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State Bar Court of California Hearing Department Los Angeles STAYED SUSPENSION				
Counsel For The State Bar	Case Number(s): 13-0-14919-RAP	For Court use only		
R. Kevin Bucher Deputy Trial Counsel 845 S. Figueroa Street Los Angeles, CA 90017-2515 (213)765-1630		FILED NOV 18 2014 STATE BAR COURT		
Bar # 132003		CLERK'S OFFICE LOS ANGELES		
In Pro Per Respondent				
Michael Lee Goolsby 12841 Owen Street Garden Grove, CA 92845 (714)894-2359	PUBLIC	MATTER		
	Submitted to: Settlement Judge			
Bar # 159660	STIPULATION RE FACTS, CONCLUSIONS OF LAW AND			
In the Matter of: Michael Lee Goolsby	DISPOSITION AND ORDER APPROVING			
	STAYED SUSPENSION; NO ACTUAL SUSPENSION			
Bar # 159660	PREVIOUS STIPULATION REJECTED			
A Member of the State Bar of California (Respondent)				

Note: All information required by this form and any additional information which cannot be provided in the space provided, must be set forth in an attachment to this stipulation under specific headings, e.g., "Facts," "Dismissals," "Conclusions of Law," "Supporting Authority," etc.

A. Parties' Acknowledgments:

- (1) Respondent is a member of the State Bar of California, admitted August 4, 1992.
- (2) The parties agree to be bound by the factual stipulations contained herein even if conclusions of law or disposition are rejected or changed by the Supreme Court.
- (3) All investigations or proceedings listed by case number in the caption of this stipulation are entirely resolved by this stipulation and are deemed consolidated. Dismissed charge(s)/count(s) are listed under "Dismissals." The stipulation consists of 13 pages, not including the order.
- (4) A statement of acts or omissions acknowledged by Respondent as cause or causes for discipline is included under "Facts."
- (5) Conclusions of law, drawn from and specifically referring to the facts are also included under "Conclusions of Law".

(Effective January 1, 2014)



Stayed Suspension

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- (6) The parties must include supporting authority for the recommended level of discipline under the heading "Supporting Authority."
- (7) No more than 30 days prior to the filing of this stipulation, Respondent has been advised in writing of any pending investigation/proceeding not resolved by this stipulation, except for criminal investigations.
- (8) Payment of Disciplinary Costs—Respondent acknowledges the provisions of Bus. & Prof. Code §§6086.10 & 6140.7. (Check one option only):



Costs are added to membership fee for calendar year following effective date of discipline.

Costs are to be paid in equal amounts prior to February 1 for the following membership years: three billing cycles following the effective date of the Supreme Court order. (Hardship, special circumstances or other good cause per rule 5.132, Rules of Procedure). If Respondent fails to pay any installment as described above, or as may be modified by the State Bar Court, the remaining balance is due and payable immediately.



Costs are waived in part as set forth in a separate attachment entitled "Partial Waiver of Costs". Costs are entirely waived.

B. Aggravating Circumstances [Standards for Attorney Sanctions for Professional Misconduct, standards 1.2(f) & 1.5]. Facts supporting aggravating circumstances are required.

- (1) \square Prior record of discipline
 - (a) State Bar Court case # of prior case 09-0-17012; 09-0-17600; 10-0-02351.
 - (b) Date prior discipline effective May 21, 2011
 - (c) Rules of Professional Conduct/ State Bar Act violations: Rules of Professional Conduct, rules 1-300(B), 1-400(C), 4-100(B)(3), and 4-200(A).
 - (d) Degree of prior discipline Two (2) years stayed suspension and two (2) years probation, conditioned on a thirty (30) day actual suspension.
 - (e) If Respondent has two or more incidents of prior discipline, use space provided below or a separate attachment entitled "Prior Discipline. See attachment, page 10.
- (2) Dishonesty: Respondent's misconduct was intentional, surrounded by, or followed by bad faith, dishonesty, concealment, overreaching or other violations of the State Bar Act or Rules of Professional Conduct.
- (3) Trust Violation: Trust funds or property were involved and Respondent refused or was unable to account to the client or person who was the object of the misconduct for improper conduct toward said funds or property.
- (4) **Harm:** Respondent's misconduct harmed significantly a client, the public or the administration of justice.
- (5) Indifference: Respondent demonstrated indifference toward rectification of or atonement for the consequences of his or her misconduct.
- (6) **Lack of Cooperation:** Respondent displayed a lack of candor and cooperation to victims of his/her misconduct or to the State Bar during disciplinary investigation or proceedings.

