



**PUBLIC MATTER  
FILED**  
APR 23 2019

**STATE BAR COURT CLERK'S OFFICE  
SAN FRANCISCO**

**STATE BAR COURT OF CALIFORNIA  
HEARING DEPARTMENT - SAN FRANCISCO**

In the Matter of	)	Case No. 16-O-15037-MC
	)	
MARIA J. AMAYA,	)	DECISION AND DISCIPLINE
	)	ORDER; ORDER SEALING CERTAIN
State Bar No. 220753.	)	DOCUMENTS
_____	)	

**Introduction**

In this disciplinary proceeding, Respondent Maria J. Amaya<sup>1</sup> was accepted for participation in the State Bar Court's Alternative Discipline Program (ADP). As Respondent has successfully completed ADP, the court orders, as set forth below, the imposition of discipline relating to a successful completion of the ADP.

**Pertinent Procedural History**

On February 9, 2017, the Office of Chief Trial Counsel of the State Bar of California (OCTC) filed a Notice of Disciplinary Charges (NDC) against Respondent in case No. 16-O-15037. Respondent sought to participate in ADP. This matter was referred to ADP on March 20. On April 12, Respondent contacted the State Bar's Lawyer Assistance Program (LAP) to assist her with her mental health issues.

On April 28, 2017, Respondent submitted a declaration to the court, establishing a nexus between her mental health issues and the charges in this matter. The parties entered into a

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<sup>1</sup> Respondent was admitted to the practice of law in this state on November 1, 2002, and has been an attorney of the State Bar of California since that time.

Stipulation Re Facts and Conclusions of Law (Stipulation) on May 30. The Stipulation set forth the factual findings, legal conclusions, and mitigating and aggravating circumstances. The stipulation was received by the court on May 30.

On August 2, 2017, Respondent signed a LAP Participation Plan. Following briefing by the parties, the court issued a Confidential Statement of Alternative Dispositions and Orders dated October 10, formally advising the parties of: (1) the discipline which would be recommended to the Supreme Court if Respondent successfully completed ADP, and (2) the discipline which would be recommended if Respondent failed to successfully complete or was terminated from ADP. After agreeing to those alternative dispositions, Respondent executed the Contract and Waiver for Participation in ADP, the court accepted Respondent for participation in ADP, and Respondent's participation began on October 10.

On April 15, 2019, the court issued an order finding that Respondent successfully completed ADP.

### **Findings of Fact and Conclusions of Law**

The parties' Stipulation, including the court's order approving the Stipulation, is attached and hereby incorporated by reference, as if fully set forth herein.

In this matter, Respondent stipulated that she willfully: (1) held herself out as entitled to practice law and actually practiced law while she was not entitled, in violation of section 6068(a)<sup>2</sup>; and (2) held herself out as entitled to practice law and actually practiced law while she was not entitled, in violation of section 6106.

In aggravation, Respondent engaged in multiple acts of misconduct. In mitigation, Respondent was experiencing extreme emotional, physical, and mental difficulties; cooperated with OCTC by entering into a pretrial stipulation; was experiencing family problems; had no

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<sup>2</sup> All statutory references are to the Business and Professions Code, unless otherwise indicated.

prior record of discipline; and demonstrated good character. In addition, it is appropriate to consider Respondent's successful completion of ADP as a further mitigating circumstance.

### **Discussion**

The purpose of State Bar disciplinary proceedings is not to punish the attorney, but rather to protect the public, the courts, and the legal profession; to maintain the highest possible professional standards for attorneys; and to preserve confidence in the legal profession.

(*Chadwick v. State Bar* (1989) 49 Cal.3d 103, 111; Std. 1.1.<sup>3</sup>)

In determining the appropriate alternative discipline recommendations, if Respondent successfully completed ADP and if she did not successfully complete ADP, the court considered the parties' briefs on discipline as well as certain standards and case law. In particular, the court considered Standards 2.10 and 2.11, as well as *In the Matter of Wells* (Review Dept. 2006) 4 Cal. State Bar Ct. Rptr. 896; *In the Matter of Johnston* (Review Dept. 1997) 3 Cal. State Bar Ct. Rptr. 585; and *In the Matter of Burke* (Review Dept. 2016) 5 Cal. State Bar Ct. Rptr. 448.

