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<b>State Bar Court of California Hearing Department Los Angeles STAYED SUSPENSION</b>		
<p>Counsel For The State Bar</p> <p><b>Drew Massey</b> Deputy Trial Counsel 845 South Figueroa Street Los Angeles, CA 90017-2525 Tel: (213) 765-1204</p> <p>Bar # 244350</p>	<p>Case Number(s): 14-O-01528</p> <p style="text-align: center; font-size: 2em;"><b>PUBLIC MATTER</b></p>	<p>For Court use only</p> <p style="text-align: center; font-size: 2em;"><b>FILED</b> <i>[Signature]</i></p> <p style="text-align: center;">MAY 14 2015</p> <p style="text-align: center;">STATE BAR COURT CLERK'S OFFICE SAN FRANCISCO</p>
<p>Counsel For Respondent</p> <p><b>Merri Baldwin</b> Rogers Joseph O'Donnell 311 California Street, 10<sup>th</sup> Fl San Francisco, CA 94104</p> <p>Bar # 141957</p>	<p>Submitted to: <b>Settlement Judge</b></p> <p>STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING</p> <p><b>STAYED SUSPENSION; NO ACTUAL SUSPENSION</b></p> <p><input type="checkbox"/> PREVIOUS STIPULATION REJECTED</p>	
<p>In the Matter of: <b>SANJEEV KUMAR DHAND</b></p> <p>Bar # 211288</p> <p>A Member of the State Bar of California (Respondent)</p>		

**Note: All information required by this form and any additional information which cannot be provided in the space provided, must be set forth in an attachment to this stipulation under specific headings, e.g., "Facts," "Dismissals," "Conclusions of Law," "Supporting Authority," etc.**

**A. Parties' Acknowledgments:**

- (1) Respondent is a member of the State Bar of California, admitted **December 7, 2000**.
- (2) The parties agree to be bound by the factual stipulations contained herein even if conclusions of law or disposition are rejected or changed by the Supreme Court.
- (3) All investigations or proceedings listed by case number in the caption of this stipulation are entirely resolved by this stipulation and are deemed consolidated. Dismissed charge(s)/count(s) are listed under "Dismissals." The stipulation consists of **11** pages, not including the order.
- (4) A statement of acts or omissions acknowledged by Respondent as cause or causes for discipline is included under "Facts."
- (5) Conclusions of law, drawn from and specifically referring to the facts are also included under "Conclusions of Law".



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- (6) The parties must include supporting authority for the recommended level of discipline under the heading "Supporting Authority."
- (7) No more than 30 days prior to the filing of this stipulation, Respondent has been advised in writing of any pending investigation/proceeding not resolved by this stipulation, except for criminal investigations.
- (8) Payment of Disciplinary Costs—Respondent acknowledges the provisions of Bus. & Prof. Code §§6086.10 & 6140.7. (Check one option only):
- Costs are added to membership fee for calendar year following effective date of discipline.
  - Costs are to be paid in equal amounts prior to February 1 for the following membership years: (Hardship, special circumstances or other good cause per rule 5.132, Rules of Procedure). If Respondent fails to pay any installment as described above, or as may be modified by the State Bar Court, the remaining balance is due and payable immediately.
  - Costs are waived in part as set forth in a separate attachment entitled "Partial Waiver of Costs".
  - Costs are entirely waived.

**B. Aggravating Circumstances [Standards for Attorney Sanctions for Professional Misconduct, standards 1.2(f) & 1.5]. Facts supporting aggravating circumstances are required.**