- (7) Multiple/Pattern of Misconduct: Respondent's current misconduct evidences multiple acts of wrongdoing or demonstrates a pattern of misconduct. See attachment, page 10.
- (8) X Restitution: Respondent failed to make restitution. See attachment, page 10.
- (9) **No aggravating circumstances** are involved.

Additional aggravating circumstances

C. Mitigating Circumstances [see standards 1.2(g) & 1.6]. Facts supporting mitigating circumstances are required.

- (1) **No Prior Discipline:** Respondent has no prior record of discipline over many years of practice coupled with present misconduct which is not deemed serious.
- (2) **No Harm:** Respondent did not harm the client, the public, or the administration of justice.
- (3) Candor/Cooperation: Respondent displayed spontaneous candor and cooperation with the victims of his/her misconduct and to the State Bar during disciplinary investigation and proceedings.
- (4) Remorse: Respondent promptly took objective steps spontaneously demonstrating remorse and recognition of the wrongdoing, which steps were designed to timely atone for any consequences of his/her misconduct.
- (5) Restitution: Respondent paid \$ on in restitution to without the threat or force of disciplinary, civil or criminal proceedings.
- (6) Delay: These disciplinary proceedings were excessively delayed. The delay is not attributable to Respondent and the delay prejudiced him/her.
- (7) **Good Faith:** Respondent acted with a good faith belief that was honestly held and reasonable.
- (8) Emotional/Physical Difficulties: At the time of the stipulated act or acts of professional misconduct Respondent suffered extreme emotional difficulties or physical or mental disabilities which expert testimony would establish was directly responsible for the misconduct. The difficulties or disabilities were not the product of any illegal conduct by the member, such as illegal drug or substance abuse, and the difficulties or disabilities no longer pose a risk that Respondent will commit misconduct.
- (9) Severe Financial Stress: At the time of the misconduct, Respondent suffered from severe financial stress which resulted from circumstances not reasonably foreseeable or which were beyond his/her control and which were directly responsible for the misconduct.
- (10) Family Problems: At the time of the misconduct, Respondent suffered extreme difficulties in his/her personal life which were other than emotional or physical in nature.
- (11) Good Character: Respondent's extraordinarily good character is attested to by a wide range of references in the legal and general communities who are aware of the full extent of his/her misconduct.
- (12) Rehabilitation: Considerable time has passed since the acts of professional misconduct occurred followed by subsequent rehabilitation.

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(13) **No mitigating circumstances** are involved.

Additional mitigating circumstances

Pre-trial Stipulation - See attachment, page 10.

D. Discipline:

(1) \boxtimes Stayed Suspension:

- (a) Respondent must be suspended from the practice of law for a period of **one year**.
 - i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct.
 - ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.
 - iii. and until Respondent does the following:

The above-referenced suspension is stayed.

(2) \boxtimes **Probation:**

Respondent is placed on probation for a period of **one year**, which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18 California Rules of Court.)

E. Additional Conditions of Probation:

- (1) During the probation period, Respondent must comply with the provisions of the State Bar Act and Rules of Professional Conduct.
- (2) Within ten (10) days of any change, Respondent must report to the Membership Records Office of the State Bar and to the Office of Probation of the State Bar of California ("Office of Probation"), all changes of information, including current office address and telephone number, or other address for State Bar purposes, as prescribed by section 6002.1 of the Business and Professions Code.
- (3) Within thirty (30) days from the effective date of discipline, Respondent must contact the Office of Probation and schedule a meeting with Respondent's assigned probation deputy to discuss these terms and conditions of probation. Upon the direction of the Office of Probation, Respondent must meet with the probation deputy either in-person or by telephone. During the period of probation, Respondent must promptly meet with the probation deputy as directed and upon request.
- (4) Respondent must submit written quarterly reports to the Office of Probation on each January 10, April 10, July 10, and October 10 of the period of probation. Under penalty of perjury, Respondent must state whether Respondent has complied with the State Bar Act, the Rules of Professional Conduct, and all conditions of probation during the preceding calendar quarter. Respondent must also state whether there are any proceedings pending against him or her in the State Bar Court and if so, the case number and current status of that proceeding. If the first report would cover less than 30 days, that report must be submitted on the next quarter date, and cover the extended period.