Because Respondent has now successfully completed ADP, this court orders the imposition of the lower level of discipline, set forth below, contained in the Confidential Statement of Alternative Dispositions and Orders.

### **Discipline Order**

It is ordered that Respondent Maria J. Amaya, attorney No. 220753, is hereby publicly reprovved. Pursuant to the provisions of rule 5.127(A) of the Rules of Procedure, the public reproval will be effective when this decision becomes final. Furthermore, pursuant to rule 9.19(a) of the California Rules of Court and rule 5.128 of the Rules of Procedure, the court finds that the interests of Respondent and the protection of the public will be served by the following specified conditions being attached to the public reproval imposed in this matter. Failure to

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<sup>3</sup> All references to Standards (std.) are to Rules Procedure of the State Bar of California (Rules of Procedure), title IV, Standards For Attorney Sanctions for Professional Misconduct.

comply with any conditions attached to this public reproof may constitute cause for a separate proceeding for willful breach of rule 8.1.1 of the Rules of Professional Conduct of the State Bar of California.<sup>4</sup> Respondent is hereby ordered to comply with the following conditions attached to her public reproof for a period of two years following the effective date of the public reproof:

1. During the reproof period, Respondent must comply with the provisions of the State Bar Act and Rules of Professional Conduct;
2. Within 10 days of any change, Respondent must report to the State Bar Attorney Regulation and Consumer Resources Office (ARCR) and to the Office of Probation of the State Bar of California (Office of Probation), all changes of information, including current office address and telephone number, or other address for State Bar purposes, as prescribed by section 6002.1 of the Business and Professions Code;
3. Within 30 days after the effective date of discipline, Respondent must contact the Office of Probation and schedule a meeting with Respondent's assigned probation case specialist to discuss these terms and conditions attached to her public reproof. Upon the direction of the Office of Probation, Respondent must meet with the probation case specialist either in person or by telephone. During the reproof period, Respondent must promptly meet with the probation case specialist as directed and upon request;
4. Respondent must submit written quarterly reports to the Office of Probation on each January 10, April 10, July 10 and October 10 of the period of reproof. Under penalty of perjury, Respondent must state whether Respondent has complied with the State Bar Act, the Rules of Professional Conduct, and all reproof conditions during the preceding calendar quarter. Respondent must also state whether there are any proceedings pending against her in the State Bar Court and if so, the case number and current status of that proceeding. If the first report would cover less than 30 days, that report must be submitted on the next quarter date, and cover the extended period.

In addition to all quarterly reports, a final report, containing the same information, is due no earlier than 20 days before the last day of the reproof period and no later than the last day of the reproof period;

5. Subject to the assertion of applicable privileges, Respondent must answer fully, promptly and truthfully, any inquiries of the Office of Probation which are directed to Respondent personally or in writing relating to whether Respondent is complying or has complied with the reproof conditions;

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<sup>4</sup> The California Rules of Professional Conduct were revised on November 1, 2018.

6. Within one year of the effective date of the discipline herein, Respondent must provide to the Office of Probation satisfactory proof of attendance at a session of the State Bar Ethics School, and passage of the test given at the end of that session;
7. Respondent must comply with all provisions and conditions of her Participation Agreement/Plan with LAP and must provide the Office of Probation with certification of completion of LAP. Respondent must immediately report any non-compliance with any provision(s) or condition(s) of her Participation Agreement/Plan to the Office of Probation. Respondent must provide an appropriate waiver authorizing LAP to provide the Office of Probation and this court with information regarding the terms and conditions of Respondent's participation in LAP and her compliance or non-compliance LAP requirements. Revocation of the written waiver for release of LAP information is a violation of this condition. Respondent will be relieved of this condition upon providing to the Office of Probation satisfactory certification of completion of LAP; and
8. The period during which these conditions are in effect will commence upon the date this decision imposing the public reproof becomes final.