- (1)  **Prior record of discipline**
- (a)  State Bar Court case # of prior case
  - (b)  Date prior discipline effective
  - (c)  Rules of Professional Conduct/ State Bar Act violations:
  - (d)  Degree of prior discipline
  - (e)  If Respondent has two or more incidents of prior discipline, use space provided below or a separate attachment entitled "Prior Discipline."
- (2)  **Dishonesty:** Respondent's misconduct was intentional, surrounded by, or followed by bad faith, dishonesty, concealment, overreaching or other violations of the State Bar Act or Rules of Professional Conduct.
- (3)  **Trust Violation:** Trust funds or property were involved and Respondent refused or was unable to account to the client or person who was the object of the misconduct for improper conduct toward said funds or property.
- (4)  **Harm:** Respondent's misconduct harmed significantly a client, the public or the administration of justice.
- (5)  **Indifference:** Respondent demonstrated indifference toward rectification of or atonement for the consequences of his or her misconduct.
- (6)  **Lack of Cooperation:** Respondent displayed a lack of candor and cooperation to victims of his/her misconduct or to the State Bar during disciplinary investigation or proceedings.
- (7)  **Multiple/Pattern of Misconduct:** Respondent's current misconduct evidences multiple acts of wrongdoing or demonstrates a pattern of misconduct.

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- (8)  **Restitution:** Respondent failed to make restitution.
- (9)  **No aggravating circumstances** are involved.

**Additional aggravating circumstances**

**C. Mitigating Circumstances [see standards 1.2(g) & 1.6]. Facts supporting mitigating circumstances are required.**

- (1)  **No Prior Discipline:** Respondent has no prior record of discipline over many years of practice coupled with present misconduct which is not deemed serious.
- (2)  **No Harm:** Respondent did not harm the client, the public, or the administration of justice.
- (3)  **Candor/Cooperation:** Respondent displayed spontaneous candor and cooperation with the victims of his/her misconduct and to the State Bar during disciplinary investigation and proceedings.
- (4)  **Remorse:** Respondent promptly took objective steps spontaneously demonstrating remorse and recognition of the wrongdoing, which steps were designed to timely atone for any consequences of his/her misconduct.
- (5)  **Restitution:** Respondent paid \$ \_\_\_\_\_ on \_\_\_\_\_ in restitution to \_\_\_\_\_ without the threat or force of disciplinary, civil or criminal proceedings.
- (6)  **Delay:** These disciplinary proceedings were excessively delayed. The delay is not attributable to Respondent and the delay prejudiced him/her.
- (7)  **Good Faith:** Respondent acted with a good faith belief that was honestly held and reasonable.
- (8)  **Emotional/Physical Difficulties:** At the time of the stipulated act or acts of professional misconduct Respondent suffered extreme emotional difficulties or physical or mental disabilities which expert testimony would establish was directly responsible for the misconduct. The difficulties or disabilities were not the product of any illegal conduct by the member, such as illegal drug or substance abuse, and the difficulties or disabilities no longer pose a risk that Respondent will commit misconduct.
- (9)  **Severe Financial Stress:** At the time of the misconduct, Respondent suffered from severe financial stress which resulted from circumstances not reasonably foreseeable or which were beyond his/her control and which were directly responsible for the misconduct.
- (10)  **Family Problems:** At the time of the misconduct, Respondent suffered extreme difficulties in his/her personal life which were other than emotional or physical in nature.
- (11)  **Good Character:** Respondent's extraordinarily good character is attested to by a wide range of references in the legal and general communities who are aware of the full extent of his/her misconduct. **See attachment, page 8.**
- (12)  **Rehabilitation:** Considerable time has passed since the acts of professional misconduct occurred followed by subsequent rehabilitation.
- (13)  **No mitigating circumstances** are involved.

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**Additional mitigating circumstances**

**Pre-trial stipulation, absence of prior misconduct, pro bono and community service, and change in law office procedures. See attachment, page 8.**

**D. Discipline:**

(1)  **Stayed Suspension:**

- (a)  Respondent must be suspended from the practice of law for a period of **one year**.
- i.  and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct.
- ii.  and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.
- iii.  and until Respondent does the following:

The above-referenced suspension is stayed.

(2)  **Probation:**

Respondent is placed on probation for a period of **one year**, which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18 California Rules of Court.)

