

1 THE STATE BAR OF CALIFORNIA
2 OFFICE OF PROBATION
3 TERRIE GOLDADE, No. 155348
4 SUPERVISING ATTORNEY
5 845 South Figueroa Street
6 Los Angeles, California 90017-2515
7 Telephone: (213) 765-1000

ORIGINAL

FILED

SEP 16 2016

PUBLIC MATTER

STATE BAR COURT CLERK'S OFFICE
SAN FRANCISCO

STATE BAR COURT

HEARING DEPARTMENT - SAN FRANCISCO

10 In the Matter of:) Case No. 16-PM- 16372
11)
12 CHARLES LEROY DUPREE IV,) MOTION TO REVOKE PROBATION;
13 No. 156840,) MEMORANDUM OF POINTS AND
14 A Member of the State Bar) AUTHORITIES; DECLARATION OF
15) MICHAEL KANTERAKIS; EXHIBITS 1
16) THROUGH 3; PROBATION REVOCATION
17) RESPONSE FORM [Rule 5.310 et seq., Rules
18) of Procedure of the State Bar]

16 **TO: The State Bar Court and Charles Leroy Dupree IV, Respondent:**

17 **PLEASE TAKE NOTICE THAT** the State Bar of California, Office of Probation,
18 hereby moves pursuant to Rules of Procedure of the State Bar of California, rules 5.310, et seq.,
19 to revoke the probation imposed upon Charles Leroy Dupree IV ("Respondent") in prior
20 disciplinary case no. 14-C-02679 and to impose upon Respondent the entire period of suspension
21 of one year previously stayed by order no. S226728 of the Supreme Court filed on September 30,
22 2015. The State Bar requests that Respondent be ordered to comply with rule 9.20, California
23 Rules of Court, and that Respondent be placed on involuntary inactive enrollment pursuant to
24 Business and Professions Code section 6007(d).

25 This motion is based upon the factual allegations that Respondent has violated the terms
26 of probation imposed on Respondent by the aforementioned order as follows:

27 1. As a condition of probation, Respondent was ordered to comply with the State
28 Bar Act and the Rules of Professional Conduct and to report such compliance in writing under

1 penalty of perjury to the Office of Probation each January 10, April 10, July 10, and October 10
2 (“quarterly reports”). Respondent has not complied in that he has not filed his first 3 quarterly
3 reports, due January 10, April 10, and July 10, 2016.

4 2. As a condition of probation, Respondent was ordered to comply with all
5 conditions of probation imposed in his underlying criminal matter and so declare under penalty
6 of perjury in conjunction with his quarterly reports (“UCM reports”). Respondent has not
7 complied in that he has not filed his first 3 UCM reports, due January 10, April 10, and July 10,
8 2016.

9 This motion is also based on the attached Memorandum of Points and Authorities, the
10 attached Declaration of Michael Kanterakis, the attached exhibits, and all documents on file with
11 the court in this matter.

12 In accordance with rules 5.314(A) and 5.314(E) of the Rules of Procedure of the State
13 Bar of California, the Office of Probation requests that a hearing be held unless the Court, based
14 upon this motion and any response, determines that imposition of the discipline as requested
15 above is warranted.

16 **NOTICE – FAILURE TO RESPOND**

17 YOUR FAILURE TO FILE A RESPONSE WITHIN TWENTY (20) DAYS OF
18 SERVICE OF THIS MOTION WILL CONSTITUTE AN ADMISSION OF THE
19 FACTUAL ALLEGATIONS CONTAINED IN THIS MOTION AND MAY
20 RESULT IN THE IMPOSITION OF ACTUAL SUSPENSION PURSUANT TO
21 THE UNDERLYING DISCIPLINARY ORDER. ALSO, FAILURE TO
22 REQUEST A HEARING WILL CONSTITUTE A WAIVER OF YOUR RIGHT
23 TO A HEARING. SEE RULE 5.314(B) OF THE RULES OF PROCEDURE OF
24 THE STATE BAR.

25 **NOTICE – INACTIVE ENROLLMENT**

26 YOU ARE HEREBY NOTIFIED THAT, PURSUANT TO BUSINESS AND
27 PROFESSIONS CODE SECTION 6007(d), IF THE STATE BAR COURT
28 RECOMMENDS ACTUAL SUSPENSION ON ACCOUNT OF A PROBATION
VIOLATION OR OTHER DISCIPLINARY MATTER, YOU MAY BE
INVOLUNTARILY ENROLLED AS AN INACTIVE MEMBER OF THE
STATE BAR. YOUR INACTIVE ENROLLMENT WOULD BE IN ADDITION
TO ANY DISCIPLINE RECOMMENDED BY THE STATE BAR COURT.
SEE RULE 5.315, RULES OF PROCEDURE OF THE STATE BAR.

NOTICE – COST ASSESSMENT

IN THE EVENT THESE PROCEDURES RESULT IN PUBLIC DISCIPLINE,
YOU MAY BE SUBJECT TO THE PAYMENT OF COSTS INCURRED BY

1 THE STATE BAR IN THE INVESTIGATION, HEARING AND REVIEW OF
2 THIS MATTER PURSUANT TO BUSINESS AND PROFESSIONS CODE
3 SECTION 6086.10. SEE RULE 5.129, ET SEQ., RULES OF PROCEDURE OF
4 THE STATE BAR.

5 Respectfully submitted,

6 THE STATE BAR OF CALIFORNIA
7 OFFICE OF PROBATION

8 DATED: September 15, 2016

9 By: 

10 Terrie Goldade
11 Supervising Attorney
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1 MEMORANDUM OF POINTS AND AUTHORITIES

2 I. RESPONDENT HAS NOT COMPLIED WITH THE TERMS OF PROBATION, AND
3 PROBATION SHOULD BE REVOKED.

4 By order filed September 30, 2015, the Supreme Court imposed discipline on Respondent
5 in case no. S226728. The Supreme Court suspended Respondent for one year but stayed the
6 execution of the suspension on the condition that Respondent comply with all terms of probation.

7 As terms of probation, Respondent was ordered as follows:

8 1. comply with the State Bar Act and the Rules of Professional Conduct and report such
9 compliance in writing under penalty of perjury to the Office of Probation each January
10 10, April 10, July 10, and October 10 (“quarterly reports”). Respondent has not complied
11 in that he has not filed his first 3 quarterly reports, due January 10, April 10, and July 10,
12 2016.

13 2. comply with all conditions of probation imposed in his underlying criminal matter and
14 so declare under penalty of perjury in conjunction with his quarterly reports (“UCM
15 reports”). Respondent has not complied in that he has not filed his first 3 UCM reports,
16 due January 10, April 10, and July 10, 2016.

17 Consequently, the State Bar Court should recommend revocation of Respondent’s probation.

18 Attached hereto as Exhibit 1 is a certified copy of Respondent’s registration card and
19 Respondent’s membership records address history with the State Bar of California. Exhibit 1
20 will be offered as evidence based upon the certification of Membership Records and
21 Certification to show that Respondent was properly served in this proceeding.

22 A. Respondent Was Served With The Supreme Court Order.

23 It is presumed that Respondent was served with the disciplinary order of the Supreme
24 Court imposing a period of probation. The clerks of the reviewing courts have a duty to transmit
25 a copy of all decisions of those courts to the parties. (California Rules of Court, rule 8.532(a).)
26 Pursuant to Evidence Code section 664, there is a rebuttable presumption that such official duties
27 have been regularly performed. Therefore, absent any evidence to the contrary, it is presumed
28 that the Supreme Court clerk has complied with the duty to transmit to Respondent a copy of the

1 order placing Respondent on probation. (*In re Linda D.* (1970) 3 Cal.App. 3d 567; *People v.*
2 *Smith* (1965) 234 Cal.App.2d 407; *Fischer v. Lukens* (1919) 41 Cal.App. 358.)

3 B. Respondent's Violation of Probation Was Willful

4 Violation of a condition of probation must be willful to warrant discipline. (*In the Matter*
5 *of Potack* (1991 Review Dept.) 1 Cal. State Bar Ct. Rptr. 525.) A willful failure is demonstrated
6 by a general purpose or willingness to permit the omission and can be proven by direct or
7 circumstantial evidence. (*Durbin v. State Bar* (1979) 23 Cal.3d 461; *Zitny v. State Bar* (1966) 64
8 Cal.2d 787.) It does not require bad faith.

9 The burden of proof in a probation revocation proceeding is the preponderance of the
10 evidence. (Rule 5.311, Rules of Procedure.) For purposes of determining culpability, it is
11 misguided to distinguish between "substantial" and "insubstantial" or "technical" violations of
12 probation conditions. (*In the Matter of Potack*, supra.) Respondent's failure to comply with
13 probation demonstrates a lack of concern about professional responsibilities, and therefore,
14 probation should be revoked.

15 II. RESPONDENT'S VIOLATION OF PROBATION WARRANTS THE IMPOSITION
16 OF THE FULL STAYED SUSPENSION.

17 In a probation revocation proceeding, the hearing judge may recommend actual
18 suspension up to the entire period of stayed suspension. (Rule 5.312, Rules of Procedure.) In
19 this case, the Supreme Court imposed a stayed suspension of one year. Based on the violation of
20 probation, the hearing judge should now recommend that Respondent be actually suspended for
21 the full period of stayed suspension.

22 III. UPON FINDING OF VIOLATION OF PROBATION, THE COURT MAY ORDER A
23 RESPONDENT PLACED ON INACTIVE STATUS.

24 In a probation revocation proceeding, the hearing judge may order the involuntary
25 inactive enrollment of a Respondent upon a finding that each of the elements of Business and
26 Professions Code section 6007(d) have occurred. (Rule 5.315, Rules of Procedure.) Those
27 elements have occurred where the Respondent is under an order of stayed suspension with a
28 period of probation and has violated that probation and where the hearing judge recommends a

1 period of actual suspension. (Business and Professions Code, section 6007(d)(1).) See *In the*
2 *Matter of Tiernan* (Review Dept. 1996) 3 Cal. State Bar Ct. Rptr. 523, 531-532. The order
3 enrolling a respondent inactive shall be effective upon service unless otherwise ordered by the
4 judge. (Rule 5.315, Rules of Procedure.)

5 CONCLUSION

6 The Supreme Court has stayed Respondent's suspension and placed him on probation,
7 and Respondent has violated that probation. The State Bar requests that the hearing judge
8 recommend revocation of Respondent's probation and the imposition of one year of actual
9 suspension. Furthermore, the hearing judge should order Respondent placed on involuntary
10 inactive enrollment until the suspension is effective and order Respondent to comply with Rule
11 9.20, California Rules of Court.

12 Respectfully submitted,

13 THE STATE BAR OF CALIFORNIA
14 OFFICE OF PROBATION

15
16 DATED: September 15, 2016

17 By: 
18 Terrie Goldade
19 Supervising Attorney
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1 received by any Office of Probation employee concerning a Respondent and place such
2 memoranda in the file.

3 7. A review of the probation file on Respondent reflects that a disciplinary order
4 imposing probation is contained therein. A certified copy of said order, filed on September 30,
5 2015, is attached hereto and incorporated by reference as Exhibit 2. A certified copy of the
6 stipulation filed March 30, 2015 is also included within Exhibit 2 for the Court's convenience.
7 Pursuant to said order, the terms and conditions of probation imposed on Respondent include the
8 following:

9 a. comply with the State Bar Act and the Rules of Professional Conduct and
10 report such compliance in writing under penalty of perjury to the Office of Probation
11 each January 10, April 10, July 10, and October 10 ("quarterly reports"). Respondent has
12 not complied in that he has not filed his first 3 quarterly reports, due January 10, April 10,
13 and July 10, 2016.

14 b. comply with all conditions of probation imposed in his underlying criminal
15 matter and so declare under penalty of perjury in conjunction with his quarterly reports
16 ("UCM reports"). Respondent has not complied in that he has not filed his first 3 UCM
17 reports, due January 10, April 10, and July 10, 2016.

18 8. As Custodian of Records, I have reviewed the entire contents of the probation file
19 on Respondent which reflects that the relevant portions of the disciplinary orders imposing
20 probation and a letter confirming the terms and conditions of probation, including suspension,
21 were provided to the Respondent on October 16, 2015.

22 9. The following documents, attached hereto and incorporated by reference
23 collectively as Exhibit 3, are contained in the Office of Probation file maintained on respondent:

24 a. Reminder letter mailed to Respondent on October 16, 2015 outlining the terms
25 and conditions of his probation.

26 b. November 24, 2015 e-mail to Respondent attaching copy of Required
27 Probation Meeting Record for meeting on November 24, 2015.

28 c. Letter mailed to Respondent January 15, 2016.

1 d. Letter mailed to Respondent August 15, 2016.

2 10. A complete review of the Respondent's file reflects that none of the letters
3 referred to above were returned to the State Bar of California, Office of Probation by the United
4 States Postal Service as undeliverable, or for any other reason.

5 11. On Friday, November 20, 2015, Respondent left me a voice mail message
6 regarding his required meeting.

7 12. On November 23, 2015, I telephoned Respondent and we scheduled the required
8 meeting for the next day, November 24, 2015 at 2 p.m. I told Respondent to call me with the
9 October 16, 2015 letter in hand.

10 13. On November 24, 2015, Respondent telephoned me and we conducted the
11 required meeting. He verified that he had received the October 16, 2015 letter. He told me that
12 his underlying criminal probation matter was terminated in 2014, and I informed him to provide
13 me with a certified copy of the court's docket sheet in his matter so that such termination could
14 be confirmed. To date, Respondent has never provided any documentation whether his
15 underlying criminal matter has been terminated.

16 14. Although not due until October 30, 2016, Respondent has not provided proof that
17 he has completed Ethics School

18 15. Although not due until October 30, 2016, Respondent has not provided proof that
19 he has passed the MPRE.

20 I declare under penalty of perjury under the laws of the State of California that the
21 foregoing is true and correct.

22 Executed this 15th day of September, 2016 at Los Angeles, California.

23
24 
25 Michael Kanterakis
26 Declarant

27
28

DECLARATION OF SERVICE BY CERTIFIED AND REGULAR MAIL

CASE NUMBER(s): NEW PM

I, the undersigned, over the age of eighteen (18) years, whose business address and place of employment is the State Bar of California, 845 S. Figueroa Street, Los Angeles, California 90017-2515, declare that I am not a party to the within action; that I am readily familiar with the State Bar of California's practice for collection and processing of correspondence for mailing with the United States Postal Service; that in the ordinary course of the State Bar of California's practice, correspondence collected and processed by the State Bar of California would be deposited with the United States Postal Service that same day; that I am aware that on motion of party served, service is presumed invalid if postal cancellation date or postage meter date on the envelope or package is more than one day after date of deposit for mailing contained in the affidavit; and that in accordance with the practice of the State Bar of California for collection and processing of mail, I deposited or placed for collection and mailing in the City and County of Los Angeles, on the date shown below, a true copy of the within

MOTION TO REVOKE PROBATION; MEMORANDUM OF POINTS AND AUTHORITIES; DECLARATION OF MICHAEL KANTERAKIS EXHIBITS 1 THROUGH 3; PROBATION REVOCATION RESPONSE FORM { Rule 5.310 et seq., Rules of Procedure of the State Bar }

in a sealed envelope placed for collection and mailing as Certified mail #7160 3901 9845 4871 9543 and regular mail mailed at Los Angeles, on the date shown below, addressed to:

Charles L. Dupree IV
638 Craig Ave
Sonoma, CA 95476

Courtesy copy by regular mail to:
Charles L. Dupree IV
638 Craig Ave
Sonoma, CA 95476

in an inter-office mail facility regularly maintained by the State Bar of California addressed to:

N/A

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed at Los Angeles, California, on the date shown below.