#### **Multistate Professional Responsibility Examination**

It is also ordered that Respondent take and pass the Multistate Professional Responsibility Examination (MPRE) within one year after the effective date of the discipline herein and provide satisfactory proof of such passage to the State Bar's Office of Probation in Los Angeles within the same period.

#### **Costs**

The court orders that costs be awarded to the State Bar in accordance with Business and Professions Code section 6086.10 and are enforceable both as provided in Business and Professions Code section 6140.7 and as a money judgment.

#### **Direction Re Decision and Order Sealing Certain Documents**

The court directs a court specialist to file this Decision and Discipline Order; Order Sealing Certain Documents. Thereafter, pursuant to rule 5.388 of the Rules of Procedure, all other documents not previously filed in this matter are ordered sealed pursuant to rule 5.12 of the Rules of Procedure.

It is further ordered that protected and sealed material will only be disclosed to:  
(1) parties to the proceeding and counsel; (2) personnel of the Supreme Court, the State Bar Court, and independent audiotape transcribers; and (3) personnel of the Office of Probation when necessary for their duties. Protected material will be marked and maintained by all authorized individuals in a manner calculated to prevent improper disclosure. All persons to whom protected material is disclosed will be given a copy of this order sealing the documents by the person making the disclosure.

**IT IS SO ORDERED.**

Dated: April 23, 2019

  
MANJARI CHAWLA  
Judge of the State Bar Court

(Do not write above this line.)

<b>State Bar Court of California</b> Hearing Department San Francisco ALTERNATIVE DISCIPLINE PROGRAM		
<b>Counsel For The State Bar</b>  <b>Robert A. Henderson</b> Supervising Attorney 180 Howard St. San Francisco, CA 94105 (415) 538-2385  Bar # 173205	<b>Case Number (s)</b> 16-O-15037-LMA	(for Court's use)  <b>PUBLIC MATTER</b>  <b>FILED</b>   OCT 10 2017  STATE BAR COURT CLERK'S OFFICE SAN FRANCISCO
<b>Counsel For Respondent</b>  <b>Matthew E. Williamson</b> Law Offices of Matthew E. Williamson 700 Cass St., Suite 202 Monterey, CA 93940 (831) 657-0100  Bar # 184877	<b>Submitted to: Program Judge</b>  <b>STIPULATION RE FACTS AND CONCLUSIONS OF LAW</b>	
In the Matter Of: <b>MARIA J. AMAYA</b>  Bar # 220753  A Member of the State Bar of California (Respondent)	<input type="checkbox"/> PREVIOUS STIPULATION REJECTED	

**Note: All information required by this form and any additional information which cannot be provided in the space provided, must be set forth in an attachment to this stipulation under specific headings, e.g., "Facts," "Dismissals," "Conclusions of Law," "Supporting Authority," etc.**

**A. Parties' Acknowledgments:**

- (1) Respondent is a member of the State Bar of California, admitted **November 1, 2002.**
- (2) The parties agree to be bound by the factual stipulations contained herein even if conclusions of law or disposition (to be attached separately) are rejected or changed by the Supreme Court. However, except as otherwise provided in rule 804.5(c) of the Rules of Procedure, if Respondent is not accepted into the Alternative Discipline Program, this stipulation will be rejected and will not be binding on the Respondent or the State Bar.
- (3) All investigations or proceedings listed by case number in the caption of this stipulation are entirely resolved by this stipulation and are deemed consolidated, except for Probation Revocation proceedings. Dismissed charge(s)/count(s) are listed under "Dismissals." The stipulation consists of **8** pages, excluding the order.
- (4) A statement of acts or omissions acknowledged by Respondent as cause or causes for discipline is included under "Facts."
- (5) Conclusions of law, drawn from and specifically referring to the facts are also included under "Conclusions of Law."

