

**PUBLIC MATTER**

**FILED**

**SEP. 30 2015**

STATE BAR COURT  
CLERK'S OFFICE  
LOS ANGELES

1 STATE BAR OF CALIFORNIA  
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10 STATE BAR COURT

11 HEARING DEPARTMENT - LOS ANGELES

13 In the Matter of: ) Case Nos. 15-O-11693, 15-O-11783, and  
14 WILLIAM ARTHUR VALLEJOS, ) 15-O-11816  
15 No. 140110, ) NOTICE OF DISCIPLINARY CHARGES  
16 A Member of the State Bar )

17 **NOTICE - FAILURE TO RESPOND!**

18 **IF YOU FAIL TO FILE A WRITTEN ANSWER TO THIS NOTICE**  
19 **WITHIN 20 DAYS AFTER SERVICE, OR IF YOU FAIL TO APPEAR AT**  
20 **THE STATE BAR COURT TRIAL:**

- 21 (1) **YOUR DEFAULT WILL BE ENTERED;**
- 22 (2) **YOUR STATUS WILL BE CHANGED TO INACTIVE AND YOU**  
23 **WILL NOT BE PERMITTED TO PRACTICE LAW;**
- 24 (3) **YOU WILL NOT BE PERMITTED TO PARTICIPATE FURTHER IN**  
25 **THESE PROCEEDINGS UNLESS YOU MAKE A TIMELY MOTION**  
26 **AND THE DEFAULT IS SET ASIDE, AND;**
- 27 (4) **YOU SHALL BE SUBJECT TO ADDITIONAL DISCIPLINE.**  
28 **SPECIFICALLY, IF YOU FAIL TO TIMELY MOVE TO SET ASIDE**  
**OR VACATE YOUR DEFAULT, THIS COURT WILL ENTER AN**  
**ORDER RECOMMENDING YOUR DISBARMENT WITHOUT**  
**FURTHER HEARING OR PROCEEDING. SEE RULE 5.80 ET SEQ.,**  
**RULES OF PROCEDURE OF THE STATE BAR OF CALIFORNIA.**

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1 The State Bar of California alleges:

2 JURISDICTION

3 1. William Arthur Vallejos (“respondent”) was admitted to the practice of law in the  
4 State of California on June 6, 1989, was a member at all times pertinent to these charges, and is  
5 currently a member of the State Bar of California.

6 COUNT ONE

7 Case No. 15-O-11693  
8 Business & Professions Code section 6106.3  
9 [Violation of Civil Code section 2944.7(a)(1) - Illegal Advanced Fee]

10 2. On or about December 18, 2013, Respondent agreed to arrange and/or attempt to  
11 arrange a mortgage loan modification or other mortgage loan forbearance for a fee for a client,  
12 Marilyn G. Patterson, and thereafter on December 20, 2013, received \$2,500 from the client  
13 before Respondent had fully performed each and every service Respondent had been contracted  
14 to perform or represented to the client that Respondent would perform, in violation of Civil Code  
15 section 2944.7, and in willful violation of Business and Professions Code section 6106.3.

16 COUNT TWO

17 Case No. 15-O-11783  
18 Rules of Professional Conduct, Rule 1-400(D)(2), (3), and (4)  
19 [Deceptive, Misleading and Undesignated Advertisement  
20 Containing a Guarantee or Prediction]

21 3. On or about October 19, 2014, Respondent made a communication, or allowed one to  
22 be made on Respondent’s behalf, to Clayton and Kimberly Younger, who were prospective  
23 clients, concerning Respondent’s availability for professional employment that: (A) failed to  
24 indicate clearly, expressly, or by context, that it was a communication or solicitation; (B) failed  
25 to indicate the name of the member responsible for the communication; (C) contained  
26 guarantees, warranties or predictions regarding the results of the representation; and (D)  
27 contained, presented or arranged the matter in a manner or format that was false, deceptive, or  
28 which tended to confuse, deceive, or mislead the public, in willful violation of Rules of  
Professional Conduct, rule 1-400(D) (2), (3) and (4).

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COUNT THREE

Case No. 15-O-11783  
Business & Professions Code section 6106.3  
[Violation of Civil Code section 2944.7(a)(1) - Illegal Advanced Fee]

4. On or about October 22, 2014, Respondent agreed to arrange and/or attempt to arrange a mortgage loan modification or other mortgage loan forbearance for a fee for clients, Clayton and Kimberly Younger, and thereafter between on or about October 22, 2014 and on or about November 18, 2014, received \$2,300 from the clients before Respondent had fully performed each and every service Respondent had been contracted to perform or represented to the clients that Respondent would perform, in violation of Civil Code section 2944.7, and in willful violation of Business and Professions Code section 6106.3.

