# State Bar Court of California Hearing Department San Francisco

REPROVAL		
Counsel For The State Bar	Case Number(s): 16-0-11792-PEM	For Court use only
Robert A. Henderson Supervising Senior Trial Counsel 180 Howard St.		PUBLIC MATER
San Francisco, CA 94105 (415) 538-2385		
Bar # <b>173205</b>		APR 1 7 2017
In Pro Per Respondent		STATE BAR COURT CLERK'S OFFICE
Sharron S K Williams Gelobter JINA Immigration Legal Services 322 Harbour Way, Suite 21 Richmond, CA 94801		SAN FRANCISCO
(510) 288-8686	Submitted to: Settlement Judge	
	STIPULATION RE FACTS, (DISPOSITION AND ORDER	CONCLUSIONS OF LAW AND
Bar # 226936	DISPOSITION AND CREEK	
In the Matter of: SHARRON S K WILLIAMS GELOBTER	PUBLIC REPROVAL	
	☐ PREVIOUS STIPULATION	ON REJECTED
Bar # 226936		
A Member of the State Bar of California (Respondent)		

Note: All information required by this form and any additional information which cannot be provided in the space provided, must be set forth in an attachment to this stipulation under specific headings, e.g., "Facts," "Dismissals," "Conclusions of Law," "Supporting Authority," etc.

# A. Parties' Acknowledgments:

- (1) Respondent is a member of the State Bar of California, admitted December 2, 2003.
- (2) The parties agree to be bound by the factual stipulations contained herein even if conclusions of law or disposition are rejected or changed by the Supreme Court.
- (3) All investigations or proceedings listed by case number in the caption of this stipulation are entirely resolved by this stipulation and are deemed consolidated. Dismissed charge(s)/count(s) are listed under "Dismissals." The stipulation consists of 11 pages, not including the order.
- (4) A statement of acts or omissions acknowledged by Respondent as cause or causes for discipline is included under "Facts."

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<u>(Do I</u>	not wri	te abov	ve this line.)
(5)	Co La	nclus w".	ions of law, drawn from and specifically referring to the facts are also included under "Conclusions of
(6)	The "Su	e part	ies must include supporting authority for the recommended level of discipline under the heading ting Authority."
(7)	No per	more nding	than 30 days prior to the filing of this stipulation, Respondent has been advised in writing of any investigation/proceeding not resolved by this stipulation, except for criminal investigations.
(8)	Pay 614	ymen 10.7. (	t of Disciplinary Costs—Respondent acknowledges the provisions of Bus. & Prof. Code §§6086.10 & (Check one option only):
		rep Ca Co <b>bil</b> go ab- imi	osts are added to membership fee for calendar year following effective date of discipline (public proval).  Use ineligible for costs (private reproval).  Usests are to be paid in equal amounts prior to February 1 for the following membership years: three ling cycles following the effective date of the discipline. (Hardship, special circumstances or other od cause per rule 5.132, Rules of Procedure.) If Respondent fails to pay any installment as described ove, or as may be modified by the State Bar Court, the remaining balance is due and payable mediately.
		Co Co	sts are waived in part as set forth in a separate attachment entitled "Partial Waiver of Costs". sts are entirely waived.
(9)	The	parti	es understand that:
	(a)		A private reproval imposed on a respondent as a result of a stipulation approved by the Court prior to initiation of a State Bar Court proceeding is part of the respondent's official State Bar membership records, but is not disclosed in response to public inquiries and is not reported on the State Bar's web page. The record of the proceeding in which such a private reproval was imposed is not available to the public except as part of the record of any subsequent proceeding in which it is introduced as evidence of a prior record of discipline under the Rules of Procedure of the State Bar.
	(b)		A private reproval imposed on a respondent after initiation of a State Bar Court proceeding is part of the respondent's official State Bar membership records, is disclosed in response to public inquiries and is reported as a record of public discipline on the State Bar's web page.
	(c)		A public reproval imposed on a respondent is publicly available as part of the respondent's official State Bar membership records, is disclosed in response to public inquiries and is reported as a record of public discipline on the State Bar's web page.
Mis		duct	ing Circumstances [Standards for Attorney Sanctions for Professional, standards 1.2(h) & 1.5]. Facts supporting aggravating circumstances are
(1)		Prio	r record of discipline
	(a)		State Bar Court case # of prior case
	(b)		Date prior discipline effective
	(c)		Rules of Professional Conduct/ State Bar Act violations:
	(d)		Degree of prior discipline