In addition to all quarterly reports, a final report, containing the same information, is due no earlier than twenty (20) days before the last day of the period of probation and no later than the last day of probation.

(5) Respondent must be assigned a probation monitor. Respondent must promptly review the terms and conditions of probation with the probation monitor to establish a manner and schedule of compliance. During the period of probation, Respondent must furnish to the monitor such reports as may be requested, in addition to the quarterly reports required to be submitted to the Office of Probation. Respondent must cooperate fully with the probation monitor.

- (6) Subject to assertion of applicable privileges, Respondent must answer fully, promptly and truthfully any inquiries of the Office of Probation and any probation monitor assigned under these conditions which are directed to Respondent personally or in writing relating to whether Respondent is complying or has complied with the probation conditions.
- (7) Within one (1) year of the effective date of the discipline herein, Respondent must provide to the Office of Probation satisfactory proof of attendance at a session of the State Bar Ethics School, and passage of the test given at the end of that session.
 - No Ethics School recommended. Reason:
- (8) Respondent must comply with all conditions of probation imposed in the underlying criminal matter and must so declare under penalty of perjury in conjunction with any quarterly report to be filed with the Office of Probation.
- (9) X The following conditions are attached hereto and incorporated:
 - Substance Abuse Conditions
 Law Office Management Conditions
 Medical Conditions
 Financial Conditions

F. Other Conditions Negotiated by the Parties:

(1) Multistate Professional Responsibility Examination: Respondent must provide proof of passage of the Multistate Professional Responsibility Examination ("MPRE"), administered by the National Conference of Bar Examiners, to the Office of Probation within one year. Failure to pass the MPRE results in actual suspension without further hearing until passage. But see rule 9.10(b), California Rules of Court, and rule 5.162(A) & (E), Rules of Procedure.

No MPRE recommended. Reason: Respondent was ordered to pass the MPRE as a condition of his prior discipline in State Bar case no. 12-O-12700-DFM, within two years of the effective date of that discipline, that is, no later than August 30, 2015. Timely passage of the MPRE in that matter will satisfy the condition of passing the MPRE here.

(2) Other Conditions:

In the Matter of:	Case Number(s):
MICHAEL LEE GOOLSBY	13-O-14919

Financial Conditions

- a. Restitution
 - Respondent must pay restitution (including the principal amount, plus interest of 10% per annum) to the payee(s) listed below. If the Client Security Fund ("CSF") has reimbursed one or more of the payee(s) for all or any portion of the principal amount(s) listed below, Respondent must also pay restitution to CSF in the amount(s) paid, plus applicable interest and costs.

Payee	Principal Amount	Interest Accrues From
Douglas and Marlene de	\$3,250	May 11, 2009
Ronde		

Respondent must pay above-referenced restitution and provide satisfactory proof of payment to the Office of Probation not later than

b. Installment Restitution Payments

Respondent must pay the above-referenced restitution on the payment schedule set forth below. Respondent must provide satisfactory proof of payment to the Office of Probation with each quarterly probation report, or as otherwise directed by the Office of Probation. No later than 30 days prior to the expiration of the period of probation (or period of reproval), Respondent must make any necessary final payment(s) in order to complete the payment of restitution, including interest, in full.

