## State Bar Court of California **Hearing Department** Los Angeles **ACTUAL SUSPENSION** Counsel For The State Bar For Court use only Case Number(s): 08-O-13689; 09-O-Mia Ellis 16854 **Deputy Trial Counsel** 1149 South Hill Street Los Angeles, CA 90015 Bar # 228235 In Pro Per Respondent STATE BAR COURT CLERK'S OFFICE Thomas Craig Nelson LOS ANGELES 1005 Rosecrans St., Suite 201 San Diego, CA 92106 Submitted to: Settlement Judge Bar # 82506 STIPULATION RE FACTS, CONCLUSIONS OF LAW AND **DISPOSITION AND ORDER APPROVING** In the Matter of: Thomas Craig Nelson **ACTUAL SUSPENSION** Bar # 82506 ☐ PREVIOUS STIPULATION REJECTED A Member of the State Bar of California (Respondent)

Note: All information required by this form and any additional information which cannot be provided in the space provided, must be set forth in an attachment to this stipulation under specific headings, e.g., "Facts," "Dismissals," "Conclusions of Law," "Supporting Authority," etc.

## A. Parties' Acknowledgments:

- (1) Respondent is a member of the State Bar of California, admitted November 29, 1978.
- (2) The parties agree to be bound by the factual stipulations contained herein even if conclusions of law or disposition are rejected or changed by the Supreme Court.
- (3) All investigations or proceedings listed by case number in the caption of this stipulation are entirely resolved by this stipulation and are deemed consolidated. Dismissed charge(s)/count(s) are listed under "Dismissals." The stipulation consists of 13 pages, not including the order.
- (4) A statement of acts or omissions acknowledged by Respondent as cause or causes for discipline is included under "Facts."

(Do	not writ	e abov	e this line.)			
(5)	Co. Lav	nclusi v".	ons of law, drawn from and specifically referring to the facts are also included under "Conclusions of			
(6)	The "Su	parti	es must include supporting authority for the recommended level of discipline under the heading ng Authority."			
(7)	No per	No more than 30 days prior to the filing of this stipulation, Respondent has been advised in writing of any pending investigation/proceeding not resolved by this stipulation, except for criminal investigations.				
(8)	Pay 614	/ment 10.7. (	of Disciplinary Costs—Respondent acknowledges the provisions of Bus. & Prof. Code §§6086.10 & Check one option only):			
		reli Co 20 Pro Sta Co	til costs are paid in full, Respondent will remain actually suspended from the practice of law unless ef is obtained per rule 5.130, Rules of Procedure.  sts are to be paid in equal amounts prior to February 1 for the following membership years: 2012, 13, and 2014. (Hardship, special circumstances or other good cause per rule 5.132, Rules of ocedure.) If Respondent fails to pay any installment as described above, or as may be modified by the ste Bar Court, the remaining balance is due and payable immediately.  sts are waived in part as set forth in a separate attachment entitled "Partial Waiver of Costs".			
ļ	Prof	avat essic	ing Circumstances [for definition, see Standards for Attorney Sanctions for onal Misconduct, standard 1.2(b)]. Facts supporting aggravating circumstances red.			
(1)	$\boxtimes$	Prio	r record of discipline [see standard 1.2(f)]			
	(a)	$\boxtimes$	State Bar Court case # of prior case 99-O-12794			
	(b)	$\boxtimes$	Date prior discipline effective February 2, 2002			
•	(c)	$\boxtimes$	Rules of Professional Conduct/ State Bar Act violations: 4-100(A) and 6106			
	(d)	$\boxtimes$	Degree of prior discipline 18 months actual suspension, five years stayed, and five years probation			
	(e)		If Respondent has two or more incidents of prior discipline, use space provided below.			
(2)		<b>Dist</b>	nonesty: Respondent's misconduct was surrounded by or followed by bad faith, dishonesty, sealment, overreaching or other violations of the State Bar Act or Rules of Professional Conduct.			
(3)		Trust Violation: Trust funds or property were involved and Respondent refused or was unable to account to the client or person who was the object of the misconduct for improper conduct toward said funds or property.				
(4)			n: Respondent's misconduct harmed significantly a client, the public or the administration of justice.			
(5)		indit cons	ference: Respondent demonstrated indifference toward rectification of or atonement for the sequences of his or her misconduct.			