(Do n	ot writ	te above this line.)
	(e)	If Respondent has two or more incidents of prior discipline, use space provided below or a separate attachment entitled "Prior Discipline.
(2)		<b>Intentional/Bad Faith/Dishonesty:</b> Respondent's misconduct was dishonest, intentional, or surrounded by, or followed by bad faith.
(3)		Misrepresentation: Respondent's misconduct was surrounded by, or followed by misrepresentation.
(4)		Concealment: Respondent's misconduct was surrounded by, or followed by concealment.
(5)		Overreaching: Respondent's misconduct was surrounded by, or followed by overreaching.
(6)		<b>Uncharged Violations:</b> Respondent's conduct involves uncharged violations of the Business and Professions Code or the Rules of Professional Conduct.
(7)		<b>Trust Violation:</b> Trust funds or property were involved and Respondent refused or was unable to account to the client or person who was the object of the misconduct for improper conduct toward said funds or property.
(8)		Harm: Respondent's misconduct harmed significantly a client, the public, or the administration of justice.
(9)		<b>Indifference:</b> Respondent demonstrated indifference toward rectification of or atonement for the consequences of his or her misconduct.
(10)		<b>Candor/Lack of Cooperation:</b> Respondent displayed a lack of candor and cooperation to victims of his/her misconduct, or to the State Bar during disciplinary investigations or proceedings.
(11)	$\boxtimes$	<b>Multiple Acts:</b> Respondent's current misconduct evidences multiple acts of wrongdoing. See Attachment to Stipulation at p. 8.
(12)		Pattern: Respondent's current misconduct demonstrates a pattern of misconduct.
(13)		Restitution: Respondent failed to make restitution.
(14)		Vulnerable Victim: The victim(s) of Respondent's misconduct was/were highly vulnerable.
(15)		No aggravating circumstances are involved.
Addi	tiona	al aggravating circumstances:
		ating Circumstances [see standards 1.2(i) & 1.6]. Facts supporting mitigating stances are required.
(1)		<b>No Prior Discipline:</b> Respondent has no prior record of discipline over many years of practice coupled with present misconduct which is not likely to recur.
(2)		No Harm: Respondent did not harm the client, the public, or the administration of justice.
(3)		<b>Candor/Cooperation:</b> Respondent displayed spontaneous candor and cooperation with the victims of his/her misconduct or to the State Bar during disciplinary investigation and proceedings.

(Do n	<u>ot writ</u>	e abov	e this line.)
(4)		Ren of th	<b>norse:</b> Respondent promptly took objective steps demonstrating spontaneous remorse and recognition be wrongdoing, which steps were designed to timely atone for any consequences of his/her misconduct
(5)			titution: Respondent paid \$ on in restitution to without the threat or force of iplinary, civil or criminal proceedings.
(6)		<b>Dela</b> Res	y: These disciplinary proceedings were excessively delayed. The delay is not attributable to pondent and the delay prejudiced him/her.
(7)		Goo	d Faith: Respondent acted with a good faith belief that was honestly held and objectively reasonable.
(8)		Resp would prod	otional/Physical Difficulties: At the time of the stipulated act or acts of professional misconduct condent suffered extreme emotional difficulties or physical or mental disabilities which expert testimony destablish was directly responsible for the misconduct. The difficulties or disabilities were not the uct of any illegal conduct by the member, such as illegal drug or substance abuse, and the difficulties sabilities no longer pose a risk that Respondent will commit misconduct.
(9)		whic	ere Financial Stress: At the time of the misconduct, Respondent suffered from severe financial stress h resulted from circumstances not reasonably foreseeable or which were beyond his/her control and h were directly responsible for the misconduct.
(10)	$\boxtimes$	Fam perse 8-9.	ily Problems: At the time of the misconduct, Respondent suffered extreme difficulties in his/her onal life which were other than emotional or physical in nature. See Attachment to Stipulation at p.
(11)		Goo in the	d Character: Respondent's extraordinarily good character is attested to by a wide range of references e legal and general communities who are aware of the full extent of his/her misconduct.
(12)			abilitation: Considerable time has passed since the acts of professional misconduct occurred wed by subsequent rehabilitation.
(13)		No n	nitigating circumstances are involved.
Addi	tiona	ıl miti	igating circumstances:
		Pre	etrial Stipulation - See Attachment to Stipulation at p. 9.
		No	Prior Record of Discipline - See Attachment to Stipulation at p. 9.
D. D	isci	pline	<b>):</b>
(1)		Priva	ate reproval (check applicable conditions, if any, below)
	(a)		Approved by the Court prior to initiation of the State Bar Court proceedings (no public disclosure).
<u>or</u>	(b)		Approved by the Court after initiation of the State Bar Court proceedings (public disclosure).
(2)	$\boxtimes$	Publi	c reproval (Check applicable conditions, if any, below)
E. C	ond	lition	s Attached to Reproval:
(1)	$\boxtimes$	Resp	pondent must comply with the conditions attached to the reproval for a period of one-year.

