

# PUBLIC MATTER

1 STATE BAR OF CALIFORNIA  
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**FILED**

**JUN 29 2016**

**STATE BAR COURT  
CLERK'S OFFICE  
LOS ANGELES**

STATE BAR COURT

HEARING DEPARTMENT - LOS ANGELES

12 In the Matter of: ) Case No. 15-O-15025  
 13 RAYMOND CHUN-I LIU, )  
 No. 242154, ) NOTICE OF DISCIPLINARY CHARGES  
 14 )  
 15 A Member of the State Bar. )

**NOTICE - FAILURE TO RESPOND!**

**IF YOU FAIL TO FILE A WRITTEN ANSWER TO THIS NOTICE WITHIN 20 DAYS AFTER SERVICE, OR IF YOU FAIL TO APPEAR AT THE STATE BAR COURT TRIAL:**

- 19 (1) **YOUR DEFAULT WILL BE ENTERED;**
- 20 (2) **YOUR STATUS WILL BE CHANGED TO INACTIVE AND YOU WILL NOT BE PERMITTED TO PRACTICE LAW;**
- 21 (3) **YOU WILL NOT BE PERMITTED TO PARTICIPATE FURTHER IN THESE PROCEEDINGS UNLESS YOU MAKE A TIMELY MOTION AND THE DEFAULT IS SET ASIDE, AND;**
- 22 (4) **YOU SHALL BE SUBJECT TO ADDITIONAL DISCIPLINE. SPECIFICALLY, IF YOU FAIL TO TIMELY MOVE TO SET ASIDE OR VACATE YOUR DEFAULT, THIS COURT WILL ENTER AN ORDER RECOMMENDING YOUR DISBARMENT WITHOUT FURTHER HEARING OR PROCEEDING. SEE RULE 5.80 ET SEQ., RULES OF PROCEDURE OF THE STATE BAR OF CALIFORNIA.**



1 The State Bar of California alleges:

2 JURISDICTION

3 1. RAYMOND CHUN-I LIU ("respondent") was admitted to the practice of law in the  
4 State of California on May 18, 2006, was a member at all times pertinent to these charges, and is  
5 currently a member of the State Bar of California.

6 COUNT ONE

7 Case No. 15-O-15025  
8 Rules of Professional Conduct, Rule 1-300(A)  
9 [Aiding the Unauthorized Practice of Law]

10 2. From on or about June 29, 2013 through on or about July 9, 2014, Respondent aided  
11 Francisco Barra, who is not licensed to practice law in California, in the unauthorized practice of  
12 law, by allowing Barra to acquire client Jose Lamas and to provide Lamas with legal advice  
13 related to a Chapter Seven bankruptcy petition, in willful violation of Rules of Professional  
14 Conduct, rule 1-300(A).

14 COUNT TWO

15 Case No. 15-O-15025  
16 Rules of Professional Conduct, rule 3-700(A)(2)  
17 [Improper Withdrawal from Employment]

18 3. Respondent failed, upon termination of employment, to take reasonable steps to avoid  
19 reasonably foreseeable prejudice to Respondent's client, Jose Lamas, by constructively  
20 terminating Respondent's employment in January 2015 by failing to take any action on the  
21 client's behalf after January 2015 and thereafter failing to inform the client that Respondent was  
22 withdrawing from employment, in willful violation of Rules of Professional Conduct, rule 3-  
23 700(A)(2).

23 COUNT THREE

24 Case No. 15-O-15025  
25 Rules of Professional Conduct, rule 3-700(D)(1)  
26 [Failure to Release File]

27 4. Respondent failed to release promptly, after termination of Respondent's employment  
28 on or about January 2015, to Respondent's client, Jose Lamas, all of the client's papers and

1 property following the client's request for the client's file on February 22, 2016, in willful  
2 violation of Rules of Professional Conduct, rule 3-700(D)(1).

3 COUNT FOUR

4 Case No. 15-O-15025  
5 Rules of Professional Conduct, rule 3-700(D)(2)  
6 [Failure to Refund Unearned Fees]

7 5. Between on or about June 29, 2013 and on or about July 9, 2014, Respondent  
8 received advanced fees of \$1,700 from a client, Jose Lamas, to prepare and file a Chapter Seven  
9 bankruptcy petition on Lamas's behalf. Respondent failed to prepare and file a Chapter Seven  
10 bankruptcy petition on Lamas's behalf, or perform any legal services for the client, and therefore  
11 earned none of the advanced fees paid. Respondent failed to refund promptly, upon  
12 Respondent's termination of employment in or about January 2015 any part of the \$1,700 fee to  
13 the client, in willful violation of Rules of Professional Conduct, rule 3-700(D)(2).