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(6) <sub>.</sub>		<b>Lack of Cooperation:</b> Respondent displayed a lack of candor and cooperation to victims of his/her misconduct or to the State Bar during disciplinary investigation or proceedings.
(7)		Multiple/Pattern of Misconduct: Respondent's current misconduct evidences multiple acts of wrongdoing or demonstrates a pattern of misconduct.
(8)		No aggravating circumstances are involved.
Add	litiona	l aggravating circumstances:
	_	ating Circumstances [see standard 1.2(e)]. Facts supporting mitigating mstances are required.
(1)		<b>No Prior Discipline:</b> Respondent has no prior record of discipline over many years of practice coupled with present misconduct which is not deemed serious.
(2)		No Harm: Respondent did not harm the client or person who was the object of the misconduct.
(3)		<b>Candor/Cooperation:</b> Respondent displayed spontaneous candor and cooperation with the victims of his/her misconduct and to the State Bar during disciplinary investigation and proceedings. Respondent has been cooperative in entering into a stipulation of facts and conclusions of law.
(4)		<b>Remorse:</b> Respondent promptly took objective steps spontaneously demonstrating remorse and recognition of the wrongdoing, which steps were designed to timely atone for any consequences of his/her misconduct.
(5)		<b>Restitution:</b> Respondent paid \$ on in restitution to without the threat or force of disciplinary, civil or criminal proceedings.
(6)	. 🗆	<b>Delay:</b> These disciplinary proceedings were excessively delayed. The delay is not attributable to Respondent and the delay prejudiced him/her.
(7)		Good Faith: Respondent acted in good faith.
(8)		<b>Emotional/Physical Difficulties:</b> At the time of the stipulated act or acts of professional misconduct Respondent suffered extreme emotional difficulties or physical disabilities which expert testimony would establish was directly responsible for the misconduct. The difficulties or disabilities were not the product of any illegal conduct by the member, such as illegal drug or substance abuse, and Respondent no longer suffers from such difficulties or disabilities.
(9)		<b>Severe Financial Stress:</b> At the time of the misconduct, Respondent suffered from severe financial stress which resulted from circumstances not reasonably foreseeable or which were beyond his/her control and which were directly responsible for the misconduct.
(10	) 🗆	Family Problems: At the time of the misconduct, Respondent suffered extreme difficulties in his/her personal life which were other than emotional or physical in nature.
(11	) 🗆	Good Character: Respondent's good character is attested to by a wide range of references in the legal and general communities who are aware of the full extent of his/her misconduct.
(12	) 🗆	<b>Rehabilitation:</b> Considerable time has passed since the acts of professional misconduct occurred followed by convincing proof of subsequent rehabilitation.

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(13)	No mitigating circumstances are involved.						
Addi	Additional mitigating circumstances:						
D. D	D. Discipline:						
(1)	×	Stay	ed S	uspension:			
	(a)	$\boxtimes$	Res	pondent must be suspended from the practice of law for a period of two years.			
		i.		and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.4(c)(ii) Standards for Attorney Sanctions for Professional Misconduct.			
		ii.		and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.			
		iii.		and until Respondent does the following:			
	(b)	$\boxtimes$	The	above-referenced suspension is stayed.			
(2)	$\boxtimes$	Probation:					
Respondent must be placed on probation for a period of five years, which will commence upon the effect date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)							
(3)	$\boxtimes$	Actual Suspension:					
	(a)	Respondent must be actually suspended from the practice of law in the State of California for a period of two (2) years.					
		i.	Ø	and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.4(c)(ii), Standards for Attorney Sanctions for Professional Misconduct			
		ii.		and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.			
		iii.		and until Respondent does the following:			
E. A	\ddi	tiona	al Co	onditions of Probation:			
(1)		If Respondent is actually suspended for two years or more, he/she must remain actually suspended until he/she proves to the State Bar Court his/her rehabilitation, fitness to practice, and learning and ability in the general law, pursuant to standard 1.4(c)(ii), Standards for Attorney Sanctions for Professional Misconduct.					
(2)	$\boxtimes$			e probation period, Respondent must comply with the provisions of the State Bar Act and Rules of nal Conduct.			
(3)	Ø			n (10) days of any change, Respondent must report to the Membership Records Office of the and to the Office of Probation of the State Bar of California ("Office of Probation"), all changes of			