DATED: September 15, 2016

SIGNED: _____


Mia Hibler
Declarant

Counsel for Respondent	(for Court use)
In the Matter of	Case no(s).
Bar #	PROBATION REVOCATION RESPONSE (Rule 5.314, Rules of Procedure)
A member of the State Bar of California ("Respondent")	

As required by rule 5.314(B), Rules of Procedure, Respondent attaches one or more declarations to this form which set forth the facts upon which my opposition to the motion to revoke probation is based.

(1) Respondent requests a hearing in this matter and intends to participate.

OR

(2) Respondent requests that this proceeding be resolved on the pleadings without any hearing.

If you checked box (1), check one of the following:

(a) Respondent requests the opportunity to cross-examine the person(s) who executed declaration(s) in support of the motion to revoke my probation.

(b) Respondent does not request the opportunity to cross-examine the person(s) who executed declaration(s) in support of the motion to revoke my probation.

Date: _____

Signature



THE STATE BAR OF CALIFORNIA

MEMBER RECORDS & COMPLIANCE

180 HOWARD STREET, SAN FRANCISCO, CALIFORNIA 94105-1617

TELEPHONE: 888-800-3400

August 18, 2016

TO WHOM IT MAY CONCERN:

I, Kathan Lambert, Custodian of Membership Records of the State Bar of California, hereby certify that attached is a full, true and correct copy of the registration card on file in the Membership Records Department of the State Bar of California for CHARLES LEROY DUPREE, IV, #156840.

THE STATE BAR OF CALIFORNIA

A handwritten signature in blue ink, appearing to read "Kathan Lambert".

Kathan Lambert
Custodian of Membership Records

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THE STATE BAR OF CALIFORNIA
REGISTRATION CARD

INFORMATION PROVIDED ON THIS CARD BECOMES PUBLIC RECORD

MEMBER'S FULL NAME
LAST FIRST MIDDLE

DUPREE IV CHARLES LEROY

(PLEASE PRINT OR TYPE)

OFFICE ADDRESS, OR, IF NONE, OTHER ADDRESS FOR STATE BAR PURPOSES:

FIRM NAME MAISON HIRAI #703

ADDRESS HIRAI 6-37-6 EDOGAWA-KU

CITY TOKYO 132 STATE ZIP +

FOREIGN COUNTRY JAPAN

TELEPHONE (03)3 613-9217

BIRTH DATE MONTH 11 DAY 26 YEAR 65 PLACE OF BIRTH SAN ANTONIA TEXAS

State Bar Use Only

156840
NUMBER

12 27 91
DATE ADMITTED

MAIL RESTRICTION

FEES \$

W.C. \$ 20.

S.C. \$

TOTAL \$

State Bar Use Only	
SCHOOL CODE	
1	839
SCHOOL CODE	

UNDERGRADUATE DEGREE FROM: UNIVERSITY OF MICHIGAN, CITY ANN ARBOR STATE MI

LAW DEGREE FROM: UNIVERSITY OF CALIFORNIA, LOS ANGELES CITY LOS ANGELES STATE CA

ADDITIONAL EDUCATION:

DATES AND PLACES OF PRIOR ADMISSION TO PRACTICE

10/88

DATE DEC 27, 1991 SIGNED *[Signature]*



THE STATE BAR OF CALIFORNIA

MEMBER RECORDS & COMPLIANCE

180 HOWARD STREET, SAN FRANCISCO, CALIFORNIA 94105-1617

TELEPHONE: 888-800-3400

August 18, 2016

TO WHOM IT MAY CONCERN:

I, Kathan Lambert, Custodian of Membership Records of the State Bar of California, hereby certify that attached is a full, true and correct copy of the address history on file in the Membership Records Department of the State Bar of California for CHARLES LEROY DUPREE, IV, #156840 from December 27, 1991 to the date of this certificate.

THE STATE BAR OF CALIFORNIA

Kathan Lambert
Custodian of Membership Records

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Member #: 156840

Date of Admission: 12/27/1991 Status: Not Eligibl Effective: 7/01/2011

Name: Charles L. Dupree IV

Address:

Eff: 3/12/2013

638 Craig Ave
Sonoma CA 95476

Eff: 2/14/2011

655 S Flower St #254
Los Angeles CA 90017

ECommerce Law Group, PC
72nd FL
633 W 5th St #7210
Los Angeles CA 90071

Eff:10/10/2007

E-Times Corp

Eff:11/13/2003

601 S Figueroa St 50FL
Los Angeles CA 90017

Pacific Rim Law Ofcs

Eff:11/11/2003

1925 Century Park E #500
Los Angeles CA 90067

Eff: 4/26/2000

Pacific Rim Law Ofcs
1925 Century Park E #500
Los Angeles CA 90067

Eff:10/12/1999

Pacific Rim Law Ofcs
1925 Century Park E
Los Angeles CA 90067

MM595R2

MEMBER ADDRESS CHANGE HISTORY

Print Date: 8/18/16

Member #: 156840

Date of Admission: 12/27/1991 Status: Not Eligibl Effective: 7/01/2011

Name: Charles L. Dupree IV

Address:

Eff: 8/07/1997

421 S Orange Grove Ave
Los Angeles CA 90036

Eff: 3/05/1997

Tachikawa Yasui et al LLP
11601 Wilshire Blvd #2410
Los Angeles CA 90025

Eff:11/21/1996

2045 Burton St #53
San Diego CA 92111

Eff: 4/15/1996

Motoyoyogi-cho, 20-1 #303
Shibuya-ku Tky 107
JAPAN

Eff: 4/18/1994

Manor Nogizaka #201
12-30 Akasaka, 8-Chome
Minato-Ku Tokyo107
JAPAN

Eff:11/02/1992

Takaido Hi-Home #316
Takaido-Higashi 3-8-5
Suginami-Ku Tky168
Japan

Eff:12/27/1991

Maison Hirai #703
Hirai 6-37-6 Edogawa-Ku
Tokyo 132 Japan
Japan

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State Bar Court No. 14-C-02679

S226728

SUPREME COURT
FILED

IN THE SUPREME COURT OF CALIFORNIA SEP 30 2015

En Banc

Frank A. McGuire Clerk

Deputy

In re CHARLES LEROY DUPREE IV on Discipline.

The court orders that Charles Leroy Dupree IV, State Bar Number 156840, is suspended from the practice of law in California for one year, execution of that period of suspension is stayed, and he is placed on probation for two years subject to the following conditions:

1. Charles Leroy Dupree IV is suspended from the practice of law for 60 days (with credit given for the period of interim suspension which commenced on October 7, 2014);

2. Charles Leroy Dupree IV must comply with the other conditions of probation recommended by the Hearing Department of the State Bar Court in its Order Approving Stipulation filed on March 30, 2015; and

3. At the expiration of the period of probation, if Charles Leroy Dupree IV has complied with all conditions of probation, the period of stayed suspension will be satisfied and that suspension will be terminated.

Charles Leroy Dupree IV must also take and pass the Multistate Professional Responsibility Examination within one year after the effective date of this order and provide satisfactory proof of such passage to the State Bar's Office of Probation in Los Angeles within the same period. Failure to do so may result in suspension. (Cal. Rules of Court, rule 9.10(b).)

Costs are awarded to the State Bar in accordance with Business and Professions Code section 6086.10 and are enforceable both as provided in Business and Professions Code section 6140.7 and as a money judgment.

Werdegar, Corrigan and Cuéllar, JJ., are of the opinion review should be ordered on the court's own motion.

CANTIL-SAKAUYE

Chief Justice

I, Frank A. McGuire, Clerk of the Supreme Court of the State of California, do hereby certify that the preceding is a true copy of an order of this Court as shown by the records of my office.

Witness my hand and the seal of the Court this

____ day of SEP 30 2015 20____

Clerk

By: _____

Deputy

kwiktag * 197 147 361



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(Do not write above this line.)

State Bar Court of California Hearing Department San Francisco ACTUAL SUSPENSION		
<p>Counsel For The State Bar</p> <p>Catherine Taylor Deputy Trial Counsel 180 Howard Street San Francisco, CA 94105 (415) 538-2637</p> <p>Bar # 210540</p>	<p>Case Number(s): 14-C-02679-LMA</p>	<p>For Court use only</p> <p style="text-align: center;">PUBLIC MATTER</p> <p style="text-align: center;">FILED</p> <p style="text-align: center;">MAR 30 2015</p> <p style="text-align: center;">STATE BAR COURT CLERK'S OFFICE SAN FRANCISCO</p>
<p>In Pro Per Respondent</p> <p>Charles Leroy Dupree IV 638 Craig Avenue Sonoma, CA 95476 (707) 343-7025</p> <p>Bar # 156840</p>	<p>Submitted to: Assigned Judge</p> <p>STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING</p> <p>ACTUAL SUSPENSION</p> <p><input type="checkbox"/> PREVIOUS STIPULATION REJECTED</p>	
<p>In the Matter of: CHARLES LEROY DUPREE IV</p> <p>Bar # 156840</p> <p>A Member of the State Bar of California (Respondent)</p>		

Note: All information required by this form and any additional information which cannot be provided in the space provided, must be set forth in an attachment to this stipulation under specific headings, e.g., "Facts," "Dismissals," "Conclusions of Law," "Supporting Authority," etc.

A. Parties' Acknowledgments:

- (1) Respondent is a member of the State Bar of California, admitted December 27, 1991.
- (2) The parties agree to be bound by the factual stipulations contained herein even if conclusions of law or disposition are rejected or changed by the Supreme Court.
- (3) All investigations or proceedings listed by case number in the caption of this stipulation are entirely resolved by this stipulation and are deemed consolidated. Dismissed charge(s)/count(s) are listed under "Dismissals." The stipulation consists of 11 pages, not including the order.
- (4) A statement of acts or omissions acknowledged by Respondent as cause or causes for discipline is included under "Facts."
- (5) Conclusions of law, drawn from and specifically referring to the facts are also included under "Conclusions of Law".

(Effective January 1, 2014)

kwiktag * 183 824 773

Actual Suspension



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(21)

(Do not write above this line.)

- (6) The parties must include supporting authority for the recommended level of discipline under the heading "Supporting Authority."
- (7) No more than 30 days prior to the filing of this stipulation, Respondent has been advised in writing of any pending investigation/proceeding not resolved by this stipulation, except for criminal investigations.
- (8) Payment of Disciplinary Costs—Respondent acknowledges the provisions of Bus. & Prof. Code §§6086.10 & 6140.7. (Check one option only):
- Until costs are paid in full, Respondent will remain actually suspended from the practice of law unless relief is obtained per rule 5.130, Rules of Procedure.
 - Costs are to be paid in equal amounts prior to February 1 for the following membership years: (Hardship, special circumstances or other good cause per rule 5.132, Rules of Procedure.) If Respondent fails to pay any installment as described above, or as may be modified by the State Bar Court, the remaining balance is due and payable immediately.
 - Costs are waived in part as set forth in a separate attachment entitled "Partial Waiver of Costs".
 - Costs are entirely waived.

B. Aggravating Circumstances [Standards for Attorney Sanctions for Professional Misconduct, standards 1.2(f) & 1.5]. Facts supporting aggravating circumstances are required.

- (1) Prior record of discipline
- (a) State Bar Court case # of prior case
 - (b) Date prior discipline effective
 - (c) Rules of Professional Conduct/ State Bar Act violations:
 - (d) Degree of prior discipline
 - (e) If Respondent has two or more incidents of prior discipline, use space provided below.
- (2) Dishonesty: Respondent's misconduct was intentional, surrounded by, or followed by bad faith, dishonesty, concealment, overreaching or other violations of the State Bar Act or Rules of Professional Conduct.
- (3) Trust Violation: Trust funds or property were involved and Respondent refused or was unable to account to the client or person who was the object of the misconduct for improper conduct toward said funds or property.
- (4) Harm: Respondent's misconduct harmed significantly a client, the public or the administration of justice.
- (5) Indifference: Respondent demonstrated indifference toward rectification of or atonement for the consequences of his or her misconduct.
- (6) Lack of Cooperation: Respondent displayed a lack of candor and cooperation to victims of his/her misconduct or to the State Bar during disciplinary investigation or proceedings.

(Do not write above this line.)

- (7) **Multiple/Pattern of Misconduct:** Respondent's current misconduct evidences multiple acts of wrongdoing or demonstrates a pattern of misconduct.
- (8) **Restitution:** Respondent failed to make restitution.
- (9) **No aggravating circumstances** are involved.

Additional aggravating circumstances:

C. Mitigating Circumstances [see standards 1.2(g) & 1.6]. Facts supporting mitigating circumstances are required.

- (1) **No Prior Discipline:** Respondent has no prior record of discipline over many years of practice coupled with present misconduct which is not deemed serious.
- (2) **No Harm:** Respondent did not harm the client, the public, or the administration of justice.
- (3) **Candor/Cooperation:** Respondent displayed spontaneous candor and cooperation with the victims of his/her misconduct and to the State Bar during disciplinary investigation and proceedings.
- (4) **Remorse:** Respondent promptly took objective steps spontaneously demonstrating remorse and recognition of the wrongdoing, which steps were designed to timely atone for any consequences of his/her misconduct.
- (5) **Restitution:** Respondent paid \$ _____ on _____ in restitution to _____ without the threat or force of disciplinary, civil or criminal proceedings.
- (6) **Delay:** These disciplinary proceedings were excessively delayed. The delay is not attributable to Respondent and the delay prejudiced him/her.
- (7) **Good Faith:** Respondent acted with a good faith belief that was honestly held and reasonable.
- (8) **Emotional/Physical Difficulties:** At the time of the stipulated act or acts of professional misconduct Respondent suffered extreme emotional difficulties or physical or mental disabilities which expert testimony would establish was directly responsible for the misconduct. The difficulties or disabilities were not the product of any illegal conduct by the member, such as illegal drug or substance abuse, and the difficulties or disabilities no longer pose a risk that Respondent will commit misconduct.
- (9) **Severe Financial Stress:** At the time of the misconduct, Respondent suffered from severe financial stress which resulted from circumstances not reasonably foreseeable or which were beyond his/her control and which were directly responsible for the misconduct.
- (10) **Family Problems:** At the time of the misconduct, Respondent suffered extreme difficulties in his/her personal life which were other than emotional or physical in nature.
- (11) **Good Character:** Respondent's extraordinarily good character is attested to by a wide range of references in the legal and general communities who are aware of the full extent of his/her misconduct.
- (12) **Rehabilitation:** Considerable time has passed since the acts of professional misconduct occurred followed by convincing proof of subsequent rehabilitation.
- (13) **No mitigating circumstances** are involved.

(Effective January 1, 2014)

Actual Suspension

(Do not write above this line.)

Additional mitigating circumstances:

No Prior Discipline. See Attachment at page 8.
Pre-Trial Stipulation. See Attachment at page 8.

D. Discipline:

(1) **Stayed Suspension:**

(a) Respondent must be suspended from the practice of law for a period of one year.

i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.2(c)(1) Standards for Attorney Sanctions for Professional Misconduct.

ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.

iii. and until Respondent does the following:

(b) The above-referenced suspension is stayed.

(2) **Probation:**

Respondent must be placed on probation for a period of two years, which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)

(3) **Actual Suspension:**

(a) Respondent must be actually suspended from the practice of law in the State of California for a period of 60 days.

i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct

ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.

iii. and until Respondent does the following:

E. Additional Conditions of Probation:

(1) If Respondent is actually suspended for two years or more, he/she must remain actually suspended until he/she proves to the State Bar Court his/her rehabilitation, fitness to practice, and learning and ability in the general law, pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct.

(2) During the probation period, Respondent must comply with the provisions of the State Bar Act and Rules of Professional Conduct.