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	_	
(2)	$\bowtie$	During the condition period attached to the reproval, Respondent must comply with the provisions of the State Bar Act and Rules of Professional Conduct.
(3)		Within ten (10) days of any change, Respondent must report to the Membership Records Office of the State Bar and to the Office of Probation of the State Bar of California ("Office of Probation"), all changes of information, including current office address and telephone number, or other address for State Bar purposes, as prescribed by section 6002.1 of the Business and Professions Code.
(4)		Within thirty (30) days from the effective date of discipline, Respondent must contact the Office of Probation and schedule a meeting with Respondent's assigned probation deputy to discuss these terms and conditions of reproval. Upon the direction of the Office of Probation, Respondent must meet with the probation deputy either in-person or by telephone. During the reproval conditions period, Respondent must promptly meet with the probation deputy as directed and upon request.
(5)		Respondent must submit written quarterly reports to the Office of Probation on each January 10, April 10, July 10, and October 10 of the condition period attached to the reproval. Under penalty of perjury, Respondent must state whether Respondent has complied with the State Bar Act, the Rules of Professional Conduct, and all conditions of the reproval during the preceding calendar quarter. Respondent must also state in each report whether there are any proceedings pending against him or her in the State Bar Court and if so, the case number and current status of that proceeding. If the first report would cover less than 30 (thirty) days, that report must be submitted on the next following quarter date, and cover the extended period.
		In addition to all quarterly reports, a final report, containing the same information, is due no earlier than twenty (20) days before the last day of the condition period and no later than the last day of the condition period.
(6)		Respondent must be assigned a probation monitor. Respondent must promptly review the terms and conditions of reproval with the probation monitor to establish a manner and schedule of compliance. During the reproval conditions period, Respondent must furnish such reports as may be requested, in addition to the quarterly reports required to be submitted to the Office of Probation. Respondent must cooperate fully with the monitor.
(7)	$\boxtimes$	Subject to assertion of applicable privileges, Respondent must answer fully, promptly and truthfully any inquiries of the Office of Probation and any probation monitor assigned under these conditions which are directed to Respondent personally or in writing relating to whether Respondent is complying or has complied with the conditions attached to the reproval.
(8)	$\boxtimes$	Within one (1) year of the effective date of the discipline herein, Respondent must provide to the Office of Probation satisfactory proof of attendance at a session of the Ethics School, and passage of the test given at the end of that session.
		☐ No Ethics School recommended. Reason: .
(9)		Respondent must comply with all conditions of probation imposed in the underlying criminal matter and must so declare under penalty of perjury in conjunction with any quarterly report to be filed with the Office of Probation.
(10)	$\boxtimes$	Respondent must provide proof of passage of the Multistate Professional Responsibility Examination ("MPRE"), administered by the National Conference of Bar Examiners, to the Office of Probation within one year of the effective date of the reproval.
		☐ No MPRE recommended. Reason:
(11)		The following conditions are attached hereto and incorporated:

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	Substance Abuse Conditions		Law Office Management Conditions	
П	Medical Conditions		Financial Conditions	
-	- Containen	L	Thancial Conditions	
F Other Cor	aditions Nogotioted by the Boutin			

#### **ATTACHMENT TO**

### STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION

IN THE MATTER OF:

SHARRON S K WILLIAMS GELOBTER

CASE NUMBER:

16-O-11792-PEM

#### FACTS AND CONCLUSIONS OF LAW.

Respondent admits that the following facts are true and that she is culpable of violations of the specified statutes and/or Rules of Professional Conduct.

