	\$60.00
	11			Jaroscak's Manhattan Life Capitol Ac	count,	
	12	07/11/08	Oxman	check # 117, dated 7/11/08		\$3,000.00
	12	07/11/08	Oxman	C.A.S.C.U., check # 634, dated 7/2/08		\$112.50
	13	07/11/08	Oxman	C.A.S.C.U., check # 637, dated 7/5/08		\$337.50
		07/30/08	Jaroscak	C.A.S.C.U., check # 649, dated 7/20/0	)8	\$225.00
	14	707/30/08	Oxman	C.A.S.C.U., check # 646, dated 7/20/0	8	\$337.50
	15	44 Be	tween on or al	bout January 14, 2008 and on or about Ju	lv 30, 2008, H	Respondent
	15					
	16	Oxman and R	espondent Jar	oscak repeatedly issued checks drawn up	on the CTA to	o pay personal
	1.7			limited to the following:		
	17	expenses, incl	uaing but not	limited to the following:		
	18	CHECK #	DATE	PAYEE	PAYOR	AMOUNT
		2060	01/10/08	South Coast Preschool	Jaroscak	\$1,100.00
	19	2000	01/14/08	South Coast Preschool	Jaroscak	\$2,000.00
	20	2067	01/14/08	South Coast Preschool	Jaroscak	\$2,000.00
	20	2068	01/16/08	Staples	Jaroscak	\$121.99
	21	2069	01/17/08	South Coast Preschool	Jaroscak	\$1,000.00
		2073	01/18/08	Buzz Off	Jaroscak	\$40.00 \$1,000.00
	22	2074	01/18/08	South Coast Preschool	Jaroscak Oxman	\$775.78
	23	2078	01/25/08	Best Buy South Coast Preschool	Jaroscak	\$500.00
	23	2071 2070	01/30/08	German Centeno	Jaroscak	\$3,500.00
	24	2076	01/31/08	South Coast Preschool	Jaroscak	\$2,500.00
		2100	02/01/08	South Coast Preschool	Jaroscak	\$1,000.00
	25	2103	02/04/08	Zip Cleaners	Jaroscak	\$25.35
	20	2104	02/05/08	River Place Holdings [Memo - 1002]	Jaroscak	\$1,916.31
	26	2181	02/09/08	Nordstrom	Jaroscak	\$793.04
	27	2182	02/11/08	South Coast Preschool	Jaroscak Jaroscak	\$1,000.00 \$1,000.00
		2186 2187	02/13/08 02/14/08	South Coast Preschool Express Cleaners	Jaroscak	\$1,000.00
	28	<u> </u>	02/14/00			
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	2188	02/19/08	South Coast Preschool	Jaroscak	\$1,000.00
1	2190	02/20/08	South Coast Preschool	Jaroscak	\$1,500.00
. ]]	2191	02/22/08	South Coast Preschool	Jaroscak	\$1,500.00
2	2192	02/29/08	South Coast Preschool	Jaroscak	\$1,187.50
	2227	03/07/08	River Place Holdings [Memo - 1002]	Jaroscak	\$1,916.31
3	2229	03/10/08	David Clare	Oxman	\$2,000.00
4	2222	03/12/08	South Coast Preschool	Jaroscak	\$1,000.00
· · ·	2223	03/19/08	Buzz Off	Jaroscak	\$100.00
5	2224	03/19/08	South Coast Preschool	Jaroscak	\$2,000.00
-	2233	03/21/08	South Coast Preschool	Jaroscak	\$2,000.00
6	2234	04/01/08	South Coast Preschool	Jaroscak	\$1,225.00
	2236	04/03/08	South Coast Preschool	Jaroscak	\$2,050.00
7	2235	04/03/08	Zip Cleaners	Jaroscak	\$19.50 \$1,916.31
	2240	04/05/08	River Place Holding [Memo – 1002]	Oxman	
8	2194	04/14/08	South Coast Preschool	Jaroscak	\$2,500.00 \$1,500.00
9	2200	04/15/08	South Coast Preschool	Jaroscak Jaroscak	\$17.55
	2237 2238	04/19/08 04/22/08	Zip Cleaners South Coast Preschool	Jaroscak	\$500.00
10	2238	04/22/08	South Coast Preschool	Jaroscak	\$500.00
	2195	04/30/08	South Coast Preschool	Jaroscak	\$337.50
11	2246	05/03/08	US Depart of State	Oxman	\$75.00
	2117	05/05/08	Parking Concepts, Inc.	Oxman	\$7.00
12	2117	05/07/08	One River Place [Memo – 1002]	Oxman	\$1,916.31
13	2203	05/11/08	High Tech Auto Repair	Jaroscak	\$315.07
15	2197	05/20/08	Buzz Off	Jaroscak	\$91.00