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- (6) No more than 30 days prior to the filing of this stipulation, Respondent has been advised in writing of any pending investigation/proceeding not resolved by this stipulation, except for criminal investigations.
- (7) Payment of Disciplinary Costs—Respondent acknowledges the provisions of Bus. & Prof. Code §§6086.10 & 6140.7 and will pay timely any disciplinary costs imposed in this proceeding.

**B. Aggravating Circumstances [see Standards for Attorney Sanctions for Professional Misconduct, standards 1.2(h) & 1.5]. Facts supporting aggravating circumstances are required.**

- (1)  **Prior record of discipline**
  - (a)  State Bar Court case # of prior case
  - (b)  Date prior discipline effective
  - (c)  Rules of Professional Conduct/ State Bar Act violations:
  - (d)  Degree of prior discipline
  - (e)  If Respondent has two or more incidents of prior discipline, use space provided below:
  
- (2)  **Intentional/Bad Faith/Dishonesty:** Respondent's misconduct was dishonest, intentional, or surrounded by, or followed by bad faith.
- (3)  **Misrepresentation:** Respondent's misconduct was surrounded by, or followed by misrepresentation.
- (4)  **Concealment:** Respondent's misconduct was surrounded by, or followed by concealment.
- (5)  **Overreaching:** Respondent's misconduct was surrounded by, or followed by overreaching.
- (6)  **Uncharged Violations:** Respondent's conduct involves uncharged violations of the Business and Professions Code or the Rules of Professional Conduct.
- (7)  **Trust Violation:** Trust funds or property were involved and Respondent refused or was unable to account to the client or person who was the object of the misconduct for improper conduct toward said funds or property.
- (8)  **Harm:** Respondent's misconduct harmed significantly a client, the public, or the administration of justice.
- (9)  **Indifference:** Respondent demonstrated indifference toward rectification of or atonement for the consequences of his or her misconduct.
- (10)  **Candor/Lack of Cooperation:** Respondent displayed a lack of candor and cooperation to victims of his/her misconduct, or to the State Bar during disciplinary investigations or proceedings.
- (11)  **Multiple Acts:** Respondent's current misconduct evidences multiple acts of wrongdoing. See Attachment to Stipulation at p. 6.



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- (12)  **Pattern:** Respondent's current misconduct demonstrates a pattern of misconduct.
- (13)  **Restitution:** Respondent failed to make restitution.
- (14)  **Vulnerable Victim:** The victim(s) of Respondent's misconduct was/were highly vulnerable.
- (15)  **No aggravating circumstances** are involved.

**Additional aggravating circumstances:**

**C. Mitigating Circumstances [see standards 1.2(i) & 1.6]. Facts supporting mitigating circumstances are required.**

- (1)  **No Prior Discipline:** Respondent has no prior record of discipline over many years of practice coupled with present misconduct which is not likely to recur.
- (2)  **No Harm:** Respondent did not harm the client, the public, or the administration of justice.
- (3)  **Candor/Cooperation:** Respondent displayed spontaneous candor and cooperation with the victims of his/her misconduct or to the State Bar during disciplinary investigation and proceedings.
- (4)  **Remorse:** Respondent promptly took objective steps demonstrating spontaneous remorse and recognition of the wrongdoing, which steps were designed to timely atone for any consequences of his/her misconduct.
- (5)  **Restitution:** Respondent paid \$ \_\_\_\_\_ on \_\_\_\_\_ in restitution to \_\_\_\_\_ without the threat or force of disciplinary, civil or criminal proceedings.
- (6)  **Delay:** These disciplinary proceedings were excessively delayed. The delay is not attributable to Respondent and the delay prejudiced him/her.
- (7)  **Good Faith:** Respondent acted with a good faith belief that was honestly held and objectively reasonable.
- (8)  **Emotional/Physical Difficulties:** At the time of the stipulated act or acts of professional misconduct Respondent suffered extreme emotional difficulties or physical or mental disabilities which expert testimony would establish was directly responsible for the misconduct. The difficulties or disabilities were not the product of any illegal conduct by the member, such as illegal drug or substance abuse, and the difficulties or disabilities no longer pose a risk that Respondent will commit misconduct. **See Attachment to Stipulation at p. 6.**
- (9)  **Severe Financial Stress:** At the time of the misconduct, Respondent suffered from severe financial stress which resulted from circumstances not reasonably foreseeable or which were beyond his/her control and which were directly responsible for the misconduct.
- (10)  **Family Problems:** At the time of the misconduct, Respondent suffered extreme difficulties in his/her personal life which were other than emotional or physical in nature. **See Attachment to Stipulation at p. 6.**
- (11)  **Good Character:** Respondent's extraordinarily good character is attested to by a wide range of references in the legal and general communities who are aware of the full extent of his/her misconduct. **See Attachment to Stipulation at p. 6.**