Case No. 16-O-11792 (Complainant: Corina Altamirano and Romualdo Altamirano)

#### FACTS:

- 1. In 2010, Corina Altamirano ("Corina") filed paperwork to become a legal permanent resident. Corina had entered the United States ("U.S.") many years earlier, without following the required procedure. She entered the U.S. to join her U.S. citizen husband, Romualdo Altamirano.
- 2. In October 2013, the Immigration Service sent Corina a date for an interview on her application to become a legal permanent resident.
- 3. On November 5, 2013, Corina Altamirano and Romualdo Altamirano ("the Altamiranos") hired respondent to file for permanent residency [Forms I-601 or I-601A Extreme Hardship Waiver] on behalf of Corina.
  - 4. Corina allegedly had a prior criminal conviction for domestic violence.
- 5. On November 16, 2013, the Altamiranos paid respondent \$3,500 [\$3,400 cashier's check and \$100 cash] for the legal services to be rendered.
- 6. Between November 16, 2013 and July 2015, respondent failed to file the Forms I-601 and/or I-601A. Respondent failed to take any affirmative action on behalf of Corina's effort to become a legal permanent resident.
- 7. On July 22, 2015, the Altamiranos learned that respondent had not filed any paperwork with the consulate in Ciudad Juarez and that her petition might be deemed abandoned.
- 8. Between July 22, 2015 and the end of July 2015, the Altamiranos terminated respondent's services and requested the file and a refund. Respondent received this request shortly after it was made. As of the date of termination, respondent had performed no legal service of value to the Altamiranos. The Altamiranos promptly sought out successor counsel, who was able to preserve the application process.
- 9. On March 4, 2016, the Altamiranos filed a complaint against respondent with the State Bar of California.
- 10. On April 4, 2016, a State Bar investigator sent respondent a letter, requesting proof that the file had been returned to the Altamiranos, an accounting for the advanced fees and proof of a refund, if owed. Respondent received this letter shortly after it was mailed.

- 11. On May 18, 2016, respondent was notified by the State Bar that she needed to refund the unearned fees and return the file, which respondent acknowledged.
- 12. On July 29, 2016, respondent informed the State Bar that she had not yet sent the Altamiranos the client file, because she had been out of the office.
- 13. On August 18, 2016, respondent acknowledged to the State Bar investigator assigned to the case, that a refund was owed to the Altamiranos.
  - 14. In September 2016, respondent returned the file to the Altamiranos.
- 15. On November 18, 2016, respondent sent \$500 to the Altamiranos. However, respondent misaddressed the refund, which was never received by the Altamiranos. On this date respondent learned that she had misdirected the refund.
- 16. On December 1, 2016, respondent refunded \$3,000 to the Altamiranos. The Altamiranos received the \$3,000 shortly after it was sent.
- 17. On March 8, 2017, respondent refunded \$500 to the Altamiranos, which was received by the Altamiranos on March 13, 2017.
  - 18. As of March 13, 2017, respondent had refunded the unearned \$3,500 advance fee.

#### CONCLUSIONS OF LAW:

- 19. By failing to file for permanent residency on behalf of Corina Altamirano from November 2013 to July 2015, respondent intentionally, recklessly, or repeatedly failed to perform legal services with competence, in willful violation of Rules of Professional Conduct, rule 3-110(A).
- 20. By failing to return the client file to the Altamiranos after their request in July 2015 until September 2016, respondent wilfully failed to promptly return the clients' papers and property following the clients' request, in willful violation of Rules of Professional Conduct, rule 3-700(D)(1).
- 21. By failing to refund the \$3,500 unearned advance fee, which was requested in July 2015, until March 13, 2017, respondent wilfully failed to promptly refund unearned fees, in willful violation of Rules of Professional Conduct, rule 3-700(D)(2).