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*		inform purpo	nation, including current office addresses, as prescribed by section 6002	ess and teleph .1 of the Busin	none number, or other address for State Bar ness and Professions Code.
(4)	⊠	and so conditions proba	chedule a meeting with Responden tions of probation. Upon the direction tion deputy either in-person or by to	it's assigned p on of the Offic elephone. Dur	ine, Respondent must contact the Office of Probation probation deputy to discuss these terms and e of Probation, Respondent must meet with the ing the period of probation, Respondent must
promptly meet with the probation deputy as directed and upon request.  (5) Respondent must submit written quarterly reports to the Office of Probation on each January 10, July 10, and October 10 of the period of probation. Under penalty of perjury, Respondent must swhether Respondent has complied with the State Bar Act, the Rules of Professional Conduct, an conditions of probation during the preceding calendar quarter. Respondent must also state whether are any proceedings pending against him or her in the State Bar Court and if so, the case number current status of that proceeding. If the first report would cover less than 30 days, that report must submitted on the next quarter date, and cover the extended period.				de Office of Probation on each January 10, April 10, der penalty of perjury, Respondent must state Act, the Rules of Professional Conduct, and all quarter. Respondent must also state whether there State Bar Court and if so, the case number and ld cover less than 30 days, that report must be	
		In add	dition to all quarterly reports, a final y (20) days before the last day of th	report, containe period of p	ning the same information, is due no earlier than obation and no later than the last day of probation.
(6)		condi Durin in add	itions of probation with the probation the period of probation. Respond	n monitor to e ent must furn ed to be subn	espondent must promptly review the terms and stablish a manner and schedule of compliance. Is to the monitor such reports as may be requested, nitted to the Office of Probation. Respondent must
(7)		Subject to assertion of applicable privileges, Respondent must answer fully, promptly and truthfully any inquiries of the Office of Probation and any probation monitor assigned under these conditions which are directed to Respondent personally or in writing relating to whether Respondent is complying or has complied with the probation conditions.			
(8)	×	Within one (1) year of the effective date of the discipline herein, Respondent must provide to the Office of Probation satisfactory proof of attendance at a session of the Ethics School, and passage of the test given at the end of that session.			
			No Ethics School recommended.	Reason:	•
(9)		Respondent must comply with all conditions of probation imposed in the underlying criminal matter and must so declare under penalty of perjury in conjunction with any quarterly report to be filed with the Office of Probation.			
(10)	$\boxtimes$	The	following conditions are attached he	ereto and inco	rporated:
			Substance Abuse Conditions		Law Office Management Conditions
			Medical Conditions	$\boxtimes$	Financial Conditions
F. (	Othe	r Co	nditions Negotiated by the l	Parties:	
(1)		the Co one <b>fur</b>	Multistate Professional Responsib nference of Bar Examiners, to the ( e year, whichever period is longer.	ility Examinat Office of Proba <b>Failure to pa</b>	ion: Respondent must provide proof of passage of on ("MPRE"), administered by the National ation during the period of actual suspension or within as the MPRE results in actual suspension without b), California Rules of Court, and rule 5.162(A) &

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		☐ No MPRE recommended. Reason:
(2)		Rule 9.20, California Rules of Court: Respondent must comply with the requirements of rule 9.20, California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 30 and 40 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter.
(3)		Conditional Rule 9.20, California Rules of Court: If Respondent remains actually suspended for 90 days or more, he/she must comply with the requirements of rule 9.20, California Rules of Court, and
		perform the acts specified in subdivisions (a) and (c) of that rule within 120 and 130 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter.
(4)		<b>Credit for Interim Suspension [conviction referral cases only]:</b> Respondent will be credited for the period of his/her interim suspension toward the stipulated period of actual suspension. Date of commencement of interim suspension:
(5)		Other Conditions:

In th	the Matter of:	Caea N	ımber(s):	
	nomas Craig Nelson	•	3689; 09-O-16854	
ina	ancial Conditions			,
. F	Restitution			
	payee(s) listed below. If the	Client Security Fund ("CSF") had amount(s) listed below, Respo	ount, plus interest of 10% per annual reimbursed one or more of the ndent must also pay restitution to	payee(s) for a
Γ	Payee	Principal Amount	Interest Accrues From	
1	James Frampton	\$4,350	November 3, 2009	
-				
L	L			
•	Probation not later than sixt  Installment Restitution Payme  Respondent must pay the almust provide satisfactory pro-	y (60) days after the effective ents bove-referenced restitution on the oof of payment to the Office of F	ide satisfactory proof of payment date of the Supreme Court or e payment schedule set forth be robation with each quarterly prob	der. low. Respond
	Probation not later than sixt  Installment Restitution Payme  Respondent must pay the all must provide satisfactory properties directed by the probation (or period of reprotent payment of restitution, in	ents  bove-referenced restitution on the coffice of Probation. No later the oval), Respondent must make an acluding interest, in full.	date of the Supreme Court or be payment schedule set forth be robation with each quarterly prot an 30 days prior to the expiration y necessary final payment(s) in o	der.  low. Respond pation report, on of the period
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- b. Respondent has kept and maintained the following:
  - i. A written ledger for each client on whose behalf funds are held that sets forth:
    - 1. the name of such client;
    - 2. the date, amount and source of all funds received on behalf of such client;
    - the date, amount, payee and purpose of each disbursement made on behalf of such client; and.
    - 4. the current balance for such client.
  - ii. a written journal for each client trust fund account that sets forth:
    - 1. the name of such account;
    - 2. the date, amount and client affected by each debit and credit; and,
    - 3. the current balance in such account.
  - iii. all bank statements and cancelled checks for each client trust account; and,
  - iv. each monthly reconciliation (balancing) of (i), (ii), and (iii), above, and if there are any differences between the monthly total balances reflected in (i), (ii), and (iii), above, the reasons for the differences.
- c. Respondent has maintained a written journal of securities or other properties held for clients that specifies:
  - i. each item of security and property held;
  - ii. the person on whose behalf the security or property is held;
  - iii. the date of receipt of the security or property;
  - iv. the date of distribution of the security or property; and,
  - v. the person to whom the security or property was distributed.
- 2. If Respondent does not possess any client funds, property or securities during the entire period covered by a report, Respondent must so state under penalty of perjury in the report filed with the Office of Probation for that reporting period. In this circumstance, Respondent need not file the accountant's certificate described above.
- 3. The requirements of this condition are in addition to those set forth in rule 4-100, Rules of Professional Conduct.

### d. Client Trust Accounting School

Within one (1) year of the effective date of the discipline herein, Respondent must supply to the Office of
Probation satisfactory proof of attendance at a session of the Ethics School Client Trust Accounting School
within the same period of time, and passage of the test given at the end of that session.



## ATTACHMENT TO

# STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION

IN THE MATTER OF:

THOMAS CRAIG NELSON

CASE NUMBER(S):

08-O-13689 and 09-O-16854

## FACTS AND CONCLUSIONS OF LAW.

Respondent admits that the following facts are true and that he is culpable of violations of the specified statutes and/or Rules of Professional Conduct.

## Case No. 08-O-13689 (Stephen Ellis)

### **FACTS:**

1. On June 18, 2008, the State Bar of California mailed to Respondent notice that the Supreme Court had entered an order that he be suspended as of July 1, 2008, due to his nonpayment of State Bar membership fees. Respondent received the notice of suspension.

2. On July 1, 2008, Respondent was suspended from the practice of law due to his failure to pay State Bar membership fees. On July 1, 2008, Respondent was also enrolled inactive due to his failure to comply with the minimum continuing legal education ("MCLE") requirements.

- 3. On July 2, 2008, Respondent filed a complaint in the San Diego County Superior Court entitled Smith Capital Limited Partnership v. The Lansing Companies, LLC, et al., case no. 37-2008-00065068 ("Smith case"). The complaint listed Respondent as counsel for plaintiff Smith Capital Limited Partnership.
- 4. On July 11, 2008, Respondent recorded a notice of pendency of action with the county recorder. Respondent signed the Notice as plaintiff's counsel.
- 5. On July 21, 2008, counsel for the Lansing Companies, Edward B. Gerard, spoke to Respondent by telephone and informed him that he had learned that Respondent was not entitled to practice law. On July 22, 2008, attorney Brandon K. Braga filed a substitution of attorney to replace Respondent as plaintiff's counsel in the Smith case.
- 6. On August 14, 2008, Respondent signed as plaintiff's counsel a notice of withdrawal of notice of pendency of action.
- 7. On August 28, 2008, Respondent was returned to active status.