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- (12)  **Rehabilitation:** Considerable time has passed since the acts of professional misconduct occurred followed by convincing proof of subsequent rehabilitation.
- (13)  **No mitigating circumstances** are involved.

**Additional mitigating circumstances:**

**Pretrial Stipulation - See Attachment to Stipulation at p. 6**

**No Prior Record of Discipline - See Attachment to Stipulation at p. 6.**

**Financial Problems - See Attachment to Stipulation at p. 7.**

**ATTACHMENT TO**  
**STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION**

IN THE MATTER OF:                   MARIA J. AMAYA  
CASE NUMBER:                       16-O-15037-LMA

**FACTS AND CONCLUSIONS OF LAW.**

Respondent admits that the following facts are true and that she is culpable of violations of the specified statutes and/or Rules of Professional Conduct.

**Case No. 16-O-15037 (State Bar Investigation)**

**FACTS:**

1. Respondent is a member of MCLE Compliance Group 1, with a compliance period of February 1, 2013 – January 31, 2016.
2. Respondent failed to file her compliance certificate, which was due on February 1, 2016.
3. On June 10, 2016, the State Bar notified respondent, by certified mail, that she was not in compliance and that if she failed to bring herself into compliance by June 30, 2016, she would be enrolled as an “inactive” member and “not eligible” to practice law effective July 1, 2016. The letter was received by respondent’s office on June 27, 2016.
4. Respondent did not bring herself into compliance by June 30, 2016.
5. On July 1, 2016, respondent was suspended from the practice of law for her non-compliance with her Continuing Legal Education requirement. Thereafter respondent made the following court appearances:
  - July 1, 2016 – *People v. Herrera* case no. 15SCR08709 – Sentencing;
  - July 5, 2016 – *People v. Flores* case no. 16NCR10995 – Substitution into matter and request for continuance;
  - July 5, 2016 – *People v. Valle Pacheco* case no. 16NCR10881 – Continuance;
  - July 5, 2016 – *People v. Hernandez* case no. 16NCR 10839 – Continuance for change of plea;
  - July 6, 2016 – *People v. Garcia* case no. 16NCR10894 – Trial setting;
  - July 20, 2016 – *People v. Smith* case no. 16NCR11163 – Hearing – witnesses called and cross by respondent.
6. Between July 18, 2016 and July 21, 2016, respondent fulfilled her CLE requirement.
7. On July 25, 2016, respondent was reinstated to “active” status.
8. Respondent’s log of MCLE indicates that she started taking the CLE on July 18, 2016, which would suggest that she knew of the requirement and that she had been suspended.

## CONCLUSIONS OF LAW:

9. By appearing in court on six occasions when respondent should have known she was not entitled to practice law, respondent held herself out as entitled to practice law and actually practiced law, in violation of Business and Professions Code, section 6126 and thereby wilfully violated Business and Professions Code, section 6068(a).

10. By appearing in court on six occasions when she should have known she was suspended from the practice of law, respondent held herself out as entitled to practice law and actually practiced law, and respondent thereby committed an act involving moral turpitude, dishonesty or corruption in willful violation of Business and Professions Code, section 6106.