#### AGGRAVATING CIRCUMSTANCES.

Multiple Acts of Wrongdoing (Std. 1.5(b)): Respondent's misconduct spanned a number of years, included a failure to refund fees, failure to return the file and a failure to perform. These constitute multiple acts of wrongdoing under the Standard.

#### MITIGATING CIRCUMSTANCES.

Family Problems: During the time frame covered by this stipulation, respondent's mother became ill and eventually died. Respondent spent a great deal of time caring for her mother and traveling to and from her mother's domicile, which contributed to respondent's inattention to the Altamiranos and their request for the file and refund. (See *In the Matter of Ward* (Review Dept. 1992) 2

Cal. State Bar Ct. Rptr. 47; In the Matter of Mitchell (Review Dept. 1991) 1 Cal. State Bar Ct. Rptr. 332.)

**Pretrial Stipulation:** By entering into this stipulation, respondent has acknowledged misconduct and is entitled to mitigation for recognition of wrongdoing and saving the State Bar significant resources and time. (Silva-Vidor v. State Bar (1989) 49 Cal.3d 1071, 1079 [where mitigative credit was given for entering into a stipulation as to facts and culpability]; In the Matter of Spaith (Review Dept. 1996) 3 Cal. State Bar Ct. Rptr. 511, 521 [where the attorney's stipulation to facts and culpability was held to be a mitigating circumstance].)

No Prior Record of Discipline: Respondent had been in practice nearly ten years prior to the current misconduct. This is a mitigating factor. (Hawes v. State Bar (1990) 51 Cal.3d 587, 596.)

#### AUTHORITIES SUPPORTING DISCIPLINE.

The Standards for Attorney Sanctions for Professional Misconduct "set forth a means for determining the appropriate disciplinary sanction in a particular case and to ensure consistency across cases dealing with similar misconduct and surrounding circumstances." (Rules Proc. of State Bar, tit. IV, Stds. for Atty. Sanctions for Prof. Misconduct, std. 1.1. All further references to standards are to this source.) The standards help fulfill the primary purposes of discipline, which include: protection of the public, the courts and the legal profession; maintenance of the highest professional standards; and preservation of public confidence in the legal profession. (See std. 1.1; *In re Morse* (1995) 11 Cal.4th 184, 205.)

Although not binding, the standards are entitled to "great weight" and should be followed "whenever possible" in determining level of discipline. (In re Silverton (2005) 36 Cal.4th 81, 92, quoting In re Brown (1995) 12 Cal.4th 205, 220 and In re Young (1989) 49 Cal.3d 257, 267, fn. 11.) Adherence to the standards in the great majority of cases serves the valuable purpose of eliminating disparity and assuring consistency, that is, the imposition of similar attorney discipline for instances of similar attorney misconduct. (In re Naney (1990) 51 Cal.3d 186, 190.) If a recommendation is at the high end or low end of a standard, an explanation must be given as to how the recommendation was reached. (Std. 1.1.) "Any disciplinary recommendation that deviates from the Standards must include clear reasons for the departure." (Std. 1.1; Blair v. State Bar (1989) 49 Cal.3d 762, 776, fn. 5.)

In determining whether to impose a sanction greater or less than that specified in a given standard, in addition to the factors set forth in the specific standard, consideration is to be given to the primary purposes of discipline; the balancing of all aggravating and mitigating circumstances; the type of misconduct at issue; whether the client, public, legal system or profession was harmed; and the member's willingness and ability to conform to ethical responsibilities in the future. (Stds. 1.7(b) and (c).)

In this matter, respondent committed three acts of professional misconduct. Standard 1.7(a) requires that where a respondent "commits two or more acts of misconduct and the Standards specify different sanctions for each act, the most severe sanction must be imposed."

The most severe sanction applicable to respondent's misconduct is found in standard 2.7, which applies to respondent's violation of Rules of Professional Conduct, rule 3-110(A)

Standard 2.7 provides that:

(c) Suspension or reproval is the presumed sanction for performance, communication, or withdrawal violations, which are limited in scope or time. The degree of sanction depends on the extent of the misconduct and the degree of harm to the client or clients.