14	2211	05/20/08	South Coast Preschool	Jaroscak	\$2,325.00
	2213	06/12/08	South Coast Preschool	Jaroscak	\$372.50
15	2216	06/17/08	Buzz Off	Jaroscak	\$50.00
	2219	06/23/08	South Coast Preschool	Jaroscak	\$2,500.00
16	2212	07/11/08	South Coast Preschool	Jaroscak	\$3,450.00
17	2128	07/31/08	South Coast Preschool	Jaroscak	\$225.00
18	45. By	depositing po	ersonal funds in the CTA and leaving per	sonal funds in	the CTA for
19	withdrawal as	needed to pay	y their personal expenses, Respondent O	xman and Res	pondent
20	Jaroscak com	mingled funds	s belonging to them in a client trust accou	int.	
21	COUNT SIX				
21		•		-	
22	Case Nos. 08-O-12328 and 09-O-12276 Business and Professions Code section 6106				
23		1	[Moral Turpitude – Dishonesty]		
	46 R	espondent Oxi	The second se	iolated Busine	ess and
24	46. Respondent Oxman and Respondent Jaroscak willfully violated Business and Professions Code section 6106, by committing an act involving moral turpitude, dishonesty or				
25			106, by committing an act involving mor	ai turpitude, d	ishonesty of
26	corruption, as				
27	47. Tł	ne allegations	of Count Five are incorporated by refere	nce.	
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	1	48. Respondent Oxman and Respondent Jaroscak deposited their funds into the CTA in
	2	order to hide the funds from creditors, including but not limited to the State of California
	3	Franchise Tax Board and the County of Los Angeles.
	4	49. By this conduct, Respondent Oxman and Respondent Jaroscak committed acts
	5	involving moral turpitude, dishonesty or corruption.
	6	COUNT SEVEN
	7	Case No. 08-O-12328
	8	Business and Professions Code section 6068(i) [Failure to Cooperate in State Bar Investigation]
	9	50. Respondent Oxman willfully violated Business and Professions Code section 6068(i),
	10	by failing to cooperate and participate in a disciplinary investigation pending against Respondent
	11	Oxman, as follows:
	12	51. The allegations in Counts Five and Six are incorporated herein.
	13	52. On or about July 3, 2008, August 19, 2008, February 3, 2009, and March 6, 2009, a
	14	State Bar Investigator mailed letters to Respondent Oxman at his official membership records
	15	address requesting that Respondent Oxman respond in writing to a to a State Bar Investigation
	16	concerning Respondent Oxman's possible misuse of the CTA. Respondent Oxman received the
	17	letters.
	18	53. On or about March 9, 2009, Respondent Jaroscak left a message for the Investigator
	19	in which she stated that she had allegedly provided a prior response on behalf of Respondent
	20	Oxman to the State Bar.
	21	54. On or about March 10, 2009, the Investigator spoke with Respondent Jaroscak and
	22	she agreed to provide a copy of the alleged prior response on behalf of Respondent Oxman to the
14 - 14 <sup>1</sup> -4	23	State Bar.
	24	55. Neither Respondent Jaroscak nor Respondent Oxman provided a written or oral
	25	response to the Investigator's letters.
	26	56. By this conduct, Respondent Oxman failed to cooperate and participate in a
	27	disciplinary investigation.
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#### COUNT EIGHT

