

(Do not write above this line.) State Bar Court of California Hearing Department San Francisco Counsel For The State Bar (for Court's use) Case Number (s) 09-N-18176 Susan Chan PUBLIC MATTER Deputy Trial Counsel 180 Howard Street San Francisco, CA 94105 FILED JUN 17 2010 Bar # 233229 **Counsel For Respondent** STATE BAR COURT CLERK'S OFFICE Richard B. Curtis SAN FRANCISCO Attorney at Law 1604 Solano Avenue P.O. Box 7475 Submitted to: Settlement Judge Berkeley, CA 94707 STIPULATION RE FACTS, CONCLUSIONS OF LAW AND **DISPOSITION AND ORDER APPROVING** Bar # 124212 In the Matter Of: Jagdip S. Sekhon ACTUAL SUSPENSION PREVIOUS STIPULATION REJECTED Bar # 170324 A Member of the State Bar of California (Respondent)

Note: All information required by this form and any additional information which cannot be provided in the space provided, must be set forth in an attachment to this stipulation under specific headings, e.g., "Facts," "Dismissals," "Conclusions of Law," "Supporting Authority," etc.

A. Parties' Acknowledgments:

- (1) Respondent is a member of the State Bar of California, admitted June 7, 1994.
- (2) The parties agree to be bound by the factual stipulations contained herein even if conclusions of law or disposition are rejected or changed by the Supreme Court.
- (3) All investigations or proceedings listed by case number in the caption of this stipulation are entirely resolved by this stipulation and are deemed consolidated. Dismissed charge(s)/count(s) are listed under "Dismissals." The stipulation consists of 10 pages, not including the order.
- (4) A statement of acts or omissions acknowledged by Respondent as cause or causes for discipline is included under "Facts."
- (5) Conclusions of law, drawn from and specifically referring to the facts are also included under "Conclusions of Law".

(Stipulation form approved by SBC Executive Committee 10/16/00. Revised 12/16/2004; 12/13/2006.)

- (6) The parties must include supporting authority for the recommended level of discipline under the heading "Supporting Authority."
- (7) No more than 30 days prior to the filing of this stipulation, Respondent has been advised in writing of any pending investigation/proceeding not resolved by this stipulation, except for criminal investigations.
- (8) Payment of Disciplinary Costs—Respondent acknowledges the provisions of Bus. & Prof. Code §§6086.10 & 6140.7. (Check one option only):
 - until costs are paid in full, Respondent will remain actually suspended from the practice of law unless relief is obtained per rule 284, Rules of Procedure.
 - costs to be paid in equal amounts prior to February 1 for the following membership years: (hardship, special circumstances or other good cause per rule 284, Rules of Procedure)
 - costs waived in part as set forth in a separate attachment entitled "Partial Waiver of Costs"
 - costs entirely waived
- B. Aggravating Circumstances [for definition, see Standards for Attorney Sanctions for Professional Misconduct, standard 1.2(b)]. Facts supporting aggravating circumstances are required.
- (1) Prior record of discipline [see standard 1.2(f)]
 - (a) State Bar Court case # of prior case 02-0-12471, et al.
 - (b) Date prior discipline effective December 17, 2004
 - (c) Rules of Professional Conduct/ State Bar Act violations: Business and Professions Code sections 6068(a) and 6068(m); Rules of Professional Conduct, rule 3-700(A)(2).
 - (d) Degree of prior discipline one year suspension, stayed; two years probation and ordered to take MPRE within one year
 - (e) If Respondent has two or more incidents of prior discipline, use space provided below.
- (2) Dishonesty: Respondent's misconduct was surrounded by or followed by bad faith, dishonesty, concealment, overreaching or other violations of the State Bar Act or Rules of Professional Conduct.
- (3) Trust Violation: Trust funds or property were involved and Respondent refused or was unable to account to the client or person who was the object of the misconduct for improper conduct toward said funds or property.
- (4) 🔲 Harm: Respondent's misconduct harmed significantly a client, the public or the administration of justice.
- (5) Indifference: Respondent demonstrated indifference toward rectification of or atonement for the consequences of his or her misconduct.
- (6) Lack of Cooperation: Respondent displayed a lack of candor and cooperation to victims of his/her misconduct or to the State Bar during disciplinary investigation or proceedings.