### CONCLUSIONS OF LAW:

By misrepresenting to the court and opposing counsel that he was entitled to practice law when he was not an active member of the State Bar, Respondent committed acts involving moral turpitude, dishonesty or corruption in willful violation of Business and Professions Code, section 6106.

By filing a complaint, signing the notice of pendency action and withdrawal of pendency action when he was not an active member of the State Bar of California in violation of Business and Professions Code sections 6125 and 6126, Respondent failed to support the Constitution and laws of this state in willful violation of Business and Professions Code, section 6068(a).

## Case No. 09-O-16854 (Complainant: James P. Frampton)

## FACTS:

- 1. On June 18, 2008, the State Bar of California mailed to Respondent notice that the Supreme Court had entered an order that he be suspended as of July 1, 2008, due to his nonpayment of State Bar membership fees. Respondent received the notice of suspension.
- 2. On July 1, 2008, Respondent was suspended from the practice of law due to his failure to pay State Bar membership fees. On July 1, 2008, Respondent was also enrolled inactive due to his failure to comply with the minimum continuing legal education ("MCLE") requirements.
- 3. On July 21, 2008, attorney Edward B. Gerard spoke to Respondent by telephone and informed him that Respondent was not entitled to practice law.
- 4. On July 28, 2008, James P. Frampton ("Frampton") employed Respondent to represent him in a civil matter and paid Respondent \$2,500 in advanced fees.
- 5. On August 26, 2008, Respondent paid his bar dues. On or about August 28, 2008, Respondent submitted proof to the State Bar of compliance with the MCLE requirements. On August 28, 2008, Respondent was returned to active status.
- 6. During the period from September 2008 to March 2009, Frampton spoke to Respondent inquiring about the status of his legal matter, and Respondent represented that he was negotiating with the other party's counsel.
- 7. On March 25, 2009, Frampton paid Respondent an additional \$1,850 in advanced fees for Respondent's legal representation.
- 8. On July 28, 2009, Frampton spoke to Respondent and expressed frustration that Respondent had not taken sufficient action on his matter. At that time, Respondent agreed to refund the entire \$4,350 in advanced fees to Frampton. At that time, Respondent and Frampton effectively terminated Respondent's representation.
- 9. On November 3, 2009, Frampton obtained a small claims court judgment against Respondent for the \$4,350 he had paid to Respondent.
- 10. On October 2, 2009, Frampton submitted a complaint to the State Bar regarding Respondent's conduct in his case.
- On December 18, 2009, and January 5, 2010, a State Bar Investigator mailed a letter to Respondent at his State Bar membership address requesting a response to allegations raised by Frampton's complaint. Respondent did not provide the State Bar with a response.

### CONCLUSIONS OF LAW:

By not providing a written response to Frampton's complaint or otherwise cooperating with the State Bar's investigation, Respondent failed to cooperate and participate in a disciplinary investigation pending against Respondent in violation of Business and Professions Code, section 6068(i).

By not refunding to Frampton any of the \$4,350 unearned fees, Respondent failed to refund promptly any part of a fee paid in advance that has not been earned willfully violating Rules of Professional Conduct, rule 3-700(D)(2).

By not taking sufficient action to pursue Frampton's case, Respondent intentionally, recklessly, or repeatedly failed to perform legal services with competence, willful violation of Rules of Professional Conduct, rule 3-110(A).

By misrepresenting to Frampton that he was entitled to practice law when he was not an active member of the State Bar in violation of Business and Professions Code sections 6125 and 6126, Respondent committed acts involving moral turpitude, dishonesty or corruption in violation of Business and Professions Code, section 6106.

By signing a retainer agreement with Mr. Frampton and accepting attorneys fees when he was not an active member of the State Bar of California, Respondent failed to support the Constitution and laws of this state in violation of Business and Professions Code, section 6068(a).

#### PENDING PROCEEDINGS.

The disclosure date referred to, on page 2, paragraph A(7), was June 22, 2011.

## **AUTHORITIES SUPPORTING DISCIPLINE.**

Standard 2.3 provides that culpability of a member of an act of moral turpitude, fraud, or intentional dishonesty toward a court, client or another person or of concealment of a material fact to a court, client or another person shall result in actual suspension or disbarment depending upon the extent to which the victim of the misconduct is harmed or misled and depending upon the magnitude of the act of misconduct and the degree to which it related to the member's acts within the practice of law.