**E. Additional Conditions of Probation:**

- (1)  During the probation period, Respondent must comply with the provisions of the State Bar Act and Rules of Professional Conduct.
- (2)  Within ten (10) days of any change, Respondent must report to the Membership Records Office of the State Bar and to the Office of Probation of the State Bar of California ("Office of Probation"), all changes of information, including current office address and telephone number, or other address for State Bar purposes, as prescribed by section 6002.1 of the Business and Professions Code.
- (3)  Within thirty (30) days from the effective date of discipline, Respondent must contact the Office of Probation and schedule a meeting with Respondent's assigned probation deputy to discuss these terms and conditions of probation. Upon the direction of the Office of Probation, Respondent must meet with the probation deputy either in-person or by telephone. During the period of probation, Respondent must promptly meet with the probation deputy as directed and upon request.
- (4)  Respondent must submit written quarterly reports to the Office of Probation on each January 10, April 10, July 10, and October 10 of the period of probation. Under penalty of perjury, Respondent must state whether Respondent has complied with the State Bar Act, the Rules of Professional Conduct, and all conditions of probation during the preceding calendar quarter. Respondent must also state whether there are any proceedings pending against him or her in the State Bar Court and if so, the case number and current status of that proceeding. If the first report would cover less than 30 days, that report must be submitted on the next quarter date, and cover the extended period.

In addition to all quarterly reports, a final report, containing the same information, is due no earlier than twenty (20) days before the last day of the period of probation and no later than the last day of probation.

- (5)  Respondent must be assigned a probation monitor. Respondent must promptly review the terms and conditions of probation with the probation monitor to establish a manner and schedule of compliance. During the period of probation, Respondent must furnish to the monitor such reports as may be requested, in addition to the quarterly reports required to be submitted to the Office of Probation. Respondent must cooperate fully with the probation monitor.

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- (6)  Subject to assertion of applicable privileges, Respondent must answer fully, promptly and truthfully any inquiries of the Office of Probation and any probation monitor assigned under these conditions which are directed to Respondent personally or in writing relating to whether Respondent is complying or has complied with the probation conditions.
- (7)  Within one (1) year of the effective date of the discipline herein, Respondent must provide to the Office of Probation satisfactory proof of attendance at a session of the State Bar Ethics School, and passage of the test given at the end of that session.
- No Ethics School recommended. Reason: .
- (8)  Respondent must comply with all conditions of probation imposed in the underlying criminal matter and must so declare under penalty of perjury in conjunction with any quarterly report to be filed with the Office of Probation.
- (9)  The following conditions are attached hereto and incorporated:
- |   |   |
|---|---|
| <input type="checkbox"/> Substance Abuse Conditions | <input type="checkbox"/> Law Office Management Conditions |
| <input type="checkbox"/> Medical Conditions         | <input type="checkbox"/> Financial Conditions             |

**F. Other Conditions Negotiated by the Parties:**

- (1)  **Multistate Professional Responsibility Examination:** Respondent must provide proof of passage of the Multistate Professional Responsibility Examination ("MPRE"), administered by the National Conference of Bar Examiners, to the Office of Probation within one year. **Failure to pass the MPRE results in actual suspension without further hearing until passage. But see rule 9.10(b), California Rules of Court, and rule 5.162(A) & (E), Rules of Procedure.**
- No MPRE recommended. Reason: .
- (2)  **Other Conditions:**

**ATTACHMENT TO**  
**STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION**

IN THE MATTER OF:                      SANJEEV KUMAR DHAND

CASE NUMBER:                              14-O-01528

**FACTS AND CONCLUSIONS OF LAW.**

Respondent admits that the following facts are true and that he is culpable of violations of the specified statutes and/or Rules of Professional Conduct.

Case No. 15-O-01528 (State Bar Investigation)

**FACTS:**

1. In order to remain as an active member of the State Bar, Sanjeev Dhand (“respondent”) was required to complete twenty-five (25) hours of Minimum Continuing Legal Education (“MCLE”) during the period beginning February 1, 2010 through January 31, 2013 (the “compliance period”).

2. On May 8, 2013, respondent reported to the State Bar under penalty of perjury that he was in compliance with the MCLE requirement and in particular that he had completed the required MCLE hours during the compliance period.