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(7) Multiple/Pattern of Misconduct: Respondent's current misconduct evidences multiple acts of wrongdoing

or demonstrates a pattern of misconduct.

(8) **No aggravating circumstances** are involved.

Additional aggravating circumstances:

C. Mitigating Circumstances [see standard 1.2(e)]. Facts supporting mitigating circumstances are required.

- (1) **No Prior Discipline:** Respondent has no prior record of discipline over many years of practice coupled with present misconduct which is not deemed serious.
- (2) I No Harm: Respondent did not harm the client or person who was the object of the misconduct.
- (3) Candor/Cooperation: Respondent displayed spontaneous candor and cooperation with the victims of his/her misconduct and to the State Bar during disciplinary investigation and proceedings. See stipulation attachment.
- (4) X Remorse: Respondent promptly took objective steps spontaneously demonstrating remorse and recognition of the wrongdoing, which steps were designed to timely atone for any consequences of his/her misconduct. See stipulation attachment.
- (5) Restitution: Respondent paid \$ on in restitution to without the threat or force of disciplinary, civil or criminal proceedings.
- (6) Delay: These disciplinary proceedings were excessively delayed. The delay is not attributable to Respondent and the delay prejudiced him/her.
- (7) Good Faith: Respondent acted in good faith.
- (8) Emotional/Physical Difficulties: At the time of the stipulated act or acts of professional misconduct Respondent suffered extreme emotional difficulties or physical disabilities which expert testimony would establish was directly responsible for the misconduct. The difficulties or disabilities were not the product of any illegal conduct by the member, such as illegal drug or substance abuse, and Respondent no longer suffers from such difficulties or disabilities.
- (9) Severe Financial Stress: At the time of the misconduct, Respondent suffered from severe financial stress which resulted from circumstances not reasonably foreseeable or which were beyond his/her control and which were directly responsible for the misconduct.
- (10) Family Problems: At the time of the misconduct, Respondent suffered extreme difficulties in his/her personal life which were other than emotional or physical in nature.
- (11) Good Character: Respondent's good character is attested to by a wide range of references in the legal and general communities who are aware of the full extent of his/her misconduct.
- (12) Rehabilitation: Considerable time has passed since the acts of professional misconduct occurred followed by convincing proof of subsequent rehabilitation.
- (13) **No mitigating circumstances** are involved.

(Stipulation form approved by SBC Executive Committee 10/16/00. Revised 12/16/2004; 12/13/2006.)

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Additional mitigating circumstances

- **D. Discipline:**
- (1) 🛛 Stayed Suspension:
 - (a) X Respondent must be suspended from the practice of law for a period of two (2) years.
 - I. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.4(c)(ii) Standards for Attorney Sanctions for Professional Misconduct.
 - ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.
 - iii. and until Respondent does the following:
 - (b) The above-referenced suspension is stayed.
- (2) \boxtimes **Probation**:

Respondent must be placed on probation for a period of two (2) years, which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)

- (3) 🛛 Actual Suspension:
 - (a) Respondent must be actually suspended from the practice of law in the State of California for a period of nine (9) months.
 - i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.4(c)(ii), Standards for Attorney Sanctions for Professional Misconduct
 - ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.
 - iii. and until Respondent does the following:

E. Additional Conditions of Probation:

- (1) If Respondent is actually suspended for two years or more, he/she must remain actually suspended until he/she proves to the State Bar Court his/her rehabilitation, fitness to practice, and learning and ability in general law, pursuant to standard 1.4(c)(ii), Standards for Attorney Sanctions for Professional Misconduct.
- (2) During the probation period, Respondent must comply with the provisions of the State Bar Act and Rules of Professional Conduct.
- (3) Within ten (10) days of any change, Respondent must report to the Membership Records Office of the State Bar and to the Office of Probation of the State Bar of California ("Office of Probation"), all changes of information, including current office address and telephone number, or other address for State Bar purposes, as prescribed by section 6002.1 of the Business and Professions Code.