Payee/CSF (as applicable)	Minimum Payment Amount	Payment Frequency
Douglas and Mariene de Ronde	\$812.50	Per quarter
Douglas and Marlene de Ronde	All interest on the principal amount accruing from May 11, 2009	No later than 30 days prior to the end of the probationary period

If Respondent fails to pay any installment as described above, or as may be modified by the State Bar Court, the remaining balance is due and payable immediately.

c. Client Funds Certificate

- 1. If Respondent possesses client funds at any time during the period covered by a required quarterly report, Respondent must file with each required report a certificate from Respondent and/or a certified public accountant or other financial professional approved by the Office of Probation, certifying that:
 - a. Respondent has maintained a bank account in a bank authorized to do business in the State of California, at a branch located within the State of California, and that such account is designated as a "Trust Account" or "Clients' Funds Account";

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- b. Respondent has kept and maintained the following:
 - A written ledger for each client on whose behalf funds are held that sets forth:
 - 1. the name of such client;
 - 2. the date, amount and source of all funds received on behalf of such client;
 - 3. the date, amount, payee and purpose of each disbursement made on behalf of such client; and,
 - 4. the current balance for such client.
 - ii. a written journal for each client trust fund account that sets forth:
 - 1. the name of such account;
 - 2. the date, amount and client affected by each debit and credit; and,
 - 3. the current balance in such account.
 - iii. all bank statements and cancelled checks for each client trust account; and,
 - iv. each monthly reconciliation (balancing) of (i), (ii), and (iii), above, and if there are any differences between the monthly total balances reflected in (i), (ii), and (iii), above, the reasons for the differences.
- c. Respondent has maintained a written journal of securities or other properties held for clients that specifies:
 - i. each item of security and property held;
 - ii. the person on whose behalf the security or property is held;
 - iii. the date of receipt of the security or property;
 - iv. the date of distribution of the security or property; and,
 - v. the person to whom the security or property was distributed.
- 2. If Respondent does not possess any client funds, property or securities during the entire period covered by a report, Respondent must so state under penalty of perjury in the report filed with the Office of Probation for that reporting period. In this circumstance, Respondent need not file the accountant's certificate described above.
- 3. The requirements of this condition are in addition to those set forth in rule 4-100, Rules of Professional Conduct.

d. Client Trust Accounting School

Within one (1) year of the effective date of the discipline herein, Respondent must supply to the Office of Probation satisfactory proof of attendance at a session of the Ethics School Client Trust Accounting School, within the same period of time, and passage of the test given at the end of that session.

ATTACHMENT TO

STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION

IN THE MATTER OF: MICHAEL LEE GOOLSBY

CASE NUMBER: 13-O-14919

FACTS AND CONCLUSIONS OF LAW.

Respondent admits that the following facts are true and that he is culpable of violations of the specified statutes and/or Rules of Professional Conduct.

Case No. 13-O-14919 (Complainants: Douglas de Ronde; Marlene de Ronde)

FACTS:

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1. On April 10, 2009, New Jersey residents Douglas and Marlene de Ronde employed Respondent to perform legal services in connection with a home mortgage loan modification for the de Ronde's New Jersey property.

2. Between April 10, 2009 and May 11, 2009, the de Rondes paid Respondent \$3,250 in advanced attorney's fees.

3. New Jersey law prohibits the practice of law in New Jersey by persons not admitted to practice law in New Jersey, other than with exceptions for circumstances not relevant to this matter.

4. Respondent is not now, nor has he ever been, admitted to practice law in the State of New Jersey.

5. To date, Respondent has not refunded any portion of the advanced fees to the de Rondes.

CONCLUSIONS OF LAW:

6. By accepting employment from the de Rondes to perform legal services in connection with a home mortgage loan modification for the de Ronde's New Jersey property, Respondent practiced law in the State of New Jersey, and thereby violated the regulations of the profession in the State of New Jersey, in willful violation of Rules of Professional Conduct, rule 1-300(B).

7. By entering into an agreement for, charging, and collecting legal fees from the de Rondes, when he was not licensed to practice law in New Jersey, Respondent entered into an agreement for, charged, and collected for an illegal fee from the de Rondes, in willful violation of Rules of Professional Conduct, rule 4-200(A).

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AGGRAVATING CIRCUMSTANCES.

Prior Record of Discipline (Std. 1.5(a)): Respondent has a prior record of discipline.

In State Bar case no. 09-O-17012, et al., Respondent received a 30-day suspension after stipulating to misconduct in State Bar disciplinary proceedings stemming from his loan modification activities between May 2009 and February 2010, in three client matters resulting in violations of Rules of Professional Conduct, rules 1-300(B), 1-400(C), 4-100(B)(3), and 4-200(A).