3. In fact, respondent had not completed all of the required MCLE hours within the compliance period. Respondent had only completed 9.75 hours of participatory and self-study MCLE during the compliance period.

4. Respondent states that at the time he affirmed his MCLE compliance he believed that he had in fact complied. However, respondent affirmed his MCLE compliance without checking his records to ascertain whether his belief was correct.

5. Respondent completed the MCLE hours necessary to come into compliance after being contacted by the State Bar’s Office of Member Records and Compliance regarding an audit of MCLE compliance. Respondent timely complied with the audit.

**CONCLUSIONS OF LAW:**

6. When respondent reported to the State Bar that he was in compliance with the MCLE requirements, respondent was grossly negligent in not knowing that he was not in full compliance.

7. By reporting to the State Bar, under penalty of perjury, that respondent had complied with all MCLE requirements when he was grossly negligent in not knowing that he had failed to complete the MCLE requirements, respondent committed an act of moral turpitude in willful violation of California Business and Professions Code section 6106.

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## ADDITIONAL FACTS RE MITIGATING CIRCUMSTANCES.

**No Prior Discipline:** Respondent was admitted to practice law in December 2000. Respondent had been discipline-free for twelve and one half years of practice from admission to the misconduct in May 2013. This circumstance should be given “significant weight” in mitigation. (*Hawes v. State Bar* (1990) 51 Cal.3d 587, 596.)

**Good Character (Std. 1.6(f)):** Respondent has provided evidence of eleven individuals willing to attest to his good character. The individuals represent a wide range of references from the general and legal communities and each is aware of the full extent of the misconduct. (*In the Matter of Wells* (Review Dept. 2006) 4 Cal. State Bar Ct. Rptr. 896, 912.)

**Pro bono/Community Service.** Respondent has provided evidence in the form of letters from various individuals, that he has engaged in substantial community service and *pro bono* work. In particular, he performed a portion of the Foley Lardner firm’s *pro bono* work, has worked for 12 years on the Executive Committee of The Indus Entrepreneurs (“TIE”) (which included significant and sustained mentoring of high school students as well as legal presentations given to TIE members), and membership on the Board of the California Ballet Company for seven years, including acting as its president the last two years, which averaged ten hours per month of volunteer time and up to fifty hours per month when providing *pro bono* legal services to that organization. Community service and *pro bono* work entitles respondent to mitigation. (*Calvert v. State Bar* (1991) 54 Cal.3d 765, 785.)

**Law Office Procedures.** Respondent has changed his law office procedures in order to avoid non-compliance in the future. Specifically, he has added MCLE compliance deadlines and reminders to his electronic and paper calendaring systems. He also has stated that he has subscribed to an online MCLE provider ensuring that required courses are available to him. A change of law office procedure has been found to be mitigating in MCLE cases. (*In the Matter of Yee* (Review Dept. 2014) 5 Cal. State Bar Ct. Rptr. 330.)

**Pretrial Stipulation:** Respondent admitted to the misconduct and entered into this stipulation fully resolving this matter prior to trial. Respondent’s cooperation at this stage will save the State Bar resources and time. Respondent’s cooperation in this regard is a mitigating factor in this resolution (*Silva-Vidor v. State Bar* (1989) 49 Cal.3d 1071, 1079 (where mitigation credit was given for entering into a stipulation as to facts and culpability).)

## AUTHORITIES SUPPORTING DISCIPLINE.

The Standards for Attorney Sanctions for Professional Misconduct “set forth a means for determining the appropriate disciplinary sanction in a particular case and to ensure consistency across cases dealing with similar misconduct and surrounding circumstances.” (Rules Proc. of State Bar, tit. IV, Stds. for Atty. Sanctions for Prof. Misconduct, std. 1.1. All further references to Standards are to this source.) The Standards help fulfill the primary purposes of discipline, which include: protection of the public, the courts and the legal profession; maintenance of the highest professional standards; and preservation of public confidence in the legal profession. (See std. 1.1; *In re Morse* (1995) 11 Cal.4th 184, 205.)