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- (4) Within thirty (30) days from the effective date of discipline, Respondent must contact the Office of Probation and schedule a meeting with Respondent's assigned probation deputy to discuss these terms and conditions of probation. Upon the direction of the Office of Probation, Respondent must meet with the probation deputy either in-person or by telephone. During the period of probation, Respondent must promptly meet with the probation deputy as directed and upon request.
- (5) Respondent must submit written quarterly reports to the Office of Probation on each January 10, April 10, July 10, and October 10 of the period of probation. Under penalty of perjury, Respondent must state whether Respondent has complied with the State Bar Act, the Rules of Professional Conduct, and all conditions of probation during the preceding calendar quarter. Respondent must also state whether there are any proceedings pending against him or her in the State Bar Court and if so, the case number and current status of that proceeding. If the first report would cover less than 30 days, that report must be submitted on the next quarter date, and cover the extended period.

In addition to all quarterly reports, a final report, containing the same information, is due no earlier than twenty (20) days before the last day of the period of probation and no later than the last day of probation.

- (6) Respondent must be assigned a probation monitor. Respondent must promptly review the terms and conditions of probation with the probation monitor to establish a manner and schedule of compliance. During the period of probation, Respondent must furnish to the monitor such reports as may be requested, in addition to the quarterly reports required to be submitted to the Office of Probation. Respondent must cooperate fully with the probation monitor.
- (7) Subject to assertion of applicable privileges, Respondent must answer fully, promptly and truthfully any inquiries of the Office of Probation and any probation monitor assigned under these conditions which are directed to Respondent personally or in writing relating to whether Respondent is complying or has complied with the probation conditions.
- (8) Within one (1) year of the effective date of the discipline herein, Respondent must provide to the Office of Probation satisfactory proof of attendance at a session of the Ethics School, and passage of the test given at the end of that session.
 - No Ethics School recommended. Reason:
- (9) Respondent must comply with all conditions of probation imposed in the underlying criminal matter and must so declare under penalty of perjury in conjunction with any quarterly report to be filed with the Office of Probation.

Substance Abuse Conditions Law Office Management Conditions

Medical Conditions Financial Conditions

F. Other Conditions Negotiated by the Parties:

(1) X Multistate Professional Responsibility Examination: Respondent must provide proof of passage of the Multistate Professional Responsibility Examination ("MPRE"), administered by the National Conference of Bar Examiners, to the Office of Probation during the period of actual suspension or within one year, whichever period is longer. Failure to pass the MPRE results in actual suspension without further hearing until passage. But see rule 9.10(b), California Rules of Court, and rule 321(a)(1) & (c), Rules of Procedure.

No MPRE recommended. Reason:

(Stipulation form approved by SBC Executive Committee 10/16/00. Revised 12/16/2004; 12/13/2006.)

- (2) X Rule 9.20, California Rules of Court: Respondent must comply with the requirements of rule 9.20, California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 30 and 40 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter.
- (3) Conditional Rule 9.20, California Rules of Court: If Respondent remains actually suspended for 90 days or more, he/she must comply with the requirements of rule 9.20, California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 120 and 130 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter.
- (4) Credit for Interim Suspension [conviction referral cases only]: Respondent will be credited for the period of his/her interim suspension toward the stipulated period of actual suspension. Date of commencement of interim suspension;
- (5) 🛛 Other Conditions: See stipulation attachment.