## AGGRAVATING CIRCUMSTANCES.

**Multiple Acts of Wrongdoing (Std. 1.5(b)):** Respondent's appearances in court, while suspended from the practice of law, constitute multiple acts of misconduct.

## MITIGATING CIRCUMSTANCES.

**Extreme Emotional, Physical, or Mental Difficulties and Disabilities (Std. 1.6(d)):** Respondent suffered from anxiety and stress, which stemmed at least partially from an auto accident in May 2016. Respondent has sought medical assistance for the stress. Respondent currently has her emotional, physical and mental difficulties under control.

**Extraordinary Good Character (Std. 1.6(f)):** Respondent has submitted five letters of support from a variety of individuals that know of the at issue misconduct. The references are from: (1) a mental health professional; (2) a probation officer; and (3) three attorneys. The reference letters detail the contributions made by respondent in the community and attest to her high character.

**No Prior Discipline:** Respondent has been in practice since November 1, 2002, with no prior discipline. Although her misconduct is serious, her fourteen-and-a-half years of practice prior to committing the misconduct in these matters is a mitigating factor. (See *In the Matter of Riordan* (Review Dept. 2007) 5 Cal. State Bar Ct. Rptr. 41 [where mitigative credit given for discipline-free practice despite serious misconduct].)

**Pretrial Stipulation:** By entering into this stipulation, respondent has acknowledged misconduct and is entitled to mitigation for recognition of wrongdoing and saving the State Bar significant resources and time. (*Silva-Vidor v. State Bar* (1989) 49 Cal.3d 1071, 1079 [where mitigative credit was given for entering into a stipulation as to facts and culpability]; *In the Matter of Spaith* (Review Dept. 1996) 3 Cal. State Bar Ct. Rptr. 511, 521 [where the attorney's stipulation to facts and culpability was held to be a mitigating circumstance].)

**Family Problems:** Respondent has experienced family issues, partly from her being a caretaker to her mother and partly marital issues. (*In the Matter of Kaplan* (Review Dept. 1993) 2 Cal. State Bar Ct. Rptr. 509, 519 [limited mitigation for marital difficulties in absence of medical diagnosis]; See also *In the Matter of Spaith* (Review Dept. 1996) 3 Cal. State Bar Ct. Rptr. 511, 519; *In re Naney* (1990) 51 Cal.3d 186, 197.)

**Financial Problems:** Respondent was the subject of an IRS audit, which led to a large tax liability. The IRS found that the tax liability was attributable to the CPA, rather than to respondent's conduct. For the past three years respondent has been under significant financial duress as a result of the tax liability. This duress has contributed to her stress, depression and anxiety. (*Grim v. State Bar* (1991) 53 Cal.3d 21, 31.)

**COSTS OF DISCIPLINARY PROCEEDINGS.**

Respondent acknowledges that the Office of Chief Trial Counsel has informed respondent that as of May 2, 2017, the discipline costs in this matter are \$4,000. Respondent further acknowledges that should this stipulation be rejected or should relief from the stipulation be granted, the costs in this matter may increase due to the cost of further proceedings.

**EXCLUSION FROM MINIMUM CONTINUING LEGAL EDUCATION ("MCLE") CREDIT**

Respondent may not receive MCLE credit for completion of State Bar Ethics School, State Bar Client Trust Accounting School, and/or any other educational course(s) to be ordered as a condition of reproof or suspension. (Rules Proc. of State Bar, rule 3201.)

(Do not write above this line.)

In the Matter of: MARIA J. AMAYA	Case number(s): 16-O-15037-LMA
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**SIGNATURE OF THE PARTIES**

By their signatures below, the parties and their counsel, as applicable, signify their agreement with each of the recitations and each of the terms and conditions of this Stipulation Re Facts and Conclusions of Law.

Respondent enters into this stipulation as a condition of his/her participation in the Program. Respondent understands that he/she must abide by all terms and conditions of Respondent's Program Contract.

If the Respondent is not accepted into the Program or does not sign the Program contract, this Stipulation will be rejected and will not be binding on Respondent or the State Bar.