In State Bar case no. 12-O-17100, Respondent was suspended for two years, stayed, placed on two years' probation with an actual 90-day suspension and ordered to take the MPRE and comply with rule 9.20 of the California Rules of Court. The order took effect Aug. 30, 2013. Respondent stipulated that he failed to meet numerous conditions of his probation stemming from a 2011 disciplinary order

Multiple Acts of Misconduct (Std. 1.5(b)): Respondent's misconduct in his prior disciplinary matter, including multiple violations of Rules of Professional Conduct, rules 1-300(B), 1-400(C), 4-100(B)(3), and 4-200(A), which misconduct overlapped the present misconduct which involves two counts of misconduct including violations of the Rules of Professional Conduct, rules 1-300(B) and 4-200(A), evidences multiple acts of wrongdoing. (*In the Matter of Elkins* (Review Dept. 2010) 5 Cal. State Bar Ct. Rptr. 160, 168 [multiple acts of misconduct are an aggravating factor]).

Failure to Make Restitution (Std. 1.5(i)): To date, Respondent has failed to refund the illegal fee of \$3,250 to the de Rondes.

MITIGATING CIRCUMSTANCES.

Additional Mitigating Circumstances:

Pre-trial Stipulation: Respondent entered into the present stipulation prior to trial of the present matter, saving valuable State Bar time and resources. (*Silva-Vidor v. State Bar* (1989) 49 Cal.3d 1071, 1079 [where mitigative credit was given for entering into a stipulation as to facts and culpability].)

AUTHORITIES SUPPORTING DISCIPLINE.

The Standards for Attorney Sanctions for Professional Misconduct "set forth a means for determining the appropriate disciplinary sanction in a particular case and to ensure consistency across cases dealing with similar misconduct and surrounding circumstances." (Rules Proc. of State Bar, tit. IV, Stds. for Atty. Sanctions for Prof. Misconduct, std. 1.1. All further references to Standards are to this source.) The Standards help fulfill the primary purposes of discipline, which include: protection of the public, the courts and the legal profession; maintenance of the highest professional standards; and preservation of public confidence in the legal profession. (See std. 1.1; *In re Morse* (1995) 11 Cal.4th 184, 205.)

Although not binding, the standards are entitled to "great weight" and should be followed "whenever possible" in determining level of discipline. (*In re Silverton* (2005) 36 Cal.4th 81, 92, quoting *In re Brown* (1995) 12 Cal.4th 205, 220 and *In re Young* (1989) 49 Cal.3d 257, 267, fn. 11.) Adherence to the standards in the great majority of cases serves the valuable purpose of eliminating disparity and assuring consistency, that is, the imposition of similar attorney discipline for instances of similar attorney misconduct. (*In re Naney* (1990) 51 Cal.3d 186, 190.) If a recommendation is at the high end or low end of a Standard, an explanation must be given as to how the recommendation was reached. (Std. 1.1.)

"Any disciplinary recommendation that deviates from the Standards must include clear reasons for the departure." (Std. 1.1; *Blair v. State Bar* (1989) 49 Cal.3d 762, 776, fn. 5.)

In determining whether to impose a sanction greater or less than that specified in a given standard, in addition to the factors set forth in the specific standard, consideration is to be given to the primary purposes of discipline; the balancing of all aggravating and mitigating circumstances; the type of misconduct at issue; whether the client, public, legal system or profession was harmed; and the member's willingness and ability to conform to ethical responsibilities in the future. (Stds. 1.7(b) and (c).)

Standard 2.3 applies to Respondent's violation of rule 4-200(A) and provides that suspension or reproval is appropriate for entering into an agreement for, charging, or collecting an illegal fee for legal services.

Standard 2.15 applies to Respondent's violation of 1-300(B) and provides that suspension not to exceed three years or reproval is appropriate.

The misconduct involved in Respondent's prior disciplinary matter, case no. 09-O-17012, occurred between April 2009 and November 2009. The acts which constitute the misconduct in this matter occurred between April 2009 and May 2009. Therefore, it is appropriate to consider the "totality of the findings in the two cases to determine what the discipline would have been had all the charged misconduct in this period been brought as one case." (*In the Matter of Sklar* (Review Dept. 1993) 2 Cal. State Bar Ct. Rptr. 602, 618). In 09-O-17012 Respondent stipulated to violations of 1-300(B) and 4-200(A) in two client matters and was ordered to pay restitution in both matters. Had Respondent stipulated to violations of 1-300(B) and 4-200(A) in a third client matter, the level of discipline imposed in 09-O-17012 would not change from 30 days actual suspension, one-year stayed suspension, two years of probation, and restitution to Douglas and Marlene de Ronde, is therefore appropriate, and serves the purpose of protecting the public, the courts and the legal profession.