ATTACHMENT TO

STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION

IN THE MATTER OF: JAGDIP S. SEKHON

CASE NUMBER(S): 09-N-18176

FACTS AND CONCLUSIONS OF LAW.

1. Case No. 09-N-18176

A. <u>Statement of Facts</u>

On or about August 12, 2009, the Review Department of the State Bar Court of California filed an interim suspension order in State Bar Court Case no. 06-C-14843 based on respondent's felony conviction.

The interim suspension order required respondent to comply with California Rule of Court, rule 9.20 and to perform the acts specified in subdivisions (a) and (c) of that rule within 30 and 40 calendar days, respectively, after the effective date of the suspension. At all times thereafter, the order requiring respondent to comply with rule 9.20 has remained in full force and effect.

The interim suspension became effective on August 31, 2009, and at all times subsequent has remained in full force and effect.

Notice of the disciplinary order was properly served upon respondent at the address respondent maintained with the State Bar in accordance with Business and Professions Code section 6002.1, subdivision (a).

The deadlines for complying with rule 9.20(a) and rule 9.20(c) expired on or about September 30, 2009 and October 19, 2009, respectively.

Respondent did not file proof of compliance as required by rule 9.20(c) prior to the deadline.

Respondent filed proof of compliance with rule 9.20(c) on or about December 21, 2009.

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B. <u>Conclusions of Law</u>

By wilfully disobeying or violating an order of the court requiring him to do or forbear an act connected with or in the course of Respondent's profession which he ought in good faith to do or forbear, specifically an order requiring respondent to comply with rule 9.20 of the California Rules of Court, respondent wilfully violated Business and Professions Code section 6103.

PENDING PROCEEDINGS.

The disclosure date referred to, on page one, paragraph A.(7), was May 19, 2010.

COSTS OF DISCIPLINARY PROCEEDINGS.

Respondent acknowledges that the Office of the Chief Trial Counsel has informed respondent that as of May 19, 2010, the estimated prosecution costs in this matter are approximately \$1,641.00. Respondent acknowledges that this figure is an estimate only and that it does not include State Bar Court costs which will be included in any final cost assessment. Respondent further acknowledges that should this stipulation be rejected or should relief from the stipulation be granted, the costs in this matter may increase due to the cost of further proceedings.

AUTHORITIES SUPPORTING DISCIPLINE.

Standard 1.7(a) provides in pertinent part "if a member has a record of prior imposition of discipline, the degree of discipline imposed in the current proceeding shall be greater than that imposed in the prior proceeding unless the prior discipline was so remote in time and the offense for which it was imposed was minimal in severity..."

Pursuant to Rule 9.20(d) of the California Rules of Court, a wilful failure to comply with the provisions of rule 9.20 constitutes a cause for disbarment, suspension or revocation of any pending probation. "Wilful" as used in rule 9.20(d) has been defined as "[s]imply a purpose or willingness to commit the act, or make the omission referred to.¹ It does not require any intent to violate the law, or injure another, or to acquire any advantage. Thus, bad faith is not a requisite to a finding of wilful failure to comply with rule 9.20. Only a general purpose or willingness to commit the act or permit the omission is necessary." Durbin v. State Bar (1979) 23 Cal.3d 461, 467.

¹ Formerly rule 955; revised and renumbered to rule 9.20 effective January 1, 2007.

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Durbin v. State Bar (1979) 23 Cal.3d 461. In the Matter of Friedman (Review Dept. 1993) 2 Cal. State Bar Ct. Rptr. 527. Shapiro v. State Bar (1990) 51 Cal. 3d 251.

AGGRAVATING CIRCUMSTANCES.

FACTS SUPPORTING AGGRAVATING CIRCUMSTANCES.

Standard 1.2(b)(i): Respondent has a prior record of discipline in which he stipulated to violations of Business and Professions Code sections 6068(a) and 6068(m) and Rules of Professional Conduct, rule 3-700(A)(2) in State Bar Case no. 02-O-12471, et al.