(3) Within ten (10) days of any change, Respondent must report to the Membership Records Office of the State Bar and to the Office of Probation of the State Bar of California ("Office of Probation"), all changes of information, including current office address and telephone number, or other address for State Bar purposes, as prescribed by section 6002.1 of the Business and Professions Code.

(Effective January 1, 2014)

Actual Suspension

(Do not write above this line.)

- (4) Within thirty (30) days from the effective date of discipline, Respondent must contact the Office of Probation and schedule a meeting with Respondent's assigned probation deputy to discuss these terms and conditions of probation. Upon the direction of the Office of Probation, Respondent must meet with the probation deputy either in-person or by telephone. During the period of probation, Respondent must promptly meet with the probation deputy as directed and upon request.
- (5) Respondent must submit written quarterly reports to the Office of Probation on each January 10, April 10, July 10, and October 10 of the period of probation. Under penalty of perjury, Respondent must state whether Respondent has complied with the State Bar Act, the Rules of Professional Conduct, and all conditions of probation during the preceding calendar quarter. Respondent must also state whether there are any proceedings pending against him or her in the State Bar Court and if so, the case number and current status of that proceeding. If the first report would cover less than 30 days, that report must be submitted on the next quarter date, and cover the extended period.

In addition to all quarterly reports, a final report, containing the same information, is due no earlier than twenty (20) days before the last day of the period of probation and no later than the last day of probation.

- (6) Respondent must be assigned a probation monitor. Respondent must promptly review the terms and conditions of probation with the probation monitor to establish a manner and schedule of compliance. During the period of probation, Respondent must furnish to the monitor such reports as may be requested, in addition to the quarterly reports required to be submitted to the Office of Probation. Respondent must cooperate fully with the probation monitor.
- (7) Subject to assertion of applicable privileges, Respondent must answer fully, promptly and truthfully any inquiries of the Office of Probation and any probation monitor assigned under these conditions which are directed to Respondent personally or in writing relating to whether Respondent is complying or has complied with the probation conditions.
- (8) Within one (1) year of the effective date of the discipline herein, Respondent must provide to the Office of Probation satisfactory proof of attendance at a session of the Ethics School, and passage of the test given at the end of that session.
- No Ethics School recommended. Reason:
- (9) Respondent must comply with all conditions of probation imposed in the underlying criminal matter and must so declare under penalty of perjury in conjunction with any quarterly report to be filed with the Office of Probation.
- (10) The following conditions are attached hereto and incorporated:
- | | |
|---|---|
| <input type="checkbox"/> Substance Abuse Conditions | <input type="checkbox"/> Law Office Management Conditions |
| <input type="checkbox"/> Medical Conditions | <input type="checkbox"/> Financial Conditions |

F. Other Conditions Negotiated by the Parties:

- (1) **Multistate Professional Responsibility Examination:** Respondent must provide proof of passage of the Multistate Professional Responsibility Examination ("MPRE"), administered by the National Conference of Bar Examiners, to the Office of Probation during the period of actual suspension or within one year, whichever period is longer. Failure to pass the MPRE results in actual suspension without further hearing until passage. But see rule 9.10(b), California Rules of Court, and rule 5.162(A) & (E), Rules of Procedure.
- No MPRE recommended. Reason:

(Do not write above this line.)

- (2) **Rule 9.20, California Rules of Court:** Respondent must comply with the requirements of rule 9.20, California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 30 and 40 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter.
- (3) **Conditional Rule 9.20, California Rules of Court:** If Respondent remains actually suspended for 90 days or more, he/she must comply with the requirements of rule 9.20, California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 120 and 130 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter.
- (4) **Credit for Interim Suspension [conviction referral cases only]:** Respondent will be credited for the period of his/her interim suspension toward the stipulated period of actual suspension. Date of commencement of interim suspension: **October 7, 2014.**
- (5) **Other Conditions:**

ATTACHMENT TO
STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION

IN THE MATTER OF: CHARLES LEROY DUPREE IV
CASE NUMBER: 14-C-02679

FACTS AND CONCLUSIONS OF LAW.

Respondent admits that the following facts are true and that the facts and circumstances surrounding the offense for which he was convicted involved moral turpitude.

Case No. 14-C-02679 (Conviction Proceedings)

PROCEDURAL BACKGROUND IN CONVICTION PROCEEDING:

1. This is a proceeding pursuant to sections 6101 and 6102 of the Business and Professions Code and rule 9.10 of the California Rules of Court.
2. On September 18, 2013, San Francisco County District Attorney filed a criminal complaint in San Francisco City and County Superior Court, case no. 13026026, charging respondent with one count of Penal Code section 459 [Second Degree Commercial Burglary], a misdemeanor; one count of Penal Code section 484(a)/490.5 [Theft of Property from a Retail Store], a misdemeanor; and one count of Penal Code section 466 [Possession of Burglary Tools], a misdemeanor.
3. On October 18, 2013, the court entered respondent's plea of nolo contendere to all counts and based thereon, the court found respondent guilty of Counts One, Two and Three, misdemeanors.
4. On September 18, 2013, the court ordered the imposition of sentencing suspended and placed respondent on 18 months Court Probation (unsupervised). The court ordered the respondent serve three days in jail, credit three days for time served, and that respondent stay away from Macy's at 50 O'Farrell Street in San Francisco, California. On July 9, 2014, the court determined no restitution was owed.
5. On September 17, 2014, the Review Department ordered that respondent be placed on interim suspension effective October 7, 2014. On November 5, 2014, the Review Department of the State Bar Court issued an order referring the matter to the Hearing Department for a hearing and decision recommending the discipline to be imposed for the offenses for which Respondent was convicted which the Review Department determined involved moral turpitude as a matter of law.

FACTS:

6. On September 14, 2013, respondent entered Macy's department store at 50 O'Farrell Street in San Francisco, CA, with a backpack full of newspaper and a pair of wire cutters.
7. A loss prevention officer ("LPO") for Macy's observed respondent inside the store wearing a black backpack, carrying a handful of merchandise, and began to watch respondent.

8. The LPO observed respondent throughout the store selecting various clothing items, including underwear, an undershirt, two jeans, a jacket and a suit, which respondent took with him into the second floor fitting room.

9. LPO also went into the fitting room area where LPO observed an abundant amount of newspaper on the floor of the fitting room and heard respondent popping sensors inside.

10. When respondent exited the fitting room, he carried only one clothing item in his hands. LPO inspected the fitting room and found one pair of jeans and an undershirt. Based on the "color and count" method, LPO concluded respondent had concealed multiple items in his backpack.

11. Respondent went to the O'Farrell Street exit where he discarded the jacket near the door and left by Door 7. Respondent passed all open and fully staffed point of sale registers and failed to pay for any merchandise in his possession.

12. Outside, the store, Macy's Security Officers approached respondent and identified themselves verbally and by badge as Macy's loss prevention. Respondent resisted apprehension and was taken to the ground and handcuffed.

13. LPO recovered Macy's merchandise from respondent's backpack, along with wire cutters. The retail value of the merchandise was \$368.52. It was recovered and retained by Macy's.

14. When San Francisco Police officers arrived to take custody of respondent, he admitted the wire cutters "are good for removing sensors."

CONCLUSIONS OF LAW:

15. The facts and circumstances surrounding the above-described violations involved moral turpitude.

ADDITIONAL FACTS RE MITIGATING CIRCUMSTANCES.

No Prior Discipline (Std. 1.6(a)): Respondent has been an attorney for 21 years prior to the misconduct committed in this case. (*In the Matter of Loftus* (Review Dept. 2007) 5 Cal. State Bar Ct. Rptr. 80).

Pretrial Stipulation: Respondent does not contest the conviction or its circumstances and has entered into as full stipulation as to facts and circumstances, thereby saving court resources. (*Silva-Vidor v. State Bar* (1989) 49 Cal.3d 1071, 1079 [where mitigative credit was given for entering into a stipulation as to facts and culpability].)

AUTHORITIES SUPPORTING DISCIPLINE.

The Standards for Attorney Sanctions for Professional Misconduct "set forth a means for determining the appropriate disciplinary sanction in a particular case and to ensure consistency across cases dealing with similar misconduct and surrounding circumstances." (Rules Proc. of State Bar, tit. IV, Stds. for Atty. Sanctions for Prof. Misconduct, std. 1.1. All further references to Standards are to this source.) The Standards help fulfill the primary purposes of discipline, which include: protection of the public, the courts and the legal profession; maintenance of the highest professional standards; and preservation of public confidence in the legal profession. (See std. 1.1; *In re Morse* (1995) 11 Cal.4th 184, 205.)

Although not binding, the standards are entitled to "great weight" and should be followed "whenever possible" in determining level of discipline. (*In re Silverton* (2005) 36 Cal.4th 81, 92, quoting *In re Brown* (1995) 12 Cal.4th 205, 220 and *In re Young* (1989) 49 Cal.3d 257, 267, fn. 11.) Adherence to the standards in the great majority of cases serves the valuable purpose of eliminating disparity and assuring consistency, that is, the imposition of similar attorney discipline for instances of similar attorney misconduct. (*In re Naney* (1990) 51 Cal.3d 186, 190.) If a recommendation is at the high end or low end of a Standard, an explanation must be given as to how the recommendation was reached. (Std. 1.1.) "Any disciplinary recommendation that deviates from the Standards must include clear reasons for the departure." (Std. 1.1; *Blair v. State Bar* (1989) 49 Cal.3d 762, 776, fn. 5.)

In determining whether to impose a sanction greater or less than that specified in a given standard, in addition to the factors set forth in the specific standard, consideration is to be given to the primary purposes of discipline; the balancing of all aggravating and mitigating circumstances; the type of misconduct at issue; whether the client, public, legal system or profession was harmed; and the member's willingness and ability to conform to ethical responsibilities in the future. (Stds. 1.7(b) and (c).)

Respondent is here for one instance of professional misconduct, as a result of his misdemeanor theft conviction. Standard 2.11(c) is the applicable standard in this case and provides for disbarment or actual suspension for a final conviction of a misdemeanor involving moral turpitude.

Respondent's misdemeanor theft conviction clearly involve moral turpitude. Respondent's conviction for possession of burglary tools is a crime with probable cause to believe involves moral turpitude, depending on the facts and circumstances. Here, respondent entered Macy's with his stated intent to obtain clothing for a job interview, yet respondent made no attempt to pay for the clothing as he passed manned registers on his way out of the store. Respondent entered Macy's carrying a backpack stuffed with newspapers to give the appearance of an already-full backpack when he entered the store, so as not to arouse suspicion when he concealed clothing in the backpack to steal. Respondent also admitted he "heard the wire cutters and wire strippers were good for removing security tags." Respondent had no lawful reason for carrying the tools into Macy's. Respondent's possession of the wire cutters and strippers were to assist him in removing the security tags, to further his commission of the crime, and thus involve moral turpitude. Therefore, standard 2.11(c) applies to all counts respondent was found guilty of and provides for disbarment or actual suspension.

In mitigation, respondent has no prior discipline in 21 years of practice. Respondent has been ineligible to practice since 2011, first for non-payment of dues, then MCLE non-compliance and now interim

In mitigation, respondent has no prior discipline in 21 years of practice. Respondent has been ineligible to practice since 2011, first for non-payment of dues, then MCLE non-compliance and now interim suspension since October 7, 2014, due to the criminal conviction. Additionally, respondent has acknowledged his misconduct and entered into a pretrial stipulation. There are no aggravating circumstances.

While a crime involving moral turpitude is always serious, the sanction imposed is determined in each case depending on the nature of the crime and the circumstances surrounding its commission. (*In the Matter of Stamper* (Review Dept. 1990) 1 Cal. State Bar Ct. Rptr. 96, 103).

There are no post-*Silverton* Supreme Court cases with similar misconduct to provide further guidance as to the appropriate level of discipline. We look to pre-*Silverton* "theft"/embezzlement cases for guidance.

In *In the Matter of Duxbury* (Review Dept. 1999) 4 Cal. State Bar Ct. Rptr. 61, the court determined the appropriate level of discipline for respondent's misdemeanor conviction for an Insurance Code violation which involved moral turpitude, was two years' stayed suspension, two years' probation, and six-months actual suspension.

Respondent's conviction is for shoplifting with wire cutters, and appears less egregious than the misconduct in the case cited above. Restitution is not an issue, as the clothing was recovered by Macy's loss prevention. Further, respondent's misconduct was not in context of his work as an attorney and did not involve a client. Taking into consideration the purposes of attorney discipline, the factors in mitigation, the absence of aggravating circumstances and the fact that respondent has been on interim suspension for approximately five months for his criminal conviction, a one year stayed suspension, two years of probation and 60 days actual suspension—with credit for the interim suspension, MPRE and Ethics School, will protect the public and serve to maintain high professional standards among attorneys.

COSTS OF DISCIPLINARY PROCEEDINGS.

Respondent acknowledges that the Office of the Chief Trial Counsel has informed Respondent that as of March 6, 2015, the prosecution costs in this matter are \$2,447. Respondent further acknowledges that should this stipulation be rejected or should relief from the stipulation be granted, the costs in this matter may increase due to the cost of further proceedings.

EXCLUSION FROM MCLE CREDIT

Pursuant to rule 3201, Respondent may not receive MCLE credit for completion of Ethics School. (Rules Proc. of State Bar, rule 3201.)

(Do not write above this line.)

In the Matter of: CHARLES LEROY DUPREE IV	Case Number(s): 14-C-02679
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ACTUAL SUSPENSION ORDER

Finding the stipulation to be fair to the parties and that it adequately protects the public, IT IS ORDERED that the requested dismissal of counts/charges, if any, is GRANTED without prejudice, and:

- The stipulated facts and disposition are APPROVED and the DISCIPLINE RECOMMENDED to the Supreme Court.
- The stipulated facts and disposition are APPROVED AS MODIFIED as set forth below, and the DISCIPLINE IS RECOMMENDED to the Supreme Court.
- All Hearing dates are vacated.

1. On page 1 of the Stipulation, in the third box on the right, "Submitted to: Assigned Judge" is deleted and in its place is inserted "Submitted to: Settlement Judge";
2. On page 9 of the Stipulation, in the first sentence of the last full paragraph, "theft conviction clearly involve moral turpitude" is deleted and in its place is inserted "theft conviction clearly involves moral turpitude";
3. On page 9 of the Stipulation, in the second sentence of the last full paragraph, "is a crime with probable cause to believe involves moral turpitude, depending on the facts and circumstances" is deleted and in its place is inserted "is a crime that may involve moral turpitude, depending on the facts and circumstances."; and
4. On page 9 of the Stipulation, the last two lines of text are deleted.

The parties are bound by the stipulation as approved unless: 1) a motion to withdraw or modify the stipulation, filed within 15 days after service of this order, is granted; or 2) this court modifies or further modifies the approved stipulation. (See rule 5.58(E) & (F), Rules of Procedure.) The effective date of this disposition is the effective date of the Supreme Court order herein, normally 30 days after file date. (See rule 9.18(a), California Rules of Court.)

March 30, 2015

Date

Pat Mc Elroy

Judge of the State Bar Court

CERTIFICATE OF SERVICE

[Rules Proc. of State Bar; Rule 5.27(B); Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of San Francisco, on March 30, 2015, I deposited a true copy of the following document(s):

**STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION
AND ORDER APPROVING**

in a sealed envelope for collection and mailing on that date as follows:

- by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at San Francisco, California, addressed as follows:

CHARLES L. DUPREE, IV
638 CRAIG AVE
SONOMA, CA 95476

- by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

CATHERINE E. TAYLOR, Enforcement, San Francisco

I hereby certify that the foregoing is true and correct. Executed in San Francisco, California, on March 30, 2015.