Standard 2.4 - culpability of a member of wilfuly failing to perform services in an individual matter or matters not demonstrating a pattern of misconduct or culpability of a member of wilfully failing to communicate with a client shall result in reproval or suspension depending upon the extent of the misconduct and the degree of harm to the client.

Standard 2.6 provides that culpability of a member of a violation of any of the following provisions of the Business and Professions Code 6068(a) and 6068(i) shall result in disbarment or suspension depending on the gravity of the offense or harm.

Standard 2.10 – culpability of a member of a violation of any provision of the Business and Professions Code not specified in these standards or of a wilful violation of any Rule of Professional Conduct not specified in these standards shall result in reproval or suspension according to the gravity of the offense.

Standard 1.7(a) – if a member is found culpable of professional misconduct in any proceeding in which discipline may be imposed and the member has a record of one prior imposition of discipline as defined by standard 1.2(f), the degree of discipline imposed in the current proceeding shall be greater that imposed in the prior proceeding unless the prior discipline imposed was so remote in time to the current proceeding and the offense for which it was imposed was so minimal in severity that imposing greater discipline in the current proceeding would be manifestly unjust.

The unauthorized practice of law while suspended has resulted in a range of discipline from suspension to disbarment, depending on the circumstances of the misconduct, including the nature of any companion charges and the existence and gravity of prior disciplinary proceedings. *In the Matter of Taylor* (1991) 1 Cal. State Bar Ct. Rptr. 563.

# COSTS OF DISCIPLINARY PROCEEDINGS.

Respondent acknowledges that the Office of the Chief Trial Counsel has informed respondent that as of June 22, 2011, the prosecution costs in this matter are \$5,728.90. Respondent further acknowledges that this is an estimate and should this stipulation be rejected or should relief from the stipulation be granted, the costs in this matter may increase due to the cost of further proceedings.

## SIGNATURE OF THE PARTIES

By their signatures below, the parties and their counsel, as applicable, signify their agreement with each of the recitations and each of the terms and conditions of this Stipulation Re Facts, Conclusions of Law, and Disposition.

6/23/201	San Cala	Thomas Craig Nelson	
Date \	Respondent's Signature	Print Name	
Date	Respondent's Counsel Signature	Print Name	
0/2 4/11 Date	MIAK. W.	Mia Ellis	
Date'	Deputy Trial Counsel's Signature	Print Name	

In the Mat	er of:	Case Number(s):	
Thomas C	Craig Nelson	08-O-13689 and 09-O-16854	
	ACTUAL SUSP	ENSION ORDER	
	stipulation to be fair to the parties and that it ad ismissal of counts/charges, if any, is GRANTE	lequately protects the public, IT IS ORDERED that the D without prejudice, and:	
	The stipulated facts and disposition are APP Supreme Court.	ROVED and the DISCIPLINE RECOMMENDED to the	
1944	The stipulated facts and disposition are APP DISCIPLINE IS RECOMMENDED to the Sup	ROVED AS MODIFIED as set forth below, and the preme Court.	
	All Hearing dates are vacated.		
within 15 da stipulation.	ys after service of this order, is granted; or 2) to See rule 5.58(E) & (F), Rules of Procedure.) T	es: 1) a motion to withdraw or modify the stipulation, filed his court modifies or further modifies the approved he effective date of this disposition is the effective date fter file date. (See rule 9.18(a), California Rules of	
7/8	111	maldi? Wallan	

Judge of the State Bar Court

### CERTIFICATE OF SERVICE

[Rules Proc. of State Bar; Rule 5.27(B); Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of Los Angeles, on July 11, 2011, I deposited a true copy of the following document(s): STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING

in a sealed envelope for collection and mailing on that date as follows:

by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at Los Angeles, California, addressed as follows:

THOMAS CRAIG NELSON 1005 ROSECRANS ST STE 201 SAN DIEGO, CA 92106

by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

Mia R. Ellis, Enforcement, Los Angeles

I hereby certify that the foregoing is true and correct. Executed in Los Angeles, California, on July 11, 2011.

Johnnie Lee Smith Case Administrator ( State Bar Court