14 COUNT FIVE

15 Case No. 15-O-15025  
16 Rules of Professional Conduct, rule 4-100(B)(3)  
17 [Failure to Render Accounts of Client Funds]

18 6. On or about June 29, 2013, Respondent received from Respondent's client, Jose  
19 Lamas, the sum of \$1,700 as advanced fees for legal services to be performed. Respondent  
20 thereafter failed to render an appropriate accounting to the client regarding those funds following  
21 the termination of Respondent's employment on or about January 2015, in willful violation of  
22 the Rules of Professional Conduct, rule 4-100(B)(3).

23 COUNT SIX

24 Case No. 15-O-15025  
25 Business and Professions Code, section 6068(i)  
26 [Failure to Cooperate in State Bar Investigation]

27 7. Respondent failed to cooperate and participate in a disciplinary investigation pending  
28 against Respondent by failing to provide a substantive response to the State Bar's letters dated  
December 11, 2015 and March 21, 2016, which Respondent received, that requested

1 Respondent's response to the allegations of misconduct being investigated in case no. 15-O-  
2 15025, in willful violation of Business and Professions Code, section 6068(i).

3  
4 **NOTICE - INACTIVE ENROLLMENT!**

5 **YOU ARE HEREBY FURTHER NOTIFIED THAT IF THE STATE BAR**  
6 **COURT FINDS, PURSUANT TO BUSINESS AND PROFESSIONS CODE**  
7 **SECTION 6007(c), THAT YOUR CONDUCT POSES A SUBSTANTIAL**  
8 **THREAT OF HARM TO THE INTERESTS OF YOUR CLIENTS OR TO**  
9 **THE PUBLIC, YOU MAY BE INVOLUNTARILY ENROLLED AS AN**  
10 **INACTIVE MEMBER OF THE STATE BAR. YOUR INACTIVE**  
11 **ENROLLMENT WOULD BE IN ADDITION TO ANY DISCIPLINE**  
12 **RECOMMENDED BY THE COURT.**

13  
14 **NOTICE - COST ASSESSMENT!**

15 **IN THE EVENT THESE PROCEDURES RESULT IN PUBLIC**  
16 **DISCIPLINE, YOU MAY BE SUBJECT TO THE PAYMENT OF COSTS**  
17 **INCURRED BY THE STATE BAR IN THE INVESTIGATION, HEARING**  
18 **AND REVIEW OF THIS MATTER PURSUANT TO BUSINESS AND**  
19 **PROFESSIONS CODE SECTION 6086.10.**

20 Respectfully submitted,

21 THE STATE BAR OF CALIFORNIA  
22 OFFICE OF CHIEF TRIAL COUNSEL

23  
24  
25  
26  
27  
28 DATED: June 29, 2016

By: 

William Todd  
Senior Trial Counsel

DECLARATION OF SERVICE

by
U.S. FIRST-CLASS MAIL / U.S. CERTIFIED MAIL / OVERNIGHT DELIVERY / FACSIMILE-ELECTRONIC TRANSMISSION

CASE NUMBER(s): 15-O-15025

I, the undersigned, am over the age of eighteen (18) years and not a party to the within action, whose business address and place of employment is the State Bar of California, 845 South Figueroa Street, Los Angeles, California 90017, declare that:

- on the date shown below, I caused to be served a true copy of the within document described as follows:

NOTICE OF DISCIPLINARY CHARGES

- By U.S. First-Class Mail: (CCP §§ 1013 and 1013(a))
By U.S. Certified Mail: (CCP §§ 1013 and 1013(a))
By Overnight Delivery: (CCP §§ 1013(c) and 1013(d))
By Fax Transmission: (CCP §§ 1013(e) and 1013(f))
By Electronic Service: (CCP § 1010.6)

- (for U.S. First-Class Mail) in a sealed envelope placed for collection and mailing at Los Angeles, addressed to: (see below)
(for Certified Mail) in a sealed envelope placed for collection and mailing as certified mail, return receipt requested, Article No.: at Los Angeles, addressed to: (see below)
(for Overnight Delivery) together with a copy of this declaration, in an envelope, or package designated by UPS, Tracking No.: addressed to: (see below)

Table with 4 columns: Person Served, Business-Residential Address, Fax Number, Courtesy Copy to. Row 1: Raymond Chung-i. Liu, 13337 South St # 170 Cerritos, CA 90703, Electronic Address.

via inter-office mail regularly processed and maintained by the State Bar of California addressed to:

N/A

I am readily familiar with the State Bar of California's practice for collection and processing of correspondence for mailing with the United States Postal Service, and overnight delivery by the United Parcel Service ('UPS').

I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date on the envelope or package is more than one day after date of deposit for mailing contained in the affidavit.

I declare under penalty of perjury, under the laws of the State of California, that the foregoing is true and correct. Executed at Los Angeles, California, on the date shown below.

DATED: June 29, 2016

SIGNED: LAURA JETT Declarant