Mazie Yip
Case Administrator
State Bar Court

FILED

JAN 23 2015

**STATE BAR COURT CLERK'S OFFICE
SAN FRANCISCO**

Charles L. Dupree IV
638 Craig Avenue
Sonoma, California 95476
(213) 842-8448

Charles.L.Dupree@att.net

RECEIVED

4
5 **JAN 23 2015**

STATE BAR COURT OF CALIFORNIA

HEARING DEPARTMENT - SAN FRANCISCO

6 **STATE BAR COURT CLERK'S OFFICE
SAN FRANCISCO**

In the Matter of

Case No. 14-C-02679

7
8 **CHARLES LEROY DUPREE IV**

9 **A Member of the State Bar, No 156840**

**RESPONSE TO NOTICE OF HEARING ON
CONVICTION OF ATTORNEY**

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12 **RESPONDENT Charles L. Dupree IV hereby responds as follows to the allegations made by the Office of**
13 **the Chief Trial Counsel as set forth in the Transmittal of Records of Conviction of Attorney dated July 10, 2014:**

- 14 1. Respondent admits to the allegation of being convicted of Penal Code section 459
- 15 (second degree burglary);
- 16 2. Respondent admits to the allegation of being convicted of Penal Code section and 484,
- 17 subdivision (a)/490.5 (theft of property from a retail store); and
- 18 3. Respondent admits to the allegation of being convicted of Penal Code section 466
- 19 (possession of burglar tools).
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24 **Dated: January 23, 2015**



25 **Charles L. Dupree IV**

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RESPONSE TO NOTICE OF HEARING ON CONVICTION OF ATTORNEY - 1

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**STATE BAR COURT OF CALIFORNIA
REVIEW DEPARTMENT**

STATE BAR COURT
CLERK'S OFFICE
LOS ANGELES

IN BANK

In the Matter of)	Case No. 14-C-02679
)	
CHARLES LEROY DUPREE IV,)	ORDER
)	
A Member of the State Bar, No. 156840.)	
)	

Since respondent Charles Leroy Dupree IV, State Bar Number 156840, has been convicted of violating Penal Code sections 459 (second degree burglary), and 484, subdivision (a)/490.5 (theft of property from a retail store), misdemeanors involving moral turpitude; and Penal Code section 466 (possession of burglar tools), a misdemeanor with probable cause to believe involves moral turpitude, it is ordered pursuant to Business and Professions Code section 6102 that respondent be suspended from the practice of law effective October 7, 2014, pending final disposition of this proceeding. (Cal. Rules of Court, rule 9.10(a).) It is further ordered that respondent comply with California Rules of Court, rule 9.20, and perform the acts specified in subdivisions (a) and (c) of that rule within 30 and 40 days, respectively, after the effective date of this suspension.



PURCELL

Presiding Judge

CERTIFICATE OF SERVICE

[Rules Proc. of State Bar; Rule 5.27(B); Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of Los Angeles, on September 17, 2014, I deposited a true copy of the following document(s):

ORDER FILED SEPTEMBER 17, 2014

in a sealed envelope for collection and mailing on that date as follows:

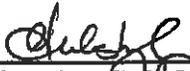
- by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at Los Angeles, California, addressed as follows:

**CHARLES L. DUPREE IV
638 CRAIG AVE
SONOMA, CA 95476**

- by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

ALLEN BLUMENTHAL, Enforcement, San Francisco

I hereby certify that the foregoing is true and correct. Executed in Los Angeles, California, on September 17, 2014.



Jasmine Guldzhyan
Case Administrator
State Bar Court

FILED

JAN 23 2015

STATE BAR COURT CLERK'S OFFICE
SAN FRANCISCO

Charles L. Dupree IV
638 Craig Avenue
Sonoma, California 95476
(213) 842-8448

Charles.L.Dupree@att.net

RECEIVED

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JAN 23 2015

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STATE BAR COURT OF CALIFORNIA

HEARING DEPARTMENT - SAN FRANCISCO

STATE BAR COURT CLERK'S OFFICE
SAN FRANCISCO

In the Matter of

Case No. 14-C-02679

CHARLES LEROY DUPREE IV

A Member of the State Bar, No 156840

RESPONSE TO NOTICE OF HEARING ON
CONVICTION OF ATTORNEY

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Dated: January 23, 2015

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Charles L. Dupree IV

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RESPONSE TO NOTICE OF HEARING ON CONVICTION OF ATTORNEY - 1



FILED

JUL 11 2014

STATE BAR COURT
CLERK'S OFFICE
LOS ANGELES

THE STATE BAR OF CALIFORNIA
OFFICE OF THE CHIEF TRIAL COUNSEL
ALLEN BLUMENTHAL, No. 110243
180 Howard Street
San Francisco, California 94105-1639
Telephone: (415) 538-2000

IN THE STATE BAR COURT OF THE STATE BAR OF CALIFORNIA

IN THE MATTER OF THE CONVICTION OF: CHARLES L. DUPREE, IV, No. 156840 A Member of the State Bar) Case No. 14-C-2679)) Transmittal of Records of Conviction of Attorney (Bus. & Prof.) Code §§ 6101-6102; Cal. Rules of Court, rule 9.5 et seq.))) [] Felony;) [X] Crime(s) involved moral turpitude;) [] Probable cause to believe the crime(s) involved moral) turpitude;) [] Crime(s) which may or may not involve moral turpitude or) other misconduct warranting discipline;) [] Transmittal of Notice of Finality of Conviction.
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To the CLERK OF THE STATE BAR COURT:

1. Transmittal of records.

- A. Pursuant to the provisions of Business and Professions Code, section 6101-6102 and California Rules of Court, rule 9.5 et seq., the Office of the Chief Trial Counsel transmits a certified copy of the record of convictions of the following member of the State Bar and for such consideration and action as the Court deems appropriate:
- B. Notice of Appeal
- C. Evidence of Finality of Conviction (Notice of Lack of Appeal)
- D. Other



Name of Member: Charles L. Dupree, IV

Date member admitted to practice law in California: December 27, 1991

Member's Address of Record: Charles L. Dupree, IV
638 Craig Avenue
Sonoma, CA 95476

2. Date and court of conviction; offense(s).

The record of conviction reflects that the above-named member of the State Bar was convicted as follows:

Date of entry of conviction: October 18, 2013

Convicting court: San Francisco Superior Court

Case number(s): 13026026

Crime(s) of which convicted and classification(s):

Penal Code section 459 (Burglary), one count, a misdemeanor that involves moral turpitude per se (see *In re Hurwitz* (1976) 17 Cal.3d 562 [acts in the nature of burglary involved moral turpitude].) *People v. Muldrow* (1988) 202 Cal.App.3d 636, 645; *People v. Hunt* (1985) 169 Cal.App.3d 668, 675

Penal Code section 484(a)/490.5 (Theft From A Retail Store), one count, a misdemeanor me that involves moral turpitude per se (see *In re Caldwell* (1975) 15 Cal. 3d 762, 765.)

Penal Code section 466 (Possession Of Burglar Tools), one count, a misdemeanor, which may or may not involve moral turptiude.

3. Compliance with Rule 9.20. (Applicable only if checked.)

We bring to the Court's attention that, should the Court enter an order of interim suspension herein, the Court may wish to require the above-named member to comply with the provisions of rule 9.20, California Rules of Court, paragraph (a), within 30 days of the effective date of any such order; and to file the affidavit with the Clerk of the State Bar Court provided for in paragraph (c) of rule 9.20 within 40 days of the effective date of said order, showing the member's compliance with the provisions of rule 9.20.

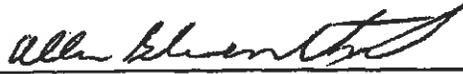
4. Other information to assist the State Bar Court

DOCUMENTS TRANSMITTED:

Misdemeanor Complaint filed 9-18-13
Minutes dated 10/18/13
Minutes dated 11/6/13

THE STATE BAR OF CALIFORNIA
OFFICE OF THE CHIEF TRIAL COUNSEL

DATED: July 10, 2014

BY: 
Allen Blumenthal
Senior Trial Counsel

A copy of this transmittal and its
Attachments have been sent to:

Charles L. Dupree, IV
638 Craig Avenue
Sonoma, CA 95476

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DECLARATION OF SERVICE BY CERTIFIED MAIL

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CASE NUMBER: 14-C-2679

I, the undersigned, over the age of eighteen (18) years, whose business address and place of employment is the State Bar of California, 180 Howard Street, San Francisco, California 94105, declare that I am not a party to the within action; that I am readily familiar with the State Bar of California's practice for collection and processing of correspondence for mailing with the United States Postal Service; that in the ordinary course of the State Bar of California's practice, correspondence collected and processed by the State Bar of California would be deposited with the United States Postal Service that same day; that I am aware that on motion of party served, service is presumed invalid if postal cancellation date or postage meter date on the envelope or package is more than one day after date of deposit for mailing contained in the affidavit; and that in accordance with the practice of the State Bar of California for collection and processing of mail, I deposited or placed for collection and mailing in the City and County of San Francisco, on the date shown below, a true copy of the within

TRANSMITTAL OF RECORDS OF CONVICTION OF ATTORNEY

in a sealed envelope placed for collection and mailing as certified mail, return receipt requested, Article No.: 7196 9008 9111 2393 0061, at San Francisco, on the date shown below, addressed to:

**Charles Leroy Dupree IV
638 Craig Ave
Sonoma, CA 95476**

in an inter-office mail facility regularly maintained by the State Bar of California addressed to:

N/A

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed at San Francisco, California, on the date shown below.

DATED: July 11, 2014

Signed: 
Ina M. Strehle
Declarant

00023



The document to which this certificate is affixed is a full, true and correct copy of the original on file and of record in the State Bar Court.

ATTEST August 19, 2016
State Bar Court, State Bar of California,
Los Angeles

By *Luzi Pacheco*
Clerk



THE STATE BAR
OF CALIFORNIA

845 SOUTH FIGUEROA STREET, LOS ANGELES, CALIFORNIA 90017-2515

OFFICE OF PROBATION

Terrie Goldade, Supervising Attorney (213) 765-1494

TELEPHONE: (213) 765-1000

FAX: (213) 765-1439

<http://www.calbar.ca.gov>

Michael Angelo Kanterakis: (213) 765-1410

Michael.Kanterakis@calbar.ca.gov

October 16, 2015

OFFICE OF PROBATION
ADDRESS VERIFIED
October 16, 2015

Charles Leroy Dupree IV
638 Craig Ave
Sonoma, CA 95476

BY: _____

In re: S226728

In the Matter of Charles Leroy Dupree IV

Dear Charles Leroy Dupree IV:

This reminder letter is sent to you as a courtesy and based upon information that you are not currently represented by counsel in this matter—the enforcement of your probation terms and conditions. If this is incorrect, please complete the Notice of Counsel Representation form and submit to the Office of Probation within five days so that future communications may be directed to your counsel.

As you know, on September 30, 2015, the Supreme Court of California filed an Order, effective October 30, 2015, suspending you from the practice of law for a period of one year, staying execution and placing you on probation upon certain conditions for a period of two years. Further, pursuant to the Order of the Court, you have been placed on actual suspension for the first 60 days of your probation with credit given for the period of interim suspension which commenced on October 7, 2014.¹

Please take notice that attorneys are not relieved of MCLE requirements during the pendency of their disciplinary period.

Rule of Professional Conduct 1-311 requires that a firm or attorney who employs an attorney who is disbarred, resigned, suspended or involuntarily enrolled inactive, provide certain notices to the State Bar and to clients. While there is no prescribed form, in order to assist attorneys to comply with the rule, the State Bar has created notices for the employer's use. If you are or become employed by an attorney or a law firm, please remind your employer of this requirement. Forms are available at the State Bar website under Attorney Forms/Reportable Actions or you may contact the Intake Unit, Office of the Chief Trial Counsel, at (213) 765-1000.

You must schedule a meeting with me to discuss the terms and conditions of your discipline within 30 days from the effective date of discipline. Make sure you read this letter including all attachments before the required meeting.

¹ Please review your stipulation or decision carefully. You may have been ordered to remain on actual suspension until you have fully paid the costs imposed as a result of your discipline. The Office of Probation does NOT monitor costs. If you have questions, contact Membership Billing at (415) 538-2360.

By court order, you must take and pass the Multi-State Professional Responsibility Examination (MPRE) and provide satisfactory proof of such passage to the Office of Probation on or before **October 30, 2016**. Satisfactory proof requires that you (1) select California as the jurisdiction to receive your score report, and (2) send a copy of your score release to the Office of Probation. It is important that you plan to take this examination well in advance of the due date so that you can re-take the examination if you do not receive a passing score. The passing scaled score is 86. The MPRE is only offered three (3) times a year, but you may not have three chances to take the MPRE by your particular deadline. Failure to provide proof of passage of this examination by the due date may result in your indefinite suspension until you provide proof that you have passed the examination. (See *Segretti v. State Bar* (1976) 15 Cal.3d 878, 891, fn 8.)

In order to comply with the terms and conditions of your probation, you must report the status of your compliance, in each and every respect, by letter with any attachments, executed under penalty of perjury, and addressed to the Office of Probation. As a courtesy, the Office of Probation has prepared a Quarterly Report form for your use. The Office of Probation will not provide you multiple copies of the courtesy Quarterly Report form. Should you happen to lose your Quarterly Report form, you must submit your request for a copy in writing explaining why you could not maintain a copy for yourself.

Each of your reports must be a clear and unequivocal statement of compliance. See *In the Matter of Carr* (Review Dept. 1992) 2 Cal. State Bar Ct. Rptr. 244.

Please read the Instructions and review the Quarterly Report carefully to determine whether you believe that it accurately reflects the required terms and conditions. If you believe there is an error, or if there are any questions, please notify me immediately. **You are responsible for timely complying with each and every term and condition whether or not it is reflected in this letter and/or the Quarterly Report form.** You are reminded that proof of compliance must be received in the Office of Probation by your due date. **Being even one day late** means that you are **NOT** in compliance.

The conditions of your probation with compliance due dates are outlined below. Please note this summary **only** reflects those conditions and compliance due dates that require submission of proof of compliance to the Office of Probation. For a thorough review of all conditions, please refer to the enclosed copy of that portion of the disciplinary order setting forth the conditions of probation.

Condition

Deadline(s)

- | | |
|--|---------------------------------------|
| 1. Contact Probation Deputy & Schedule Required Meeting | November 29, 2015 |
| 2. Quarterly Reports | Quarterly, beginning January 10, 2016 |
| 3. Conditions of Probation in Underlying Criminal Matter | Quarterly, beginning January 10, 2016 |
| 4. State Bar Ethics School | October 30, 2016 |
| 5. MPRE | October 30, 2016 |
| 6. Final Report | October 30, 2017 |

Charles Leroy Dupree IV
October 16, 2015
Page 3

You are reminded that all Quarterly Reports are due **on or before the 10th day** after the end of each quarter. Your Final Report is due on or before **October 30, 2017**.

If your underlying criminal probation matter terminates or expires, you do not have a criminal probation matter with which to comply. Accordingly, you must submit to the Office of Probation proof of successful completion, e.g., a **recent** Court docket sheet showing termination date.

You are required to report, and in no event in more than ten (10) days, to the Membership Records Office of the State Bar and the Office of Probation, all changes of information including current name, office address and telephone number, or other address for State Bar purposes as prescribed by section 6002.1 of the Business and Professions Code. The Office of Probation will **only** send documents to your official membership records address.

Further, please be advised that the Office of Probation **does not** have the authority to extend compliance due dates or modify the terms and conditions of the discipline order. **Request for extension of time or modification of the terms and conditions of the discipline order must be filed with** the State Bar Court Hearing Department or Review Department. See, Rules of Procedure of the State Bar of California, rules 5.162 and 5.300, et seq. A copy of the motion must be served upon the Office of Probation. **Failure to timely** submit reports or any other proof of compliance **may result in a non-compliance referral** which may lead to the imposition of additional discipline and attendant costs.

