

# PUBLIC MATTER

FILED

JUN 15 2016

STATE BAR COURT  
CLERK'S OFFICE  
LOS ANGELES

1 STATE BAR OF CALIFORNIA  
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STATE BAR COURT

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HEARING DEPARTMENT - LOS ANGELES

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In the Matter of:

) Case Nos. 15-O-13901; 15-O-13970; 15-N-15375; 16-O-12529

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STEPHEN EDWARD GALINDO,  
No. 76481,

) NOTICE OF DISCIPLINARY CHARGES

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A Member of the State Bar.

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## NOTICE - FAILURE TO RESPOND!

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**IF YOU FAIL TO FILE A WRITTEN ANSWER TO THIS NOTICE WITHIN 20 DAYS AFTER SERVICE, OR IF YOU FAIL TO APPEAR AT THE STATE BAR COURT TRIAL:**

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**(1) YOUR DEFAULT WILL BE ENTERED;**

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**(2) YOUR STATUS WILL BE CHANGED TO INACTIVE AND YOU WILL NOT BE PERMITTED TO PRACTICE LAW;**

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**(3) YOU WILL NOT BE PERMITTED TO PARTICIPATE FURTHER IN THESE PROCEEDINGS UNLESS YOU MAKE A TIMELY MOTION AND THE DEFAULT IS SET ASIDE, AND;**

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**(4) YOU SHALL BE SUBJECT TO ADDITIONAL DISCIPLINE. SPECIFICALLY, IF YOU FAIL TO TIMELY MOVE TO SET ASIDE OR VACATE YOUR DEFAULT, THIS COURT WILL ENTER AN ORDER RECOMMENDING YOUR DISBARMENT WITHOUT FURTHER HEARING OR PROCEEDING. SEE RULE 5.80 ET SEQ., RULES OF PROCEDURE OF THE STATE BAR OF CALIFORNIA.**

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COUNT THREE

Case No. 15-O-13901  
Business and Professions Code, section 6106  
[Moral Turpitude - Misrepresentation]

4. On or about December 6, 2015, Respondent stated in writing to the State Bar Investigator, that he filed his 9.20 after he received a letter from the State Bar Investigator dated November 23, 2015, and submitted a copy of a 9.20 declaration dated December 6, 2015, when Respondent knew or was grossly negligent in not knowing the statement(s) were false, and thereby committed an act involving moral turpitude, dishonesty or corruption in willful violation of Business and Professions Code, section 6106.

COUNT FOUR

Case No. 15-O-13970  
Business and Professions Code, section 6068(a)  
[Failure to Comply With Laws – Unauthorized Practice of Law]

5. On or about July 25, 2015, Respondent held himself out as entitled to practice law when Respondent was not an active member of the State Bar by presenting himself as an attorney to a Sheriff's deputy in a courthouse jail facility, in violation of Business and Professions Code, sections 6125 and 6126, and thereby willfully violated Business and Professions Code, section 6068(a).

COUNT FIVE

Case No. 15-O-13970  
Business and Professions Code, section 6106  
[Moral Turpitude - Misrepresentation]

6. On or about December 6, 2015, Respondent stated in writing to a State Bar Investigator, that he filed his October 10, 2015 quarterly report in State Bar case no. 14-O-02177, when Respondent knew or was grossly negligent in not knowing the statement(s) were false, and thereby committed an act involving moral turpitude, dishonesty or corruption in willful violation of Business and Professions Code, section 6106.

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COUNT SIX

Case No. 15-N-15375  
California Rules of Court, rule 9.20  
[Failure to Obey Rule 9.20]

7. Respondent failed to file a declaration of compliance with California Rules of Court, rule 9.20 in conformity with the requirements of rule 9.20(c) with the clerk of the State Bar Court by October 6, 2015, as required by Supreme Court order number S224662, in willful violation of California Rules of Court, rule 9.20. (A true and correct copy of the rule 9.20 order is attached hereto as Exhibit 1 and is incorporated by reference.)