If the Respondent is accepted into the Program, this Stipulation will be filed and will become public. Upon Respondent's successful completion of or termination from the Program, the specified level of discipline for successful completion of or termination from the Program as set forth in the State Bar Court's Confidential Statement of Alternative Dispositions and Orders shall be imposed or recommended to the Supreme Court.

<u>5-7-17</u> Date	<u><i>Maria J. Amaya</i></u> Respondent's Signature	<u>Maria J. Amaya</u> Print Name
<u>5/7/17</u> Date	<u><i>Matthew E. Williamson</i></u> Respondent's Counsel Signature	<u>Matthew E. Williamson</u> Print Name
<u>5/30/2017</u> Date	<u><i>Robert A. Henderson</i></u> Deputy Trial Counsel's Signature	<u>Robert A. Henderson</u> Print Name

(Do not write above this line.)

In the Matter of: MARIA J. AMAYA	Case Number(s): 16-O-15037-LMA
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**ALTERNATIVE DISCIPLINE PROGRAM ORDER**

Finding the stipulation to be fair to the parties and that it adequately protects the public, IT IS ORDERED that the requested dismissal of counts/charges, if any, is GRANTED without prejudice, and:

- The stipulation as to facts and conclusions of law is APPROVED.
- The stipulation as to facts and conclusions of law is APPROVED AS MODIFIED as set forth below.
- All court dates in the Hearing Department are vacated.

The parties are bound by the stipulation as approved unless: 1) a motion to withdraw or modify the stipulation, filed within 15 days after service of this order, is granted; or 2) this court modifies or further modifies the approved stipulation; or 3) Respondent is not accepted for participation in the Program or does not sign the Program Contract. (See rule 5.58(E) & (F) and 5.382(D), Rules of Procedure.)

Oct 10, 2017  
Date

  
LUCY ARMENDARIZ  
Judge of the State Bar Court

**CERTIFICATE OF SERVICE**

[Rules Proc. of State Bar; Rule 5.27(B); Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of San Francisco, on October 10, 2017, I deposited a true copy of the following document(s):

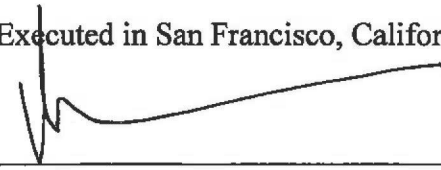
**STIPULATION RE FACTS AND CONCLUSIONS OF LAW**

By personally delivering a copy of said document(s) to:

ROBERT A. HENDERSON  
180 HOWARD STREET, 6<sup>TH</sup> FLOOR  
SAN FRANCISCO, CA 94105

MATTHEW E. WILLIAMSON  
180 HOWARD STREET, 6<sup>TH</sup> FLOOR  
SAN FRANCISCO, CA 94105

I hereby certify that the foregoing is true and correct. Executed in San Francisco, California, on October 10, 2017.



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Vincent Au  
Case Administrator  
State Bar Court



**CERTIFICATE OF SERVICE**

[Rules Proc. of State Bar; Rule 5.27(B); Code Civ. Proc., § 1013a(4)]

I am a Court Specialist of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of San Francisco, on April 23, 2019, I deposited a true copy of the following document(s):

DECISION AND DISCIPLINE ORDER; ORDER SEALING CERTAIN DOCUMENTS

STIPULATION RE FACTS AND CONCLUSIONS OF LAW

in a sealed envelope for collection and mailing on that date as follows:

- by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at San Francisco, California, addressed as follows:

MARIA J. AMAYA  
AMAYA & ASSOCIATES ATTORNEYS AT LAW  
341 FLUME ST STE C  
CHICO, CA 95928 - 5428

- by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

ROBERT A. HENDERSON, Enforcement, San Francisco

I hereby certify that the foregoing is true and correct. Executed in San Francisco, California, on April 23, 2019.



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Bernadette Molina  
Court Specialist  
State Bar Court