Enclosed are copies of the Supreme Court Order and conditions of probation, which you have already received from the Courts or your counsel, Multi-State Professional Responsibility Examination schedule, Quarterly Report with instructions, and Notice of Counsel Representation form. Also enclosed is scheduling and enrollment information for the State Bar's Ethics School.

It is recommended that you maintain a file containing all orders as well as communication between the Office of Probation and yourself. Keep your file in a convenient location so that if you have contact with the Office of Probation, any question can be quickly addressed.

Please note that the Court has determined that the repeated need of the State Bar to actively intervene to seek compliance with disciplinary terms and conditions is inconsistent with the self-governing nature of probation as a rehabilitative part of the attorney discipline system. *In the Matter of Gorman* (Review Dept. 2003) 4 Cal. State Bar Ct. Rptr. 567, 573.

Sincerely,



Michael Angelo Kanterakis
Probation Deputy

/mak
Enclosures

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IN THE SUPREME COURT OF CALIFORNIA SEP 30 2015

En Banc

Frank A. McGuire Cle

Deputy

In re CHARLES LEROY DUPREE IV on Discipline.

The court orders that Charles Leroy Dupree IV, State Bar Number 156840, is suspended from the practice of law in California for one year, execution of that period of suspension is stayed, and he is placed on probation for two years subject to the following conditions:

1. Charles Leroy Dupree IV is suspended from the practice of law for 60 days (with credit given for the period of interim suspension which commenced on October 7, 2014);
2. Charles Leroy Dupree IV must comply with the other conditions of probation recommended by the Hearing Department of the State Bar Court in its Order Approving Stipulation filed on March 30, 2015; and
3. At the expiration of the period of probation, if Charles Leroy Dupree IV has complied with all conditions of probation, the period of stayed suspension will be satisfied and that suspension will be terminated.

Charles Leroy Dupree IV must also take and pass the Multistate Professional Responsibility Examination within one year after the effective date of this order and provide satisfactory proof of such passage to the State Bar's Office of Probation in Los Angeles within the same period. Failure to do so may result in suspension. (Cal. Rules of Court, rule 9.10(b).)

Costs are awarded to the State Bar in accordance with Business and Professions Code section 6086.10 and are enforceable both as provided in Business and Professions Code section 6140.7 and as a money judgment.

Werdegar, Corrigan and Cuéllar, JJ., are of the opinion review should be ordered on the court's own motion.

CANTIL-SAKAUYE

Chief Justice

I, Frank A. McGuire, Clerk of the Supreme Court of the State of California, do hereby certify that the preceding is a true copy of an order of this Court as shown by the records of my office.

Witness my hand and the seal of the Court this

day of SEP 30 2015 20

Clerk

By:

Deputy

00004

Additional mitigating circumstances:

No Prior Discipline. See Attachment at page 8.
Pre-Trial Stipulation. See Attachment at page 8.

D. Discipline:

(1) Stayed Suspension:

(a) Respondent must be suspended from the practice of law for a period of one year.

- i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.2(c)(1) Standards for Attorney Sanctions for Professional Misconduct.
- ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.
- iii. and until Respondent does the following:

(b) The above-referenced suspension is stayed.

(2) Probation:

Respondent must be placed on probation for a period of two years, which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)

(3) Actual Suspension:

(a) Respondent must be actually suspended from the practice of law in the State of California for a period of 60 days.

- i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct
- ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.
- iii. and until Respondent does the following:

E. Additional Conditions of Probation:

- (1) If Respondent is actually suspended for two years or more, he/she must remain actually suspended until he/she proves to the State Bar Court his/her rehabilitation, fitness to practice, and learning and ability in the general law, pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct.
- (2) During the probation period, Respondent must comply with the provisions of the State Bar Act and Rules of Professional Conduct:
- (3) Within ten (10) days of any change, Respondent must report to the Membership Records Office of the State Bar and to the Office of Probation of the State Bar of California ("Office of Probation"), all changes of information, including current office address and telephone number, or other address for State Bar purposes, as prescribed by section 6002.1 of the Business and Professions Code.

(Do not write above this line.)

- (4) Within thirty (30) days from the effective date of discipline, Respondent must contact the Office of Probation and schedule a meeting with Respondent's assigned probation deputy to discuss these terms and conditions of probation. Upon the direction of the Office of Probation, Respondent must meet with the probation deputy either in-person or by telephone. During the period of probation, Respondent must promptly meet with the probation deputy as directed and upon request.
- (5) Respondent must submit written quarterly reports to the Office of Probation on each January 10, April 10, July 10, and October 10 of the period of probation. Under penalty of perjury, Respondent must state whether Respondent has complied with the State Bar Act, the Rules of Professional Conduct, and all conditions of probation during the preceding calendar quarter. Respondent must also state whether there are any proceedings pending against him or her in the State Bar Court and if so, the case number and current status of that proceeding. If the first report would cover less than 30 days, that report must be submitted on the next quarter date, and cover the extended period.

In addition to all quarterly reports, a final report, containing the same information, is due no earlier than twenty (20) days before the last day of the period of probation and no later than the last day of probation.

- (6) Respondent must be assigned a probation monitor. Respondent must promptly review the terms and conditions of probation with the probation monitor to establish a manner and schedule of compliance. During the period of probation, Respondent must furnish to the monitor such reports as may be requested, in addition to the quarterly reports required to be submitted to the Office of Probation. Respondent must cooperate fully with the probation monitor.
- (7) Subject to assertion of applicable privileges, Respondent must answer fully, promptly and truthfully any inquiries of the Office of Probation and any probation monitor assigned under these conditions which are directed to Respondent personally or in writing relating to whether Respondent is complying or has complied with the probation conditions.
- (8) Within one (1) year of the effective date of the discipline herein, Respondent must provide to the Office of Probation satisfactory proof of attendance at a session of the Ethics School, and passage of the test given at the end of that session.
- No Ethics School recommended. Reason:
- (9) Respondent must comply with all conditions of probation imposed in the underlying criminal matter and must so declare under penalty of perjury in conjunction with any quarterly report to be filed with the Office of Probation.
- (10) The following conditions are attached hereto and incorporated:
- | | |
|---|---|
| <input type="checkbox"/> Substance Abuse Conditions | <input type="checkbox"/> Law Office Management Conditions |
| <input type="checkbox"/> Medical Conditions | <input type="checkbox"/> Financial Conditions |

F. Other Conditions Negotiated by the Parties:

- (1) **Multistate Professional Responsibility Examination:** Respondent must provide proof of passage of the Multistate Professional Responsibility Examination ("MPRE"), administered by the National Conference of Bar Examiners, to the Office of Probation during the period of actual suspension or within one year, whichever period is longer. Failure to pass the MPRE results in actual suspension without further hearing until passage. But see rule 9.16(b), California Rules of Court, and rule 5.162(A) & (E), Rules of Procedure.
- No MPRE recommended. Reason:

(Do not write above this line.)

- (2) **Rule 9.20, California Rules of Court:** Respondent must comply with the requirements of rule 9.20, California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 30 and 40 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter.
- (3) **Conditional Rule 9.20, California Rules of Court:** If Respondent remains actually suspended for 90 days or more, he/she must comply with the requirements of rule 9.20, California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 120 and 130 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter.
- (4) **Credit for Interim Suspension [conviction referral cases only]:** Respondent will be credited for the period of his/her interim suspension toward the stipulated period of actual suspension. Date of commencement of interim suspension: **October 7, 2014.**
- (5) **Other Conditions:**

(Effective January 1, 2014)

MULTISTATE PROFESSIONAL RESPONSIBILITY EXAMINATION ("MPRE")

2016 Examination Schedule and Information

This document was created as a courtesy by the State Bar of California, Office of Probation.

The State Bar of California does **NOT** administer the MPRE.
**TO REGISTER AND FOR THE MOST RECENT INFORMATION REGARDING MPRE TEST
DATES, DEADLINES, RESOURCES, ETC., YOU MUST CONTACT:**

National Conference of Bar Examiners ("NCBE")
Website: www.ncbex.org

Registration opens on Monday, December 14, 2015

Test Dates*	Regular Registration Deadline (\$80)*	Late Registration Deadline (\$160)*	Scores Tentatively Released by
Saturday, March 19, 2016	January 28, 2016	February 4, 2016	April 23, 2016
Saturday, August 13, 2016	June 23, 2016	June 30, 2016	September 17, 2016
Saturday, November 5, 2016	September 15, 2016	September 22, 2016	December 10, 2016

1. The MPRE fee for applications received on or before the regular receipt deadline is \$80. The MPRE fee for applications received after the regular receipt deadline but before the late receipt deadline is \$160.
2. To provide proof of successful passage of the MPRE to the Office of Probation, you **MUST**: a) during registration, select California as the jurisdiction to receive your score report; and b) send a copy of your score release to the Office of Probation *on or before your due date*. Failure to comply with these requirements will delay confirming your passage of the MPRE, and it may result in an automatic suspension in probation matters or a non-compliance referral in all other matters.
3. Requests for special accommodations during the examination must be made to the National Conference of Bar Examiners in advance of the examination.

Passing scaled score 86

**Information may change, please check the NCBE's website for the most current information.*

Revised 09/10/15

00008

<p>IN THE MATTER OF Charles Leroy Dupree IV</p> <p>CASE NO(s): S226728</p> <p>Probation</p>	<p><i>(For Office of Probation Use Only)</i></p>
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QUARTERLY REPORT

For each report, mark the box for the correct reporting period and write the correct year.

First Report Due: January 10, 2016
(for period October 30, 2015 through December 31, 2015)

Final Report Due: October 30, 2017
(for period October 1, 2017 through October 30, 2017)

Due: **January 10, 20__** **April 10, 20__** **July 10, 20__** **October 10, 20__**
(for period 10/1 through 12/31) (for period 01/01 through 3/31) (for period 4/1 through 6/30) (for period 7/1 through 9/30)

Make sufficient copies of this form for future use and transmit reports to the State Bar of California, Attn: Office of Probation, 845 South Figueroa Street, Los Angeles, California 90017-2515, or Fax at (213) 765-1439, or e-mail at Michael.Kanterakis@calbar.ca.gov

Place an "X" before each of the statements below that applies to you:

Compliance with State Bar Act and Rules

During the reporting period noted above, I have complied with all provisions of the State Bar Act, Rules of Professional Conduct, and all conditions of probation.

Or

During the reporting period above, I have complied with all provisions of the State Bar Act, Rules of Professional Conduct, and all conditions of probation **EXCEPT** (please list specific violations):

_____ (attach declaration under penalty of perjury if more space is needed).

Report on SBC Proceedings

During the reporting period above, I had proceedings pending against me in the State Bar Court. The case number(s), and current status is as follows:

Current Address

- Within 10 days of any change, I reported to the Membership Records Office and to the Office of Probation of the State Bar of California ("Office of Probation"), all changes of information including current office address and telephone number, or other address for State Bar purposes, as prescribed by section 6002.1 of the Business and Professions Code.

Suspension

- I did not practice law at any time during the reporting period noted above or applicable portion thereof during which I was suspended pursuant to the Supreme Court order in this case.

State Bar Ethics School

- I have registered for the State Bar Ethics School course given on _____.
- I have completed the State Bar Ethics School course given on _____.
A copy of my certificate of completion is attached if not previously submitted.

Conditions of Probation/Parole in Underlying Criminal Matter

- I have complied with the conditions of probation/parole imposed upon me in the underlying criminal matter during the reporting period noted above or portion thereof.
- During the reporting period noted above or portion thereof, my criminal probation was modified, terminated or expired and attached hereto is a copy of the document(s) effectuating said change.

Multi-State Professional Responsibility Examination

- I have registered for the MPRE given on _____.
- I have taken the MPRE given on _____ and am awaiting the results.
- I passed the MPRE given on _____. A copy of my results is attached if not previously submitted.
- I did not pass the MPRE given on _____ and have re-scheduled to take the examination given on _____.

I declare under penalty of perjury under the laws of the State of California that all of the information provided in this report is true and accurate.

Date: _____
(Actual date of signature)

Signature: _____
Charles Leroy Dupree IV

OFFICE OF PROBATION
QUARTERLY REPORT INSTRUCTIONS

1. The enclosed Quarterly Report form has been tailored to reflect the conditions of your discipline that are to be reported to the Office of Probation. Please review it carefully. If you believe that it does not accurately reflect your conditions, immediately contact the Office of Probation. Note that even if the Office of Probation makes an error, **you are required to timely complete all of your ordered conditions.** The report form is provided as a courtesy only, and you are not required to use it.
2. The Office of Probation will **NOT** provide you with multiple copies of the courtesy Quarterly Report form. **YOU MUST MAKE ENOUGH COPIES TO USE FOR ALL FUTURE QUARTERLY AND FINAL REPORTS.** In the future, one additional copy **may** be provided if you make a written request with an explanation under penalty of perjury why you need such copy made.
3. For each quarter's report, mark the box for the correct reporting period and write in the correct year. Place an "X" in front of each condition that applies to your activities during each respective reporting period. Provide all required information.
4. Your report is not compliant if it does not cover the entire reporting period.
5. Your signed and dated report must be **received in the Office of Probation** on or before the 10th of **January, April, July, and October.** For all conditions, being **even one day late** means that you are **not** in compliance.

REPORTING PERIOD	REPORT TO BE RECEIVED IN THE OFFICE OF PROBATION BY
January 1 - March 31	April 10 th
April 1 - June 30	July 10 th
July 1 - September 30	October 10 th
October 1 - December 31	January 10 th

6. Because your report must be made under penalty of perjury, you must date it the date you sign it and not pre-date it or post-date it. See, Code of Civil Procedure section 2015.5.
7. Because it is your responsibility to have a compliant report in to the Office of Probation by the 10th, and because **the Office of Probation does NOT confirm receipt of compliance documents,** you may choose to send your reports in a manner that provides you with proof of delivery, e.g. fax, e-mail, certified mail, etc.
8. You must keep all original reports, compliance documents, and proof of delivery and provide such to the Office of Probation if requested.
9. The Office of Probation files your report and compliance document as of the date it is received, and **NOT** the date you send it.
10. The Office of Probation will **NOT** contact you before and/or after each Quarterly Report is due. **You must calendar all of your deadlines to ensure timely receipt by the Office of Probation.**
11. Each report is to be a perpetual document and is to reflect past and/or current status or compliance.
12. Each of your reports must be a clear and unequivocal statement of your compliance. See *In the Matter of Carr* (Review Dept. 1992) 2 Cal. State Bar Ct. Rptr. 244.

If you have any questions regarding this information, please contact Michael Angelo Kanterakis in the Office of Probation at Michael.Kanterakis@calbar.ca.gov or (213)765-1410.

**OFFICE OF PROBATION
NOTICE OF COUNSEL REPRESENTATION**

Respondent: Charles Leroy Dupree IV

State Bar Case #: S226728

Member Number: 156840

Counsel Name: _____

Firm Name: _____

Address: _____

Bar Number: _____

Phone Number: _____

Respondent Signature: _____

Date: _____

Counsel Signature: _____

Date: _____

Please complete, sign and return this form to the Office of Probation, 845 South Figueroa Street, Los Angeles, California 90017-2515.