Although not binding, the standards are entitled to “great weight” and should be followed “whenever possible” in determining level of discipline. (*In re Silvertown* (2005) 36 Cal.4th 81, 92, quoting *In re Brown* (1995) 12 Cal.4th 205, 220 and *In re Young* (1989) 49 Cal.3d 257, 267, fn. 11.) Adherence to the standards in the great majority of cases serves the valuable purpose of eliminating disparity and assuring

consistency, that is, the imposition of similar attorney discipline for instances of similar attorney misconduct. (*In re Naney* (1990) 51 Cal.3d 186, 190.) If a recommendation is at the high end or low end of a Standard, an explanation must be given as to how the recommendation was reached. (Std. 1.1.) “Any disciplinary recommendation that deviates from the Standards must include clear reasons for the departure.” (Std. 1.1; *Blair v. State Bar* (1989) 49 Cal.3d 762, 776, fn. 5.)

In determining whether to impose a sanction greater or less than that specified in a given standard, in addition to the factors set forth in the specific standard, consideration is to be given to the primary purposes of discipline; the balancing of all aggravating and mitigating circumstances; the type of misconduct at issue; whether the client, public, legal system or profession was harmed; and the member’s willingness and ability to conform to ethical responsibilities in the future. (Stds. 1.7(b) and (c).)

Pursuant to Standard 2.7, “disbarment or actual suspension is appropriate for an act of moral turpitude.” Failure to review a member’s records before the member affirms compliance with the MCLE requirements has been held to be gross negligence amounting to moral turpitude. (*In the Matter of Yee* (Review Dept. 2014) 5 Cal. State Bar Ct. Rptr. 330.) Thus, absent mitigating factors, the Standard would call for actual suspension at minimum.

Here, respondent has significant mitigating factors and no aggravating factors. Pursuant to Standard 1.7(c), a review of the mitigation should take place alone and in balance with aggravating factors. Here, respondent has a significant period of prior practice without discipline. Respondent also has provided significant evidence of good character along with community service and *pro bono* activities. Further, respondent has altered his law office procedures to minimize the potential for future failure to comply with MCLE or to misreport such compliance.

Notably, respondent has displayed candor and cooperation to the State Bar, but did so only after being selected for an MCLE audit and therefore his cooperation is not “spontaneous” as required by Standard 1.6(e). However, when the remainder of the mitigating factors are taken into account, and with the lack of any aggravating factors, it is apparent that a deviation from the discipline recommended by Standard 2.7 is warranted.

Therefore, appropriate discipline would consist of a one year stayed suspension along with one year of probation with conditions. That level of discipline would be sufficient to protect the public, the courts, and the legal profession; maintain the highest professional standards; and preserve public confidence in the legal profession.

Case law is in line with this recommendation. In *In the Matter of Yee, supra*, 5 Cal. State Bar Ct. Rptr. 330, the attorney misrepresented her MCLE compliance because her practice had been to take a 25-hour bundle of courses all at once. She did so without checking her records to confirm the statement was true and based solely on her memory. However, she later discovered that the courses were taken near the end of the prior compliance period rather than within the compliance period at issue. In addition, she testified of specific recollections of completing MCLEs and provided evidence that she lost all data on her hard drive in a 2009 computer crash. The *Yee* attorney also had several factors in mitigation including discipline-free prior practice for ten years, candor and cooperation, good character, remorse and recognition of wrongdoing, and *pro bono* work and community service. The *Yee* attorney received a public reproof.

The misconduct here is the same and the mitigation is of approximately equal weight. However, unlike the *Yee* attorney, respondent has not articulated a belief that he did, in fact, complete the required hours. Nor is there any evidence that hours were completed but the records destroyed (e.g. by a hard drive crash). Therefore, while deviation is appropriate, a deviation to public reproof is not warranted and respondent should receive a one-year suspension, stayed, and a one-year period of probation with conditions.