In the current matter, respondent failed to perform, failed to promptly return the file and failed to promptly refund unearned fees. However the harm is limited as the client sought out other counsel prior to losing her right to petition for a waiver. The respondent has the mitigating factors of no prior record of discipline, family problems and this pretrial stipulation. Although there are more mitigating factors than aggravating factors, there is an insufficient showing, which would warrant deviating from the Standard. Therefore a public reproval, which is within the range in Standard 2.7(c) is appropriate. A public reproval will adequately protect the public and the legal profession.

#### DISMISSALS.

The parties respectfully request the Court to dismiss the following alleged violations in the interest of justice:

Case No.	Count	Alleged Violation
16-O-11792	Four	Failure to Render Accounts of Client Funds

#### COSTS OF DISCIPLINARY PROCEEDINGS.

Respondent acknowledges that the Office of Chief Trial Counsel has informed respondent that as of March 21, 2017, the discipline costs in this matter are \$3,101. Respondent further acknowledges that should this stipulation be rejected or should relief from the stipulation be granted, the costs in this matter may increase due to the cost of further proceedings.

# EXCLUSION FROM MINIMUM CONTINUING LEGAL EDUCATION ("MCLE") CREDIT

Respondent may <u>not</u> receive MCLE credit for completion of: State Bar Ethics School, State Bar Client Trust Accounting School, and/or any other educational course(s) to be ordered as a condition of reproval or suspension. (Rules Proc. of State Bar, rule 3201.)

In the Matter of: SHARRON SK WILLIAMS GELOBTER	Case number(s): 16-O-11792-PEM	

## SIGNATURE OF THE PARTIES

By their signatures below, the parties and their counsel, as applicable	e, signify their agreement with each of the
recitations and each of the terms and conditions of this Stipulation Re	Facts, Conclusions of Law, and Disposition.
AD = AD	

March 27, 2013  Date		Sharron S. K. Williams Gelobter
Date	Respondent's Signature	Print Name
Date	Respondent's Counsel Signature	Print Name
April 10, 2017	Color A. Tenlerson	Robert A. Henderson
Date	Deputy Trial Counsel's Signature	Print Name

(Do not write above this line.)	
In the Matter of:	Case Number(s):
SHARRON S K WILLIAMS GELOBTER	16-O-11792-PEM
REPRO	OVAL ORDER
Finding that the stipulation protects the public and that attached to the reproval, IT IS ORDERED that the requprejudice, and:	the interests of Respondent will be served by any conditions uested dismissal of counts/charges, if any, is GRANTED without
The stipulated facts and disposition are	APPROVED AND THE REPROVAL IMPOSED.
The stipulated facts and disposition are A REPROVAL IMPOSED.	APPROVED AS MODIFIED as set forth below, and the
All court dates in the Hearing Departmen	it are vacated.
within 15 days after service of this order, is granted; or	nless: 1) a motion to withdraw or modify the stipulation, filed 2) this court modifies or further modifies the approved .) Otherwise the stipulation shall be effective 15 days after
Failure to comply with any conditions attached to the proceeding for willful breach of rule 1-110, Rules of	
Dar. 17, 2014	fr M
24.5	CÝ ARMENDÁRIZ
Juc	lge of the State Bar Court

#### **CERTIFICATE OF SERVICE**

[Rules Proc. of State Bar; Rule 5.27(B); Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of San Francisco, on April 17, 2017, I deposited a true copy of the following document(s):

STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING

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in a se	aled envelope for collection and mailing on that date as follows:
$\boxtimes$	by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at San Francisco, California, addressed as follows:
	SHARRON S K WILLIAMS GELOBTER JINA IMMIGRATION LEGAL SERVICES 322 HARBOUR WAY STE 21 RICHMOND, CA 94801
	by certified mail, No. , with return receipt requested, through the United States Postal Service at , California, addressed as follows:
	by overnight mail at , California, addressed as follows:
	By personal service by leaving the documents in a sealed envelope or package clearly labeled to identify the attorney being served with a receptionist or a person having charge of the attorney's office, addressed as follows:
$\boxtimes$	by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:
	Robert A. Henderson, Enforcement, San Francisco
	by certify that the foregoing is true and correct. Executed in San Francisco, California, on 17, 2017.

Case Administrator State Bar Court