COUNT FOUR

Case No. 15-O-11816  
Business & Professions Code section 6106.3  
[Violation of Civil Code section 2944.7(a)(1) - Illegal Advanced Fee]

5. On or about August 21, 2013, Respondent agreed to arrange and/or attempt to arrange a mortgage loan modification or other mortgage loan forbearance for a fee for a client, Marjorie Lawrence, and thereafter between in or about August 2013, received \$2,500 from the client before Respondent had fully performed each and every service Respondent had been contracted to perform or represented to the client that Respondent would perform, in violation of Civil Code section 2944.7, and in willful violation of Business and Professions Code section 6106.3.

**NOTICE - INACTIVE ENROLLMENT!**

**YOU ARE HEREBY FURTHER NOTIFIED THAT IF THE STATE BAR COURT FINDS, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 6007(c), THAT YOUR CONDUCT POSES A SUBSTANTIAL THREAT OF HARM TO THE INTERESTS OF YOUR CLIENTS OR TO THE PUBLIC, YOU MAY BE INVOLUNTARILY ENROLLED AS AN INACTIVE MEMBER OF THE STATE BAR. YOUR INACTIVE ENROLLMENT WOULD BE IN ADDITION TO ANY DISCIPLINE RECOMMENDED BY THE COURT.**

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**NOTICE - COST ASSESSMENT!**

**IN THE EVENT THESE PROCEDURES RESULT IN PUBLIC DISCIPLINE, YOU MAY BE SUBJECT TO THE PAYMENT OF COSTS INCURRED BY THE STATE BAR IN THE INVESTIGATION, HEARING AND REVIEW OF THIS MATTER PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 6086.10.**

Respectfully submitted,

THE STATE BAR OF CALIFORNIA  
OFFICE OF CHIEF TRIAL COUNSEL

DATED: September 30, 2015

By:   
\_\_\_\_\_  
Charles T. Calix  
Senior Trial Counsel

DECLARATION OF SERVICE

by

U.S. FIRST-CLASS MAIL / U.S. CERTIFIED MAIL / OVERNIGHT DELIVERY / FACSIMILE-ELECTRONIC TRANSMISSION

CASE NUMBER(s): 15-O-11693, 15-O-11783, and 15-O-11816

I, the undersigned, am over the age of eighteen (18) years and not a party to the within action, whose business address and place of employment is the State Bar of California, 845 South Figueroa Street, Los Angeles, California 90017, declare that:

- on the date shown below, I caused to be served a true copy of the within document described as follows:

NOTICE OF DISCIPLINARY CHARGES



By U.S. First-Class Mail: (CCP §§ 1013 and 1013(a))

- in accordance with the practice of the State Bar of California for collection and processing of mail, I deposited or placed for collection and mailing in the City and County of Los Angeles.



By U.S. Certified Mail: (CCP §§ 1013 and 1013(a))



By Overnight Delivery: (CCP §§ 1013(c) and 1013(d))

- I am readily familiar with the State Bar of California's practice for collection and processing of correspondence for overnight delivery by the United Parcel Service ('UPS').



By Fax Transmission: (CCP §§ 1013(e) and 1013(f))

Based on agreement of the parties to accept service by fax transmission, I faxed the documents to the persons at the fax numbers listed herein below. No error was reported by the fax machine that I used. The original record of the fax transmission is retained on file and available upon request.



By Electronic Service: (CCP § 1010.6)

Based on a court order or an agreement of the parties to accept service by electronic transmission, I caused the documents to be sent to the person(s) at the electronic addresses listed herein below. I did not receive, within a reasonable time after the transmission, any electronic message or other indication that the transmission was unsuccessful.



(for U.S. First-Class Mail) in a sealed envelope placed for collection and mailing at Los Angeles, addressed to: (see below)



(for Certified Mail) in a sealed envelope placed for collection and mailing as certified mail, return receipt requested,

Article No.: 9414 7266 9904 2010 0881 72 at Los Angeles, addressed to: (see below)



(for Overnight Delivery) together with a copy of this declaration, in an envelope, or package designated by UPS,

Tracking No.: addressed to: (see below)

Person Served	Business-Residential Address	Fax Number	Courtesy Copy to:
WILLIAM ARTHUR VALLEJOS	Law Offices of William A. Vallejos 33 E. Valley Blvd., Ste. 202 Alhambra, CA 91801	(626) 457-9200 Electronic Address william@vallejoslaw.com	Law Offices of William A. Vallejos 33 E. Valley Blvd., Ste. 202 Alhambra, CA 91801

via inter-office mail regularly processed and maintained by the State Bar of California addressed to:

N/A

I am readily familiar with the State Bar of California's practice for collection and processing of correspondence for mailing with the United States Postal Service, and overnight delivery by the United Parcel Service ('UPS'). In the ordinary course of the State Bar of California's practice, correspondence collected and processed by the State Bar of California would be deposited with the United States Postal Service that same day, and for overnight delivery, deposited with delivery fees paid or provided for, with UPS that same day.

I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date on the envelope or package is more than one day after date of deposit for mailing contained in the affidavit.

I declare under penalty of perjury, under the laws of the State of California, that the foregoing is true and correct. Executed at Los Angeles, California, on the date shown below.

DATED: September 30, 2015

SIGNED:

Genelle De Luca-Suarez  
Declarant