COUNT SEVEN

Case No. 16-O-12529  
Business and Professions Code section 6068(k)  
[Failure to Comply With Conditions of Probation]

8. Respondent failed to comply with conditions attached to Respondent's disciplinary probation in State Bar case no. 14-O-01277 as follows, in willful violation of Business and Professions Code section 6068(k):

- A. Failing to schedule his required meeting with the Office of Probation by June 28, 2015;
- B. Failing to file the quarterly report due by October 10, 2015;
- C. Failing to timely file the quarterly report due by January 10, 2016; and
- D. Failing to file the quarterly report due by April 10, 2016.

**NOTICE - INACTIVE ENROLLMENT!**

**YOU ARE HEREBY FURTHER NOTIFIED THAT IF THE STATE BAR COURT FINDS, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 6007(c), THAT YOUR CONDUCT POSES A SUBSTANTIAL THREAT OF HARM TO THE INTERESTS OF YOUR CLIENTS OR TO THE PUBLIC, YOU MAY BE INVOLUNTARILY ENROLLED AS AN INACTIVE MEMBER OF THE STATE BAR. YOUR INACTIVE ENROLLMENT WOULD BE IN ADDITION TO ANY DISCIPLINE RECOMMENDED BY THE COURT.**

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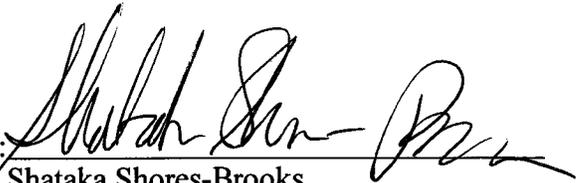
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**NOTICE - COST ASSESSMENT!**

**IN THE EVENT THESE PROCEDURES RESULT IN PUBLIC DISCIPLINE, YOU MAY BE SUBJECT TO THE PAYMENT OF COSTS INCURRED BY THE STATE BAR IN THE INVESTIGATION, HEARING AND REVIEW OF THIS MATTER PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 6086.10.**

Respectfully submitted,

THE STATE BAR OF CALIFORNIA  
OFFICE OF THE CHIEF TRIAL COUNSEL

By: 

DATED: June 14, 2016

Shataka Shores-Brooks  
Deputy Trial Counsel

APR 29 2015

(State Bar Court No. 14-O-01277)

S224662

Frank A. McGuire Clerk

Deputy

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**IN THE SUPREME COURT OF CALIFORNIA**

**En Banc**

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In re STEPHEN EDWARD GALINDO on Discipline

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The court orders that Stephen Edward Galindo, State Bar Number 76481, is suspended from the practice of law in California for one year, execution of that period of suspension is stayed, and he is placed on probation for one year subject to the following conditions:

1. Stephen Edward Galindo is suspended from the practice of law for a minimum of 30 days of probation, and he will remain suspended until the following conditions are satisfied:
  - i. Stephen Edward Galindo pays in full the \$1,000 sanctions ordered on November 12, 2013 and on February 23, 2014 in *People v. Cruz*, Los Angeles Superior Court case no. KA102463 and furnishes satisfactory proof to the State Bar's Office of Probation in Los Angeles; and
  - ii. If Stephen Edward Galindo remains suspended for two years or more as a result of not satisfying the preceding condition, Stephen Edward Galindo must provide proof to the State Bar Court of his rehabilitation, fitness to practice and learning and ability in the general law. (Rules Proc. of State Bar, tit. IV, Stds. for Atty. Sanctions for Prof. Misconduct, std. 1.2(c)(1).)
2. Stephen Edward Galindo must comply with the other conditions of probation recommended by the Hearing Department of the State Bar Court in its Order Approving Stipulation filed on January 6, 2015.
3. At the expiration of the period of probation, if he has complied with all conditions of probation, the period of stayed suspension will be satisfied and that suspension will be terminated.