THE STATE BAR
OF CALIFORNIA

845 S. FIGUEROA STREET, LOS ANGELES, CA 90017-2515

OFFICE OF THE CHIEF TRIAL COUNSEL
ENFORCEMENT

TELEPHONE: (213) 765-1309
FAX: (213) 765-1029
TDD: (213) 765-1566
<http://www.calbar.ca.gov>

State Bar of California 2015 Ethics/Client Trust Accounting ("CTA") School Schedules

LOS ANGELES 845 S. Figueroa Street			
Class	Day	Date	Time
Ethics	Thurs	02/19	9a - 4p
CTA	Fri	02/20	9a - 12p
Ethics	Thurs	05/07	9a - 4p
CTA	Fri	05/08	9a - 12p
Ethics	Thurs	06/04	9a - 4p
CTA	Fri	06/05	9a - 12p
Ethics	Thurs	08/20	9a - 4p
CTA	Fri	08/21	9a - 12p
Ethics	Thurs	09/17	9a - 4p
CTA	Fri	09/18	9a - 12p
Ethics	Thurs	10/22	9a - 4p
CTA	Fri	10/23	9a - 12p
Ethics	Thurs	12/10	9a - 4p
CTA	Fri	12/11	9a - 12p

SAN FRANCISCO 180 Howard Street			
Class	Day	Date	Time
Ethics	Thurs	03/12	9a - 4p
CTA	Fri	03/13	9a - 12p
Ethics	Thurs	06/18	9a - 4p
CTA	Fri	06/19	9a - 12p
Ethics	Thurs	09/17	9a - 4p
CTA	Fri	09/18	9a - 12p
Ethics	Thurs	12/03	9a - 4p
CTA	Fri	12/04	9a - 12p

You can register for Ethics School and/or Client Trust Accounting School by: 1) mailing application form with payment to the address on the form; or 2) going online at www.calbar.ca.gov: Home > Attorneys > Lawyer Regulation > Ethics Schools, go to end of section and click on Register Now, or click on Class Schedule and Registration. You are NOT registered until your payment is received. If you have any questions, please contact Letty Ramos at (213) 765-1309.

Information may change at any time, please check the State Bar website for current information.

Revised 10/28/14



THE STATE BAR
OF CALIFORNIA

845 S. FIGUEROA STREET, LOS ANGELES, CA 90017-2515

OFFICE OF THE CHIEF TRIAL COUNSEL
ENFORCEMENT

TELEPHONE: (213) 765-1309
FAX: (213) 765-1029
TDD: (213) 765-1566
<http://www.calbar.ca.gov>

State Bar of California

2016 Ethics/Client Trust Accounting ("CTA") School Schedules

LOS ANGELES			
845 S. Figueroa Street			
Class	Day	Date	Time
Ethics	Thurs	02/11	9a - 4p
CTA	Fri	02/12	9a - 12p
Ethics	Thurs	04/07	9a - 4p
CTA	Fri	04/08	9a - 12p
Ethics	Thurs	06/09	9a - 4p
CTA	Fri	06/10	9a - 12p
Ethics	Thurs	08/04	9a - 4p
CTA	Fri	08/05	9a - 12p
Ethics	Thurs	10/06	9a - 4p
CTA	Fri	10/07	9a - 12p
Ethics	Thurs	12/15	9a - 4p
CTA	Fri	12/16	9a - 12p

SAN FRANCISCO			
180 Howard Street			
Class	Day	Date	Time
Ethics	Thurs	02/18	9a - 4p
CTA	Fri	02/19	9a - 12p
Ethics	Thurs	04/21	9a - 4p
CTA	Fri	04/22	9a - 12p
Ethics	Thurs	06/23	9a - 4p
CTA	Fri	06/24	9a - 12p
Ethics	Thurs	08/18	9a - 4p
CTA	Fri	08/19	9a - 12p
Ethics	Thurs	10/20	9a - 4p
CTA	Fri	10/21	9a - 12p

You can register for Ethics School and/or Client Trust Accounting School by: 1) mailing application form with payment to the address on the form; or 2) going online at www.calbar.ca.gov: Home > Attorneys > Lawyer Regulation > Ethics Schools, go to end of section and click on Register Now, or click on Class Schedule and Registration. You are **NOT registered until your payment is received. If you have any questions, please contact Letty Ramos at (213) 765-1309.**

Information may change at any time, please check the State Bar website for current information.

Revised 09/21/15

00014



THE STATE BAR
OF CALIFORNIA

845 S. FIGUEROA STREET, LOS ANGELES, CA 90017-2515

OFFICE OF THE CHIEF TRIAL COUNSEL
ENFORCEMENT

TELEPHONE: (213) 765-1309
FAX: (213) 765-1029
TDD: (213) 765-1566
<http://www.calbar.ca.gov>

State Bar of California Ethics/CTA School Information

Ethics and Client Trust Accounting classes are given throughout the year at the State Bar offices:

LOS ANGELES
845 S. Figueroa Street
Los Angeles, CA 90017-2515

SAN FRANCISCO
180 Howard Street
San Francisco, CA 94105

Ethics School is all day (9:00 a.m. to 4:00 p.m.), with a lunch break. The fee for the course is **\$150.00**. Client Trust Account School is held for three (3) hours, (9:00 a.m. to 12:00 Noon). The fee for the course is **\$100.00**.

Please note that pursuant to Rules of Procedure of the State Bar of California, rule 3201, you will NOT receive Minimum Continuing Legal Education credit if your attendance at Ethics School or Client Trust Accounting School is required by a Decision or Order of the State Bar Court or Supreme Court.

If your attendance at Ethics School is **NOT** required by a Decision or Order of the State Bar Court or Supreme Court, you may receive six (6) hours of Minimum Continuing Legal Education credit upon successful completion of the class. If your attendance at CTA School is **NOT** required by a Decision or Order of the State Bar Court or Supreme Court, you may receive three (3) hours of Minimum Continuing Legal Education credit upon successful completion of the class.

An application form and a schedule of classes are enclosed for your convenience. Fees **MUST** be submitted **with the application in order to secure a seat in the class**. Classes for some dates may fill up quickly. Payment for classes must be in the form of a personal check, money order or cashier's check. **CASH PAYMENTS WILL NOT BE ACCEPTED.**

Please indicate on the application form, by checking the appropriate space, whether you are attending the class as a result of a Decision of the Court after a hearing; as a result of a stipulated disposition; pursuant to an Agreement in Lieu of Discipline; voluntarily by letter agreement with the Office of Chief Trial Counsel or the Committee of Bar Examiners for Bar applicants, or voluntarily for some other reason.

If you have a question about probation, please direct your inquiries in writing to the State Bar of California, Attention: Office of Probation, 845 S. Figueroa Street, Los Angeles, CA 90017-2515.

OFFICE OF THE CHIEF TRIAL COUNSEL

Letty Ramos
Administrative Secretary

Enc.

Revised 10/28/14

00015



THE STATE BAR
OF CALIFORNIA

845 S. FIGUEROA STREET, LOS ANGELES, CA 90017-2515

OFFICE OF THE CHIEF TRIAL COUNSEL
ENFORCEMENT

TELEPHONE: (213) 765-1309
FAX: (213) 765-1029
TDD: (213) 765-1566
<http://www.calbar.ca.gov>

State Bar of California Ethics/CTA School Enrollment Form

DATE: _____

APPLICANT'S NAME: _____ SBN: _____

APPLICANT'S ADDRESS: _____

CITY, STATE, ZIP: _____

PHONE: _____ FAX: _____

E-MAIL ADDRESS: _____

LOS ANGELES
845 South Figueroa Street
Los Angeles, CA 90017-2515

SAN FRANCISCO
180 Howard Street
San Francisco, CA 94105

ETHICS (\$150) DATE OF CLASS: _____ LOCATION (LA OR SF): _____
CTA (\$100) DATE OF CLASS: _____ LOCATION (LA OR SF): _____

Return completed Application Enrollment Form with personal check, money order or cashier's check made payable to the State Bar of California, 845 S. Figueroa Street, Los Angeles, CA, 90017-2515, attention: Letty Ramos, Office of the Chief Trial Counsel. Upon receipt of your application and payment, a confirming reservation letter will be mailed to you. If you have any questions, please contact Letty Ramos at (213) 765-1309 or by fax at (213) 765-1029.

Please indicate below the reason for your attendance:

- Supreme Court Order/State Bar Court Decision after hearing requiring attendance
- Supreme Court Order/State Bar Court Order following stipulated disposition requiring attendance
- Agreement in Lieu of Discipline
- Voluntary Agreement with the Office of the Chief Trial Counsel
- State Bar Applicant for Admission
- Voluntarily

You can register for Ethics School and/or Client Trust Accounting School by: 1) mailing application form with payment to the address on the form; or 2) going online at www.calbar.ca.gov: Home > Attorney > Lawyer Regulation > Ethics Schools, go to end of section and click on Register Now, or click on Class Schedule and Registration. You are **NOT** registered until your payment is received. If you have any questions, please contact Letty Ramos at (213) 765-1309.

Revised 10/28/14

00016

This document to which this certificate is attached,
is a full, true and correct copy of the original on
file and of record in the Office of Probation.



Attest August 15, 2016

By M.A. Kanterakis

Michael Angelo Kanterakis
State Bar of California, Office of Probation
Los Angeles, California

00017

Kanterakis, Michael

From: Kanterakis, Michael
Sent: Tuesday, November 24, 2015 2:35 PM
To: 'charles.dupree@gmail.com'
Subject: State Bar of California - Office of Probation
Attachments: SLACOPIER3215112414380.pdf

Mr. Dupree,

I've attached a PDF copy of the Required Probation Meeting Record completed today during the telephonic meeting. If you have any questions, please reply to this e-mail.

—
Michael Angelo Kanterakis | Probation Deputy
The State Bar of California | 845 S. Figueroa St. | Los Angeles, CA 90017
213.765.1410 | michael.kanterakis@calbar.ca.gov

This message may contain confidential information that may also be privileged. Unless you are the intended recipient or are authorized to receive information for the intended recipient, you may not use, copy, or disclose the message in whole or in part. If you have received this message in error, please advise the sender by reply e-mail and delete all copies of the message. Thank you.

From: lacopier01@calbar.ca.gov [mailto:lacopier01@calbar.ca.gov]
Sent: Tuesday, November 24, 2015 3:38 PM
To: Kanterakis, Michael
Subject: Message from LACOPIER32

REQUIRED PROBATION MEETING RECORD

Case Name: Charles Leroy Dupree IV
Member No.: 156840 Case Number: S226728
Contact: Compliance Date: 156840 Contact Date: 11-20-15 Date of Required Meeting: 11-24-15

- In Person Meeting (State Bar Offices - LA) Telephonic Meeting
- Verified Respondent received copy of reminder probation letter & supporting documents
- Discussed conditions of probation/reproval / ALD / ADP agreement (please circle one)
- Discussed reporting schedule & requirements
- Notified Respondent that compliance documents must be received by the Office of Probation on or before the due date. NOT signed or postmarked on the due date.
- Reminded Respondent that the MPRE is offered three times each year, but not all Respondents will have three chances to take the MPRE by their particular deadline; that they must have the results reported to California (this is the only sufficient form of proof); provide copy of test results to the Office of Probation; and that (in Probation matters) Respondent will be automatically suspended if MPRE is not passed by the due date until proof of passage is provided.
- Verified Respondent's current mailing address & telephone number

Same as SB Membership Records address & telephone number
 New / Alternate (please circle one) address or telephone number:
(510) 872-4321

- If condition involves a third party, e.g. restitution owed, ask Respondent to include with first Quarterly Report any identifying contact info (e.g., full name, CDL, SSN, age, last known work/home tel./address, etc.)
- If condition involves drug lab testing, remind Respondent that he will be contacted for random lab testing even if represented by counsel. (The condition is non-delegatable)
- Advised Respondent that filing of a motion should be considered if unable to meet conditions by the deadlines. Copy of motion should be served on the Office of Probation.
- Notified Respondent that a non-compliance referral will be made if conditions are not met by deadlines.
- Instructed Respondent to contact the Office of Probation if any questions or concerns arise regarding Respondent's disciplinary orders and compliance thereof.

Comments: R says UCM Prob terminated 2014. R to provide cert Cat. Dadd to OP.

BY: [Signature] Probation Deputy BY: _____ Respondent (signature & acknowledgment of in-person meeting)

Kanterakis, Michael

From: Microsoft Outlook
To: 'charles.dupree@gmail.com'
Sent: Tuesday, November 24, 2015 2:35 PM
Subject: Relayed: State Bar of California - Office of Probation

Delivery to these recipients or groups is complete, but no delivery notification was sent by the destination server:

'charles.dupree@gmail.com' (charles.dupree@gmail.com) <<mailto:charles.dupree@gmail.com>>

Subject: State Bar of California - Office of Probation

This document to which this certificate is attached,
is a full, true and correct copy of the original on
file and of record in the Office of Probation.



Attest August 15, 2016

By M.A. Kanterakis

Michael Angelo Kanterakis
State Bar of California, Office of Probation
Los Angeles, California

00021



THE STATE BAR
OF CALIFORNIA

845 SOUTH FIGUEROA STREET, LOS ANGELES, CALIFORNIA 90017-2515

OFFICE OF PROBATION

Terrie Goldade, Supervising Attorney (213) 765-1494

TELEPHONE: (213) 765-1000

FAX: (213) 765-1439

<http://www.calbar.ca.gov>

Michael Angelo Kanterakis: (213) 765-1410

Michael.Kanterakis@calbar.ca.gov

January 15, 2016

Charles Leroy Dupree IV
638 Craig Ave
Sonoma, CA 95476

OFFICE OF PROBATION

ADDRESS VERIFIED

January 15, 2016

BY:

In re: S226728

In the Matter of Charles Leroy Dupree IV

Dear Charles Leroy Dupree IV:

On October 16, 2015, this office sent to you a letter (copy enclosed) for the purpose of reminding you of the terms and conditions of your probation imposed by the Supreme Court which became effective October 30, 2015.

One of those conditions requires that you submit quarterly reports on or before January 10th, April 10th, July 10th, and October 10th, of every year during the period of the probation. Your first quarterly report was due no later than January 10, 2016. *This letter is to advise you that the Office of Probation has not received your first quarterly report. Please submit the required report immediately.*

Consequently, you are not in compliance with the terms and conditions of your Probation, and you may face a non-compliance referral which may result in the imposition of additional discipline and attendant costs (see attached). Even if you contact the Office of Probation, a referral may still be prepared. The Office of Probation will not send any further reminder letters regarding the aforementioned non-compliance or any future compliance due dates or lack of receipt of compliance documentation.

Please be reminded that LATE completion, submission, or filing of proof/documents, does not mean you are in compliance. You will never be in compliance because being even one day late means that you are not in compliance with the terms and conditions of your Probation.

If for any reason, you cannot *timely* comply with the terms and conditions of the discipline imposed, and to avoid a non-compliance referral, *you must* file a motion with the State Bar Court. See rules 5.162 and 5.300, et seq., Rules of Procedure of the State Bar of California. A copy of the motion must be served upon the Office of Probation. *The Office of Probation does not have the authority to extend compliance due dates or modify the terms and conditions of your probation.*

Please note that even if you are referred, you are **STILL REQUIRED TO TIMELY COMPLY** with all probation conditions in this matter. Additional violations may be subject to a separate non-compliance referral. If you have any questions regarding this matter, please contact me at (213) 765-1410.