#### Case No. 09-O-12276 Business and Professions Code section 6068(i) [Failure to Cooperate in State Bar Investigation]

57. Respondent Jaroscak willfully violated Business and Professions Code section
6068(i), by failing to cooperate and participate in a disciplinary investigation pending against
Respondent Jaroscak, as follows:
58. The allegations in Counts Five and Six are incorporated herein.

59. On or about June 10, 2008, and July 7, 2009, a State Bar Investigator mailed letters to

9 || Respondent Jaroscak at her official membership records address requesting that Respondent

10 || Jaroscak respond in writing to a State Bar Investigation concerning Respondent Jaroscak's

11 || possible misuse of the CTA. The letter dated June 10, 2008 was returned by the US Post Office.

12 || However, Respondent Jaroscak received the letter dated July 7, 2009.

60. Respondent Jaroscak did not provide a written or oral response to the Investigator's
14 letters.

15 61. By this conduct, Respondent Jaroscak failed to cooperate and participate in a

16 || disciplinary investigation.

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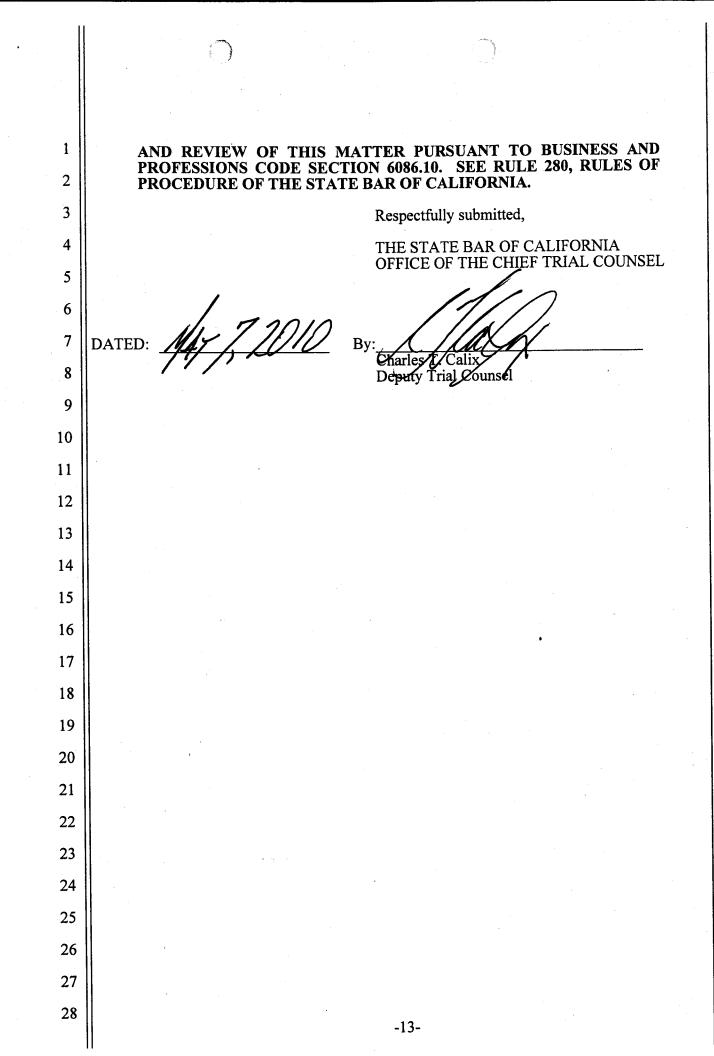
28

#### **NOTICE - INACTIVE ENROLLMENT!**

YOU ARE HEREBY FURTHER NOTIFIED THAT IF THE STATE BAR COURT FINDS, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 6007(c), THAT YOUR CONDUCT POSES A SUBSTANTIAL THREAT OF HARM TO THE INTERESTS OF YOUR CLIENTS OR TO THE PUBLIC, YOU MAY BE INVOLUNTARILY ENROLLED AS AN INACTIVE MEMBER OF THE STATE BAR. YOUR INACTIVE ENROLLMENT WOULD BE IN ADDITION TO ANY DISCIPLINE RECOMMENDED BY THE COURT. SEE RULE 101(c), RULES OF PROCEDURE OF THE STATE BAR OF CALIFORNIA.