The stpulae level of discipline is also consistent with case law. In *In the Matter of Taylor* (Review Dept. 2012) 5 Cal. State Bar Ct. Rptr. 221, Taylor was found culpable of collecting illegal advance fees from eight clients in loan modification matters in violation of Business and Professions Code section 6106.3. Taylor received a six-month actual suspension following trial and an appeal. Taylor was found to have engaged in multiple acts of misconduct, caused significant harm, and displayed indifference toward rectification or atonement for his misconduct. Taylor was given modest mitigating credit for good character. The facts and circumstances surrounding the misconduct in *Taylor* warranted a longer period of actual suspension than is warranted in the present matter, due to the fact that Taylor engaged in more acts of misconduct and continued to express indifference and a lack of remorse throughout disciplinary proceedings.

COSTS OF DISCIPLINARY PROCEEDINGS.

:

Respondent acknowledges that the Office of the Chief Trial Counsel has informed Respondent that as of October 3, 2014, the prosecution costs in this matter are \$3,497. Respondent further acknowledges that should this stipulation be rejected or should relief from the stipulation be granted, the costs in this matter may increase due to the cost of further proceedings.

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EXCLUSION FROM MCLE CREDIT

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Pursuant to rule 3201, Respondent may <u>not</u> receive MCLE credit for completion of State Bar Ethics School. (Rules Proc. of State Bar, rule 3201.)

(Do not write above this line.)	
In the Matter of	Case number(s):
MICHAEL LEE GOOLSBY	13-0-14919

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SIGNATURE OF THE PARTIES

By their signatures below, the parties and their counsel, as applicable, signify their agreement with each of the recitations and each of the terms and conditions of this Stipulation Re Fact, Conclusions of Law and Disposition.

10/21/14	M. L. Holly Michael Lee Goolsby	
Date	Respondent's Signature Print Name	
10-27-14	R. Kevin Bucher	
Date	Deputy Trial Counsel's Signature Print Name	

In the Matter of: MICHAEL LEE GOOLSBY Case Number(s): 13-O-14919

STAYED SUSPENSION ORDER

Finding the stipulation to be fair to the parties and that it adequately protects the public, IT IS ORDERED that the requested dismissal of counts/charges, if any, is GRANTED without prejudice, and:

The stipulated facts and disposition are APPROVED and the DISCIPLINE RECOMMENDED to the Supreme Court.

The stipulated facts and disposition are APPROVED AS MODIFIED as set forth below, and the DISCIPLINE IS RECOMMENDED to the Supreme Court.

All Hearing dates are vacated.

The parties are bound by the stipulation as approved unless: 1) a motion to withdraw or modify the stipulation, filed within 15 days after service of this order, is granted; or 2) this court modifies or further modifies the approved stipulation. (See rule 5.58(E) & (F), Rules of Procedure.) The effective date of this disposition is the effective date of the Supreme Court order herein, normally 30 days after file date. (See rule 9.18(a), California Rules of Court.)

NOVEMBER 17, 2014

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GEORGE E. SCOTT, JUDGE PRO TEM Judge of the State Bar Court

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CERTIFICATE OF SERVICE

[Rules Proc. of State Bar; Rule 5.27(B); Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of Los Angeles, on November 18, 2014, I deposited a true copy of the following document(s):

STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING

in a sealed envelope for collection and mailing on that date as follows:

by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at Los Angeles, California, addressed as follows:

MICHAEL L. GOOLSBY GOOLSBY LAW GROUP 12841 OWEN ST GARDEN GROVE, CA 92845

by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

KEVIN BUCHER, Enforcement, Los Angeles

I hereby certify that the foregoing is true and correct. Executed in Los Angeles, California, on November 18, 2014.

arpenter 201

Angela Carpenter Case Administrator State Bar Court