Sincerely,

Michael Angelo Kanterakis
Probation Deputy

/mak

Enclosure(s)

00022



**THE STATE BAR
OF CALIFORNIA**

845 SOUTH FIGUEROA STREET, LOS ANGELES, CALIFORNIA 90017-2515

OFFICE OF PROBATION

Terrie Goldade, Supervising Attorney (213) 765-1494

TELEPHONE: (213) 765-1000

FAX: (213) 765-1439

<http://www.calbar.ca.gov>

Michael Angelo Kanterakis: (213) 765-1410

Michael.Kanterakis@calbar.ca.gov

October 16, 2015

OFFICE OF PROBATION

ADDRESS VERIFIED

October 16, 2015

Charles Leroy Dupree IV
638 Craig Ave
Sonoma, CA 95476

BY: _____

In the Matter of Charles Leroy Dupree IV

In re: **S226728**

Dear Charles Leroy Dupree IV:

This reminder letter is sent to you as a courtesy and based upon information that you are not currently represented by counsel in this matter—the enforcement of your probation terms and conditions. If this is incorrect, please complete the Notice of Counsel Representation form and submit to the Office of Probation within five days so that future communications may be directed to your counsel.

As you know, on September 30, 2015, the Supreme Court of California filed an Order, effective October 30, 2015, suspending you from the practice of law for a period of one year, staying execution and placing you on probation upon certain conditions for a period of two years. Further, pursuant to the Order of the Court, you have been placed on actual suspension for the first 60 days of your probation with credit given for the period of interim suspension which commenced on October 7, 2014.¹

Please take notice that attorneys are not relieved of MCLE requirements during the pendency of their disciplinary period.

Rule of Professional Conduct 1-311 requires that a firm or attorney who employs an attorney who is disbarred, resigned, suspended or involuntarily enrolled inactive, provide certain notices to the State Bar and to clients. While there is no prescribed form, in order to assist attorneys to comply with the rule, the State Bar has created notices for the employer's use. If you are or become employed by an attorney or a law firm, please remind your employer of this requirement. Forms are available at the State Bar website under Attorney Forms/Reportable Actions or you may contact the Intake Unit, Office of the Chief Trial Counsel, at (213) 765-1000.

You must schedule a meeting with me to discuss the terms and conditions of your discipline within 30 days from the effective date of discipline. Make sure you read this letter including all attachments before the required meeting.

¹ Please review your stipulation or decision carefully. You may have been ordered to remain on actual suspension until you have fully paid the costs imposed as a result of your discipline. The Office of Probation does NOT monitor costs. If you have questions, contact Membership Billing at (415) 538-2360.

By court order, you must take and pass the Multi-State Professional Responsibility Examination (MPRE) and provide satisfactory proof of such passage to the Office of Probation on or before **October 30, 2016**. Satisfactory proof requires that you (1) select California as the jurisdiction to receive your score report, and (2) send a copy of your score release to the Office of Probation. It is important that you plan to take this examination well in advance of the due date so that you can re-take the examination if you do not receive a passing score. The passing scaled score is 86. The MPRE is only offered three (3) times a year, but you may not have three chances to take the MPRE by your particular deadline. Failure to provide proof of passage of this examination by the due date may result in your indefinite suspension until you provide proof that you have passed the examination. (See *Segretti v. State Bar* (1976) 15 Cal.3d 878, 891, fn 8.)

In order to comply with the terms and conditions of your probation, you must report the status of your compliance, in each and every respect, by letter with any attachments, executed under penalty of perjury, and addressed to the Office of Probation. As a courtesy, the Office of Probation has prepared a Quarterly Report form for your use. The Office of Probation will not provide you multiple copies of the courtesy Quarterly Report form. Should you happen to lose your Quarterly Report form, you must submit your request for a copy in writing explaining why you could not maintain a copy for yourself.

Each of your reports must be a clear and unequivocal statement of compliance. See *In the Matter of Carr* (Review Dept. 1992) 2 Cal. State Bar Ct. Rptr. 244.

Please read the Instructions and review the Quarterly Report carefully to determine whether you believe that it accurately reflects the required terms and conditions. If you believe there is an error, or if there are any questions, please notify me immediately. **You are responsible for timely complying with each and every term and condition whether or not it is reflected in this letter and/or the Quarterly Report form.** You are reminded that proof of compliance must be received in the Office of Probation by your due date. **Being even one day late** means that you are **NOT** in compliance.

The conditions of your probation with compliance due dates are outlined below. Please note this summary **only** reflects those conditions and compliance due dates that require submission of proof of compliance to the Office of Probation. For a thorough review of all conditions, please refer to the enclosed copy of that portion of the disciplinary order setting forth the conditions of probation.

Condition

Deadline(s)

- | | |
|--|---------------------------------------|
| 1. Contact Probation Deputy & Schedule Required Meeting | November 29, 2015 |
| 2. Quarterly Reports | Quarterly, beginning January 10, 2016 |
| 3. Conditions of Probation in Underlying Criminal Matter | Quarterly, beginning January 10, 2016 |
| 4. State Bar Ethics School | October 30, 2016 |
| 5. MPRE | October 30, 2016 |
| 6. Final Report | October 30, 2017 |

Charles Leroy Dupree IV
October 16, 2015
Page 3

You are reminded that all Quarterly Reports are due on or before the 10th day after the end of each quarter. Your Final Report is due on or before **October 30, 2017**.

If your underlying criminal probation matter terminates or expires, you do not have a criminal probation matter with which to comply. Accordingly, you must submit to the Office of Probation proof of successful completion, e.g., a recent Court docket sheet showing termination date.

You are required to report, and in no event in more than ten (10) days, to the Membership Records Office of the State Bar and the Office of Probation, all changes of information including current name, office address and telephone number, or other address for State Bar purposes as prescribed by section 6002.1 of the Business and Professions Code. The Office of Probation will only send documents to your official membership records address.

Further, please be advised that the Office of Probation does not have the authority to extend compliance due dates or modify the terms and conditions of the discipline order. **Request for extension of time or modification of the terms and conditions of the discipline order must be filed with the State Bar Court Hearing Department or Review Department.** See, Rules of Procedure of the State Bar of California, rules 5.162 and 5.300, et seq. A copy of the motion must be served upon the Office of Probation. **Failure to timely submit reports or any other proof of compliance may result in a non-compliance referral which may lead to the imposition of additional discipline and attendant costs.**

Enclosed are copies of the Supreme Court Order and conditions of probation, which you have already received from the Courts or your counsel, Multi-State Professional Responsibility Examination schedule, Quarterly Report with instructions, and Notice of Counsel Representation form. Also enclosed is scheduling and enrollment information for the State Bar's Ethics School.

It is recommended that you maintain a file containing all orders as well as communication between the Office of Probation and yourself. Keep your file in a convenient location so that if you have contact with the Office of Probation, any question can be quickly addressed.

Please note that the Court has determined that the repeated need of the State Bar to actively intervene to seek compliance with disciplinary terms and conditions is inconsistent with the self-governing nature of probation as a rehabilitative part of the attorney discipline system. *In the Matter of Gorman* (Review Dept. 2003) 4 Cal. State Bar Ct. Rptr. 567, 573.

Sincerely,



Michael Angelo Kanterakis
Probation Deputy

/mak
Enclosures

00025

IN THE SUPREME COURT OF CALIFORNIA SEP 30 2015

En Banc

Frank A. McGuire Cle

Deputy

In re CHARLES LEROY DUPREE IV on Discipline.

The court orders that Charles Leroy Dupree IV, State Bar Number 156840, is suspended from the practice of law in California for one year, execution of that period of suspension is stayed, and he is placed on probation for two years subject to the following conditions:

1. Charles Leroy Dupree IV is suspended from the practice of law for 60 days (with credit given for the period of interim suspension which commenced on October 7, 2014);
2. Charles Leroy Dupree IV must comply with the other conditions of probation recommended by the Hearing Department of the State Bar Court in its Order Approving Stipulation filed on March 30, 2015; and
3. At the expiration of the period of probation, if Charles Leroy Dupree IV has complied with all conditions of probation, the period of stayed suspension will be satisfied and that suspension will be terminated.

Charles Leroy Dupree IV must also take and pass the Multistate Professional Responsibility Examination within one year after the effective date of this order and provide satisfactory proof of such passage to the State Bar's Office of Probation in Los Angeles within the same period. Failure to do so may result in suspension. (Cal. Rules of Court, rule 9.10(b).)

Costs are awarded to the State Bar in accordance with Business and Professions Code section 6086.10 and are enforceable both as provided in Business and Professions Code section 6140.7 and as a money judgment.

Werdegar, Corrigan and Cuéllar, JJ., are of the opinion review should be ordered on the court's own motion.

CANTIL-SAKAUYE

Chief Justice

I, Frank A. McGuire, Clerk of the Supreme Court of the State of California, do hereby certify that the preceding is a true copy of an order of this Court as shown by the records of my office.

Witness my hand and the seal of the Court this

day of SEP 30 2015 20

Clerk

By:

Deputy

~~(Do not write above this line.)~~

Additional mitigating circumstances:

No Prior Disciplinary. See Attachment at page 8.
Pre-Trial Stipulation. See Attachment at page 8.

D. Discipline:

(1) Stayed Suspension:

(a) Respondent must be suspended from the practice of law for a period of one year:

- i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.2(c)(1) Standards for Attorney Sanctions for Professional Misconduct.
- ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.
- iii. and until Respondent does the following:

(b) The above-referenced suspension is stayed.

(2) Probation:

Respondent must be placed on probation for a period of two years, which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)

(3) Actual Suspension:

(a) Respondent must be actually suspended from the practice of law in the State of California for a period of 60 days.

- i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct
- ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.
- iii. and until Respondent does the following:

E. Additional Conditions of Probation:

- (1) If Respondent is actually suspended for two years or more, he/she must remain actually suspended until he/she proves to the State Bar Court his/her rehabilitation, fitness to practice, and learning and ability in the general law, pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct.
- (2) During the probation period, Respondent must comply with the provisions of the State Bar Act and Rules of Professional Conduct:
- (3) Within ten (10) days of any change, Respondent must report to the Membership Records Office of the State Bar and to the Office of Probation of the State Bar of California ("Office of Probation"), all changes of information, including current office address and telephone number, or other address for State Bar purposes, as prescribed by section 6002.1 of the Business and Professions Code.

(Effective January 1, 2014)

(Do not write above this line.)

- (4) Within thirty (30) days from the effective date of discipline, Respondent must contact the Office of Probation and schedule a meeting with Respondent's assigned probation deputy to discuss these terms and conditions of probation. Upon the direction of the Office of Probation, Respondent must meet with the probation deputy either in-person or by telephone. During the period of probation, Respondent must promptly meet with the probation deputy as directed and upon request.
- (5) Respondent must submit written quarterly reports to the Office of Probation on each January 10, April 10, July 10, and October 10 of the period of probation. Under penalty of perjury, Respondent must state whether Respondent has complied with the State Bar Act, the Rules of Professional Conduct, and all conditions of probation during the preceding calendar quarter. Respondent must also state whether there are any proceedings pending against him or her in the State Bar Court and if so, the case number and current status of that proceeding. If the first report would cover less than 30 days, that report must be submitted on the next quarter date, and cover the extended period.

In addition to all quarterly reports, a final report, containing the same information, is due no earlier than twenty (20) days before the last day of the period of probation and no later than the last day of probation.

- (6) Respondent must be assigned a probation monitor. Respondent must promptly review the terms and conditions of probation with the probation monitor to establish a manner and schedule of compliance. During the period of probation, Respondent must furnish to the monitor such reports as may be requested, in addition to the quarterly reports required to be submitted to the Office of Probation. Respondent must cooperate fully with the probation monitor.
- (7) Subject to assertion of applicable privileges, Respondent must answer fully, promptly and truthfully any inquiries of the Office of Probation and any probation monitor assigned under these conditions which are directed to Respondent personally or in writing relating to whether Respondent is complying or has complied with the probation conditions.
- (8) Within one (1) year of the effective date of the discipline herein, Respondent must provide to the Office of Probation satisfactory proof of attendance at a session of the Ethics School, and passage of the test given at the end of that session.
- No Ethics School recommended. Reason:
- (9) Respondent must comply with all conditions of probation imposed in the underlying criminal matter and must so declare under penalty of perjury in conjunction with any quarterly report to be filed with the Office of Probation.
- (10) The following conditions are attached hereto and incorporated:
- | | |
|---|---|
| <input type="checkbox"/> Substance Abuse Conditions | <input type="checkbox"/> Law Office Management Conditions |
| <input type="checkbox"/> Medical Conditions | <input type="checkbox"/> Financial Conditions |

F. Other Conditions Negotiated by the Parties:

- (1) **Multistate Professional Responsibility Examination:** Respondent must provide proof of passage of the Multistate Professional Responsibility Examination ("MPRE"), administered by the National Conference of Bar Examiners, to the Office of Probation during the period of actual suspension or within one year, whichever period is longer. Failure to pass the MPRE results in actual suspension without further hearing until passage. But see rule 9.16(b), California Rules of Court, and rule 5.102(A) & (E), Rules of Procedure.
- No MPRE recommended. Reason:

(Do not write above this line.)

- (2) **Rule 9.20, California Rules of Court:** Respondent must comply with the requirements of rule 9.20, California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 30 and 40 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter.
- (3) **Conditional Rule 9.20, California Rules of Court:** If Respondent remains actually suspended for 90 days or more, he/she must comply with the requirements of rule 9.20, California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 120 and 130 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter.
- (4) **Credit for Interim Suspension [conviction referral cases only]:** Respondent will be credited for the period of his/her interim suspension toward the stipulated period of actual suspension. Date of commencement of interim suspension: **October 7, 2014.**
- (5) **Other Conditions:**

00029

MULTISTATE PROFESSIONAL RESPONSIBILITY EXAMINATION ("MPRE")

2016 Examination Schedule and Information

This document was created as a courtesy by the State Bar of California, Office of Probation.

The State Bar of California does NOT administer the MPRE.
**TO REGISTER AND FOR THE MOST RECENT INFORMATION REGARDING MPRE TEST
 DATES, DEADLINES, RESOURCES, ETC., YOU MUST CONTACT:**

National Conference of Bar Examiners ("NCBE")
Website: www.ncbex.org

Registration opens on Monday, December 14, 2015

Test Dates*	Regular Registration Deadline (\$80)*	Late Registration Deadline (\$160)*	Scores Tentatively Released by
Saturday, March 19, 2016	January 28, 2016	February 4, 2016	April 23, 2016
Saturday, August 13, 2016	June 23, 2016	June 30, 2016	September 17, 2016
Saturday, November 5, 2016	September 15, 2016	September 22, 2016	December 10, 2016

1. The MPRE fee for applications received on or before the regular receipt deadline is \$80. The MPRE fee for applications received after the regular receipt deadline but before the late receipt deadline is \$160.
2. To provide proof of successful passage of the MPRE to the Office of Probation, you **MUST:** a) during registration, select California as the jurisdiction to receive your score report; and b) send a copy of your score release to the Office of Probation on or before your due date. Failure to comply with these requirements will delay confirming your passage of the MPRE, and it may result in an automatic suspension in probation matters or a non-compliance referral in all other matters.
3. Requests for special accommodations during the examination must be made to the National Conference of Bar Examiners in advance of the examination.

Passing scaled score 86

**Information may change, please check the NCBE's website for the most current information.*

<p>IN THE MATTER OF Charles Leroy Dupree IV</p> <p>CASE NO(s): S226728</p> <p>Probation</p>	<p><i>(For Office of Probation Use Only)</i></p>
--	--

QUARTERLY REPORT

For each report, mark the box for the correct reporting period and write the correct year.

First Report Due: January 10, 2016
(for period October 30, 2015 through December 31, 2015)

Final Report Due: October 30, 2017
(for period October 1, 2017 through October 30, 2017)

Due: **January 10, 20__** **April 10, 20__** **July 10, 20__** **October 10, 20__**
(for period 10/1 through 12/31) (for period 01/01 through 3/31) (for period 4/1 through 6/30) (for period 7/1 through 9/30)

Make sufficient copies of this form for future use and transmit reports to the State Bar of California, Attn: Office of Probation, 845 South Figueroa Street, Los Angeles, California 90017-2515, or Fax at (213) 765-1439, or e-mail at Michael.Kanterakis@calbar.ca.gov

Place an "X" before each of the statements below that applies to you:

Compliance with State Bar Act and Rules

During the reporting period noted above, I have complied with all provisions of the State Bar Act, Rules of Professional Conduct, and all conditions of probation.