Stephen Edward Galindo must also take and pass the Multistate Professional Responsibility Examination during the period of his suspension or within one year, whichever is longer, and provide satisfactory proof of such passage to the State Bar's Office of Probation in Los Angeles within the same period. Failure to do so may result in suspension. (Cal. Rules of Court, rule 9.10(b).)

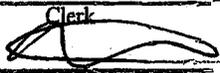
If Stephen Edward Galindo remains actually suspended for 90 days or more, he must also comply with California Rules of Court, rule 9.20, and perform the acts specified in subdivisions (a) and (c) of that rule within 120 and 130 calendar days, respectively, after the effective date of this order. Failure to do so may result in disbarment or suspension.

Costs are awarded to the State Bar in accordance with Business and Professions Code section 6086.10 and are enforceable both as provided in Business and Professions Code section 6140.7 and as a money judgment. One-third of the costs must be paid with his membership fees for each of the years 2016, 2017 and 2018. If Stephen Edward Galindo fails to pay any installment as described above, or as may be modified by the State Bar Court, the remaining balance is due and payable immediately.

I, Frank A. McGuire, Clerk of the Supreme Court of the State of California, do hereby certify that the preceding is a true copy of an order of this Court as shown by the records of my office.

Witness my hand and the seal of the Court this

\_\_\_\_\_ day of APR 29 2015 20\_\_\_\_

By:   
Deputy

**CANTIL-SAKAUYE**

*Chief Justice*

DECLARATION OF SERVICE

by

U.S. FIRST-CLASS MAIL / U.S. CERTIFIED MAIL / OVERNIGHT DELIVERY / FACSIMILE-ELECTRONIC TRANSMISSION

CASE NUMBER(s): 15-O-13901, 15-O-13970, 15-N-15375, 16-O-12529

I, the undersigned, am over the age of eighteen (18) years and not a party to the within action, whose business address and place of employment is the State Bar of California, 845 South Figueroa Street, Los Angeles, California 90017-2515, declare that:

- on the date shown below, I caused to be served a true copy of the within document described as follows:

NOTICE OF DISCIPLINARY CHARGES

- By U.S. First-Class Mail: (CCP §§ 1013 and 1013(a))
By U.S. Certified Mail: (CCP §§ 1013 and 1013(a))
By Overnight Delivery: (CCP §§ 1013(c) and 1013(d))
By Fax Transmission: (CCP §§ 1013(e) and 1013(f))
By Electronic Service: (CCP § 1010.6)

- (for U.S. First-Class Mail) in a sealed envelope placed for collection and mailing at Los Angeles, addressed to: (see below)
(for Certified Mail) in a sealed envelope placed for collection and mailing as certified mail, return receipt requested, Article No.: 7196 9008 9111 1008 3206 at Los Angeles, addressed to: (see below)
(for Overnight Delivery) together with a copy of this declaration, in an envelope, or package designated by UPS, Tracking No.: addressed to: (see below)

Table with 3 columns: Person Served, Business-Residential Address, Fax Number. Row 1: Stephen Edward Galindo, 1025 Garfield Ave. So. Pasadena, CA 91030, Electronic Address

I am readily familiar with the State Bar of California's practice for collection and processing of correspondence for mailing with the United States Postal Service, and overnight delivery by the United Parcel Service (UPS). In the ordinary course of the State Bar of California's practice, correspondence collected and processed by the State Bar of California would be deposited with the United States Postal Service that same day, and for overnight delivery, deposited with delivery fees paid or provided for, with UPS that same day.

I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date on the envelope or package is more than one day after date of deposit for mailing contained in the affidavit.

I declare under penalty of perjury, under the laws of the State of California, that the foregoing is true and correct. Executed at Los Angeles, California, on the date shown below.

DATED: June 15, 2016

SIGNED: Sandra Reynolds Declarant