#### **NOTICE - COST ASSESSMENT!**

IN THE EVENT THESE PROCEDURES RESULT IN PUBLIC DISCIPLINE, YOU MAY BE SUBJECT TO THE PAYMENT OF COSTS INCURRED BY THE STATE BAR IN THE INVESTIGATION, HEARING



1       DECLARATION OF SERVICE BY CERTIFIED MAIL         2       CASE NUMBER(s): 07-O-11968; 07-O-13696; 08-O-12328; and 09-O-12276         3       I, the undersigned, over the age of eighteen (18) years, whose business address and place of employment is the State Bar of California, 1149 South Hill Street, Los Angeles, California 90015, declare that I am not a party to the within action; that I am really familiar with the State Bar of California's practice for collection and processing of correspondence for mailing with the United States Postal Service; that in the ordinary course of the State Bar of California's practice, correspondence collected and processed by the State Bar of California would be deposited with the United States Postal Service that same day; that I am aware that on motion of party served, service is presumed invalid if postal cancellation date or postage meter date on the envelope or package is more than one day after date of depositi for mailing contained in the affidavit; and that in accordance with the practice of the State Bar of California for collection and processing of mail, I deposited or placed for collection and mailing in the City and County of Los Angeles, on the date shown below, a true copy of the within         10       NOTICE OF DISCIPLINARY CHARGES         11       in a sealed envelope placed for collection and mailing as certified mail, return receipt requested, Article No.: 7160 3901 9849 2214 4121 , at Los Angeles, on the date shown below, addressed to:         14       By United States Certified Mail       By United States Certified Mail
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<ul> <li>Los Angeles, on the date shown below, addressed to:</li> </ul>
15 By United States Certified Mail By United States Certified Mail
16 RICKEY B. OXMAN
14126 E. ROSECRANS 17 SANTA FE SPRINGS, CALIFORNIA 90670
18
19 in an inter-office mail facility regularly maintained by the State Bar of California addressed to:
20
N/A
22 I declare under penalty of perjury under the laws of the State of California that the foregoing is
true and correct. Executed at Los Angeles, California, on the date shown below.
24
25 DATED: May 10, 2010 SIGNED: BERNARD PIMENTEL
26 BERNARD PIMENTEL Declarant
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	1	DECLARATION OF SERVICE BY CERTIFIED MAIL
	2	CASE NUMBER(s): 07-0-11968; 07-0-13696; 08-0-12328; and 09-0-12276
	3	I, the undersigned, over the age of eighteen (18) years, whose business address and
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·	8	contained in the affidavit; and that in accordance with the practice of the State Bar of California for collection and processing of mail, I deposited or placed for collection and
•	9	mailing in the City and County of Los Angeles, on the date shown below, a true copy of the within
	10	NOTICE OF DISCIPLINARY CHARGES
¥	11	
	12	in a sealed envelope placed for collection and mailing as certified mail, return receipt
	13	requested, Article No.: 7160 3901 9849 2214 4138 , at
		Los Angeles, on the date shown below, addressed to:
	14	
	15	By United States Certified Mail By United States Certified Mail
	16	MAUREEN P. JAROSCAK OXMAN & JAROSCAK
	17	14126 E. ROSECRANS SANTA FE SPRINGS, CALIFORNIA 90670
	18	
	19	in an inter-office mail facility regularly maintained by the State Bar of California addressed to:
	20	N/A
	21	
	22	I declare under penalty of perjury under the laws of the State of California that the foregoing is
	23	true and correct. Executed at Los Angeles, California, on the date shown below.
	24	
	25 <sup>°</sup>	DATED: May 10, 2010 SIGNED: DO OD
	26	BERNARD PIMENTEL Declarant
	27	
	28	
		-1-