MITIGATING CIRCUMSTANCES.

Standard 1.2(e)(v): Respondent has cooperated with the State Bar during the disciplinary proceedings.

<u>Standard 1.2(e)(vii)</u>: Respondent took objective steps to atome for any consequences of his misconduct by filing proof of compliance with rule 9.20(c) on or about December 21, 2009.

STATE BAR ETHICS SCHOOL.

Because respondent has agreed to attend State Bar Ethics School as part of this stipulation, respondent may receive Minimum Continuing Legal Education credit upon the satisfactory completion of State Bar Ethics School.

OTHER CONDITIONS NEGOTIATED BY THE PARTIES.

State Bar Ethics School: On page 5 of the stipulation, paragraph E.(8), "Within one (1) year of the effective date of the discipline herein," is deleted, and in its place is inserted (see **bold**) "Prior to respondent's re-admission to the practice of law, respondent must provide to the Office of Probation satisfactory proof of attendance at a session of the Ethics School, and passage of the test given at the end of that session."

Multistate Professional Responsibility Examination: On page 5 of the stipulation, paragraph F.(1), "....during the period of actual suspension or within one year, whichever period is longer." is deleted, and in its place is inserted (see bold) "Respondent must provide proof of passage of the Multistate Professional Responsibility Examination ("MPRE"), administered by the National Conference of Bar Examiners, to the Office of Probation prior to respondent's re-admission to the practice of law."

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In the Matter of JAGDIP S. SEKHON	Case number(s): 09-N-18176	
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SIGNATURE OF THE PARTIES

By their signatures below, the parties and their counsel, as applicable, signify their agreement with each of the recitations and each of the terms and conditions of this Stipulation Re Fact, Conclusions of Law and Disposition.

10 Jagdip S. Sekhon Dat Print Name Respo anature Bettven MA Richard B. Curtis Respondent's Counsel-Signature Print Name 010 Susan Chan Date Print Name Deputy Trial Counsel's Signature

Signature Page

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In the Matter Of	Case Number(s):
JAGDIP S. SEKHON	09-N-18176
*	

ORDER

Finding the stipulation to be fair to the parties and that it adequately protects the public, IT IS ORDERED that the requested dismissal of counts/charges, if any, is GRANTED without prejudice, and:

- The stipulated facts and disposition are APPROVED and the DISCIPLINE RECOMMENDED to the Supreme Court.
- The stipulated facts and disposition are APPROVED AS MODIFIED as set forth below, and the DISCIPLINE IS RECOMMENDED to the Supreme Court.
 - All Hearing dates are vacated.

The parties are bound by the stipulation as approved unless: 1) a motion to withdraw or modify the stipulation, filed within 15 days after service of this order, is granted; or 2) this court modifies or further modifies the approved stipulation. (See rule 135(b), Rules of Procedure.) The effective date of this disposition is the effective date of the Supreme Court order herein, normally 30 days after file date. (See rule 9.18(a), California Rules of Court.)

June	17, 2010	Judge of the State Bar	
Date (/	•	Judge of the State Bar	Court
•			
(Stipulation form approved	by SBC Executive Committee 10/16/	/00. Revised 12/16/2004; 12/13/2006.)	
· · ·		Page 11	Actual Susponsion Order

CERTIFICATE OF SERVICE

[Rule 62(b), Rules Proc.; Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of San Francisco, on June 17, 2010, I deposited a true copy of the following document(s):

STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING

in a sealed envelope for collection and mailing on that date as follows:

by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at San Francisco, California, addressed as follows:

RICHARD B. CURTIS ATTORNEY AT LAW 1604 SOLANO AVE PO BOX 7475 BERKELEY, CA 94707

by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

SUSAN CHAN, Enforcement, San Francisco

I hereby certify that the foregoing is true and correct. Executed in San Francisco, California, on June 17, 2010.

Laine Silber Case Administrator State Bar Court