#### **COSTS OF DISCIPLINARY PROCEEDINGS.**

Respondent acknowledges that the Office of the Chief Trial Counsel has informed Respondent that as of April 27, 2015, the prosecution costs in this matter are \$5,543. Respondent further acknowledges that should this stipulation be rejected or should relief from the stipulation be granted, the costs in this matter may increase due to the cost of further proceedings.

#### **EXCLUSION FROM MCLE CREDIT**

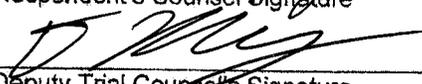
Pursuant to rule 3201, Respondent may not receive MCLE credit for completion of State Bar Ethics School. (Rules Proc. of State Bar, rule 3201.)

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In the Matter of: SANJEEV KUMAR DHAND	Case number(s): 14-O-01528
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**SIGNATURE OF THE PARTIES**

By their signatures below, the parties and their counsel, as applicable, signify their agreement with each of the recitations and each of the terms and conditions of this Stipulation Re Facts, Conclusions of Law, and Disposition.

May 5, 2015 Date	 Respondent's Signature	Sanjeev Dhand Print Name
May 6, 2015 Date	 Respondent's Counsel Signature	Merri Baldwin Print Name
5-7-15 Date	 Deputy Trial Counsel's Signature	Drew Massey Print Name

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In the Matter of: SANJEEV KUMAR DHAND	Case Number(s): 14-O-01528
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### STAYED SUSPENSION ORDER

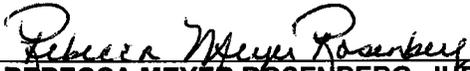
Finding the stipulation to be fair to the parties and that it adequately protects the public, IT IS ORDERED that the requested dismissal of counts/charges, if any, is GRANTED without prejudice, and:

- The stipulated facts and disposition are APPROVED and the DISCIPLINE RECOMMENDED to the Supreme Court.
- The stipulated facts and disposition are APPROVED AS MODIFIED as set forth below, and the DISCIPLINE IS RECOMMENDED to the Supreme Court.
- All Hearing dates are vacated.

1. On page 7 of the Stipulation, under the heading "Facts and Conclusions of Law," "Case No. 15-O-01528" is deleted, and in its place is inserted "Case No. 14-O-01528".
2. On page 10 of the Stipulation, first paragraph on that page, line 2, "respondent has not articulated a belief that he did, in fact, complete the required hours." is deleted, as the facts on page 7 of the Stipulation, at numbered paragraph 4 state, "Respondent states that at the time he affirmed his MCLE compliance he believed that he had in fact complied." In addition, on page 10 of the Stipulation, first paragraph on that page, line 3, "Nor is there any" is deleted, and in its place is inserted "there is no" so that the sentence beginning at the end of line 1 through the beginning of line 4 now reads, "However, unlike the Yee attorney, there is no evidence that hours were completed but the records destroyed (e.g. by a hard drive crash)."

The parties are bound by the stipulation as approved unless: 1) a motion to withdraw or modify the stipulation, filed within 15 days after service of this order, is granted; or 2) this court modifies or further modifies the approved stipulation. (See rule 5.58(E) & (F), Rules of Procedure.) **The effective date of this disposition is the effective date of the Supreme Court order herein, normally 30 days after file date. (See rule 9.18(a), California Rules of Court.)**

May 12, 2015  
Date

  
REBECCA MEYER ROSENBERG, JUDGE PRO TEM  
Judge of the State Bar Court

**CERTIFICATE OF SERVICE**

[Rules Proc. of State Bar; Rule 5.27(B); Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of San Francisco, On May 14, 2015, I deposited a true copy of the following document(s):

STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING

in a sealed envelope for collection and mailing on that date as follows:

- by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at San Francisco, California, addressed as follows:

MERRI A. BALDWIN  
ROGERS JOSEPH O'DONNELL  
311 CALIFORNIA ST 10TH FL  
SAN FRANCISCO, CA 94104

- by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

DREW D. MASSEY, Enforcement, Los Angeles

I hereby certify that the foregoing is true and correct. Executed in San Francisco, California, on May 14, 2015.

  
Laurretta Cramer  
Case Administrator  
State Bar Court