Or

During the reporting period above, I have complied with all provisions of the State Bar Act, Rules of Professional Conduct, and all conditions of probation **EXCEPT** (please list specific violations):

(attach declaration under penalty of perjury if more space is needed).

Report on SBC Proceedings

During the reporting period above, I had proceedings pending against me in the State Bar Court. The case number(s), and current status is as follows:

Current Address

- Within 10 days of any change, I reported to the Membership Records Office and to the Office of Probation of the State Bar of California ("Office of Probation"), all changes of information including current office address and telephone number, or other address for State Bar purposes, as prescribed by section 6002.1 of the Business and Professions Code.

Suspension

- I did not practice law at any time during the reporting period noted above or applicable portion thereof during which I was suspended pursuant to the Supreme Court order in this case.

State Bar Ethics School

- I have registered for the State Bar Ethics School course given on _____.
- I have completed the State Bar Ethics School course given on _____.
A copy of my certificate of completion is attached if not previously submitted.

Conditions of Probation/Parole in Underlying Criminal Matter

- I have complied with the conditions of probation/parole imposed upon me in the underlying criminal matter during the reporting period noted above or portion thereof.
- During the reporting period noted above or portion thereof, my criminal probation was modified, terminated or expired and attached hereto is a copy of the document(s) effectuating said change.

Multi-State Professional Responsibility Examination

- I have registered for the MPRE given on _____.
- I have taken the MPRE given on _____ and am awaiting the results.
- I passed the MPRE given on _____. A copy of my results is attached if not previously submitted.
- I did not pass the MPRE given on _____ and have re-scheduled to take the examination given on _____.

I declare under penalty of perjury under the laws of the State of California that all of the information provided in this report is true and accurate.

Date: _____
(Actual date of signature)

Signature: _____
Charles Leroy Dupree IV

OFFICE OF PROBATION
QUARTERLY REPORT INSTRUCTIONS

1. The enclosed Quarterly Report form has been tailored to reflect the conditions of your discipline that are to be reported to the Office of Probation. Please review it carefully. If you believe that it does not accurately reflect your conditions, immediately contact the Office of Probation. Note that even if the Office of Probation makes an error, **you are required to timely complete all of your ordered conditions**. The report form is provided as a courtesy only, and you are not required to use it.
2. The Office of Probation will **NOT** provide you with multiple copies of the courtesy Quarterly Report form. **YOU MUST MAKE ENOUGH COPIES TO USE FOR ALL FUTURE QUARTERLY AND FINAL REPORTS**. In the future, one additional copy **may** be provided if you make a written request with an explanation under penalty of perjury why you need such copy made.
3. For each quarter's report, mark the box for the correct reporting period and write in the correct year. Place an "X" in front of each condition that applies to your activities during each respective reporting period. Provide all required information.
4. Your report is not compliant if it does not cover the entire reporting period.
5. Your signed and dated report must be **received in the Office of Probation on or before the 10th of January, April, July, and October**. For all conditions, being **even one day late** means that you are **not** in compliance.

REPORTING PERIOD	REPORT TO BE RECEIVED IN THE OFFICE OF PROBATION BY
January 1 - March 31	April 10 th
April 1 - June 30	July 10 th
July 1 - September 30	October 10 th
October 1 - December 31	January 10 th

6. Because your report must be made under penalty of perjury, you must date it the date you sign it and not pre-date it or post-date it. See, Code of Civil Procedure section 2015.5.
7. Because it is your responsibility to have a compliant report in to the Office of Probation by the 10th, and because the Office of Probation does **NOT** confirm receipt of compliance documents, you may choose to send your reports in a manner that provides you with proof of delivery, e.g. fax, e-mail, certified mail, etc.
8. You must keep all original reports, compliance documents, and proof of delivery and provide such to the Office of Probation if requested.
9. The Office of Probation files your report and compliance document as of the date it is received, and **NOT** the date you send it.
10. The Office of Probation will **NOT** contact you before and/or after each Quarterly Report is due. You must calendar all of your deadlines to ensure timely receipt by the Office of Probation.
11. Each report is to be a perpetual document and is to reflect past and/or current status or compliance.
12. Each of your reports must be a clear and unequivocal statement of your compliance. See *In the Matter of Carr* (Review Dept. 1992) 2 Cal. State Bar Ct. Rptr. 244.

If you have any questions regarding this information, please contact Michael Angelo Kanterakis in the Office of Probation at Michael.Kanterakis@calbar.ca.gov or (213)765-1410.

**OFFICE OF PROBATION
NOTICE OF COUNSEL REPRESENTATION**

Respondent: Charles Leroy Dupree IV

State Bar Case #: S226728

Member Number: 156840

Counsel Name: _____

Firm Name: _____

Address: _____

Bar Number: _____

Phone Number: _____

Respondent Signature: _____

Date: _____

Counsel Signature: _____

Date: _____

Please complete, sign and return this form to the Office of Probation, 845 South Figueroa Street, Los Angeles, California 90017-2515.



THE STATE BAR
OF CALIFORNIA

845 S. FIGUEROA STREET, LOS ANGELES, CA 90017-2515

OFFICE OF THE CHIEF TRIAL COUNSEL
ENFORCEMENT

TELEPHONE: (213) 765-1309

FAX: (213) 765-1029

TDD: (213) 765-1566

<http://www.calbar.ca.gov>

State Bar of California

2015 Ethics/Client Trust Accounting ("CTA") School Schedules

LOS ANGELES			
845 S. Figueroa Street			
Class	Day	Date	Time
Ethics	Thurs	02/19	9a - 4p
CTA	Fri	02/20	9a - 12p
Ethics	Thurs	05/07	9a - 4p
CTA	Fri	05/08	9a - 12p
Ethics	Thurs	06/04	9a - 4p
CTA	Fri	06/05	9a - 12p
Ethics	Thurs	08/20	9a - 4p
CTA	Fri	08/21	9a - 12p
Ethics	Thurs	09/17	9a - 4p
CTA	Fri	09/18	9a - 12p
Ethics	Thurs	10/22	9a - 4p
CTA	Fri	10/23	9a - 12p
Ethics	Thurs	12/10	9a - 4p
CTA	Fri	12/11	9a - 12p

SAN FRANCISCO			
180 Howard Street			
Class	Day	Date	Time
Ethics	Thurs	03/12	9a - 4p
CTA	Fri	03/13	9a - 12p
Ethics	Thurs	06/18	9a - 4p
CTA	Fri	06/19	9a - 12p
Ethics	Thurs	09/17	9a - 4p
CTA	Fri	09/18	9a - 12p
Ethics	Thurs	12/03	9a - 4p
CTA	Fri	12/04	9a - 12p

You can register for Ethics School and/or Client Trust Accounting School by: 1) mailing application form with payment to the address on the form; or 2) going online at www.calbar.ca.gov: Home > Attorneys > Lawyer Regulation > Ethics Schools, go to end of section and click on Register Now, or click on Class Schedule and Registration. You are **NOT** registered until your payment is received. If you have any questions, please contact Letty Ramos at (213) 765-1309.

Information may change at any time, please check the State Bar website for current information.



THE STATE BAR
OF CALIFORNIA

845 S. FIGUEROA STREET, LOS ANGELES, CA 90017-2515

OFFICE OF THE CHIEF TRIAL COUNSEL
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TELEPHONE: (213) 765-1309
FAX: (213) 765-1029
TDD: (213) 765-1566
<http://www.calbar.ca.gov>

State Bar of California

2016 Ethics/Client Trust Accounting ("CTA") School Schedules

LOS ANGELES			
845 S. Figueroa Street			
Class	Day	Date	Time
Ethics	Thurs	02/11	9a - 4p
CTA	Fri	02/12	9a - 12p
Ethics	Thurs	04/07	9a - 4p
CTA	Fri	04/08	9a - 12p
Ethics	Thurs	06/09	9a - 4p
CTA	Fri	06/10	9a - 12p
Ethics	Thurs	08/04	9a - 4p
CTA	Fri	08/05	9a - 12p
Ethics	Thurs	10/06	9a - 4p
CTA	Fri	10/07	9a - 12p
Ethics	Thurs	12/15	9a - 4p
CTA	Fri	12/16	9a - 12p

SAN FRANCISCO			
180 Howard Street			
Class	Day	Date	Time
Ethics	Thurs	02/18	9a - 4p
CTA	Fri	02/19	9a - 12p
Ethics	Thurs	04/21	9a - 4p
CTA	Fri	04/22	9a - 12p
Ethics	Thurs	06/23	9a - 4p
CTA	Fri	06/24	9a - 12p
Ethics	Thurs	08/18	9a - 4p
CTA	Fri	08/19	9a - 12p
Ethics	Thurs	10/20	9a - 4p
CTA	Fri	10/21	9a - 12p

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Revised 09/21/15



THE STATE BAR
OF CALIFORNIA

845 S. FIGUEROA STREET, LOS ANGELES, CA 90017-2515

OFFICE OF THE CHIEF TRIAL COUNSEL
ENFORCEMENT

TELEPHONE: (213) 765-1309
FAX: (213) 765-1029
TDD: (213) 765-1566
<http://www.calbar.ca.gov>

State Bar of California Ethics/CTA School Information

Ethics and Client Trust Accounting classes are given throughout the year at the State Bar offices:

LOS ANGELES
845 S. Figueroa Street
Los Angeles, CA 90017-2515

SAN FRANCISCO
180 Howard Street
San Francisco, CA 94105

Ethics School is all day (9:00 a.m. to 4:00 p.m.), with a lunch break. The fee for the course is \$150.00. Client Trust Account School is held for three (3) hours, (9:00 a.m. to 12:00 Noon). The fee for the course is \$100.00.

Please note that pursuant to Rules of Procedure of the State Bar of California, rule 3201, you will NOT receive Minimum Continuing Legal Education credit if your attendance at Ethics School or Client Trust Accounting School is required by a Decision or Order of the State Bar Court or Supreme Court.

If your attendance at Ethics School is NOT required by a Decision or Order of the State Bar Court or Supreme Court, you may receive six (6) hours of Minimum Continuing Legal Education credit upon successful completion of the class. If your attendance at CTA School is NOT required by a Decision or Order of the State Bar Court or Supreme Court, you may receive three (3) hours of Minimum Continuing Legal Education credit upon successful completion of the class.

An application form and a schedule of classes are enclosed for your convenience. Fees **MUST** be submitted with the application in order to secure a seat in the class. Classes for some dates may fill up quickly. Payment for classes must be in the form of a personal check, money order or cashier's check. **CASH PAYMENTS WILL NOT BE ACCEPTED.**

Please indicate on the application form, by checking the appropriate space, whether you are attending the class as a result of a Decision of the Court after a hearing; as a result of a stipulated disposition; pursuant to an Agreement in Lieu of Discipline; voluntarily by letter agreement with the Office of Chief Trial Counsel or the Committee of Bar Examiners for Bar applicants, or voluntarily for some other reason.

If you have a question about probation, please direct your inquiries in writing to the State Bar of California, Attention: Office of Probation, 845 S. Figueroa Street, Los Angeles, CA 90017-2515.

OFFICE OF THE CHIEF TRIAL COUNSEL

Letty Ramos
Administrative Secretary

Enc.

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Revised 10/28/14



THE STATE BAR
OF CALIFORNIA

OFFICE OF THE CHIEF TRIAL COUNSEL
ENFORCEMENT

845 S. FIGUEROA STREET, LOS ANGELES, CA 90017-2515

TELEPHONE: (213) 765-1309
FAX: (213) 765-1029
TDD: (213) 765-1566
<http://www.calbar.ca.gov>

State Bar of California Ethics/CTA School Enrollment Form

DATE: _____

APPLICANT'S NAME: _____ SBN: _____

APPLICANT'S ADDRESS: _____

CITY, STATE, ZIP: _____

PHONE: _____ FAX: _____

E-MAIL ADDRESS: _____

LOS ANGELES
845 South Figueroa Street
Los Angeles, CA 90017-2515

SAN FRANCISCO
180 Howard Street
San Francisco, CA 94105

ETHICS (\$150) DATE OF CLASS: _____ LOCATION (LA OR SF): _____
CTA (\$100) DATE OF CLASS: _____ LOCATION (LA OR SF): _____

Return completed Application Enrollment Form with personal check, money order or cashier's check made payable to the State Bar of California, 845 S. Figueroa Street, Los Angeles, CA, 90017-2515, attention: Letty Ramos, Office of the Chief Trial Counsel. Upon receipt of your application and payment, a confirming reservation letter will be mailed to you. If you have any questions, please contact Letty Ramos at (213) 765-1309 or by fax at (213) 765-1029.

Please indicate below the reason for your attendance:

- Supreme Court Order/State Bar Court Decision after hearing requiring attendance
- Supreme Court Order/State Bar Court Order following stipulated disposition requiring attendance
- Agreement in Lieu of Discipline
- Voluntary Agreement with the Office of the Chief Trial Counsel
- State Bar Applicant for Admission
- Voluntarily

You can register for Ethics School and/or Client Trust Accounting School by: 1) mailing application form with payment to the address on the form; or 2) going online at www.calbar.ca.gov: Home > Attorneys > Lawyer Regulation > Ethics Schools, go to end of section and click on Register Now, or click on Class Schedule and Registration. You are **NOT** registered until your payment is received. If you have any questions, please contact Letty Ramos at (213) 765-1309.

Revised 10/28/14

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This document to which this certificate is attached,
is a full, true and correct copy of the original on
file and of record in the Office of Probation.



Attest August 15, 2016

By M.A. Kanterakis

Michael Angelo Kanterakis
State Bar of California, Office of Probation
Los Angeles, California

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**THE STATE BAR
OF CALIFORNIA**

845 SOUTH FIGUEROA STREET, LOS ANGELES, CALIFORNIA 90017-2515

OFFICE OF PROBATION

Terrie Goldade, Supervising Attorney (213) 765-1494

TELEPHONE: (213) 765-1000

FAX: (213) 765-1439

<http://www.calbar.ca.gov>

Michael Angelo Kanterakis: (213) 765-1410

Michael.Kanterakis@calbar.ca.gov

**OFFICE OF PROBATION
ADDRESS VERIFIED**

August 15, 2016

BY: _____

August 15, 2016

Charles Leroy Dupree IV
638 Craig Ave
Sonoma, CA 95476

In re: **S226728**

In the Matter of **Charles Leroy Dupree IV**

Dear Charles Leroy Dupree IV:

As you are aware, you were ordered to comply with specific terms and conditions of your probation imposed by the Supreme Court which became effective October 30, 2015.

The following chart summarizes your compliance and non-compliance with the conditions of your probation to date:

Condition	Due Date	Completion Date	Comments
Schedule Req'd. Mtg.	November 29, 2015	November 23, 2015	
Hold Required Mtg.	As Scheduled	November 24, 2015	
Quarterly Reports	January 10, 2016 April 10, 2016 July 10, 2016		No Report Received. No Report Received. No Report Received.
UCPM Reports	January 10, 2016 April 10, 2016 July 10, 2016		No Report Received. No Report Received. No Report Received.
Ethics School	October 30, 2016		Not yet due.
MPRE	October 30, 2016		Not yet due.

You are not in compliance with the terms and conditions of your probation, and you face a non-compliance referral which may result in the imposition of additional discipline and attendant costs (see attached). Even if you contact the Office of Probation, a referral may still be prepared. The Office of Probation will not send any further reminder letters regarding the aforementioned non-compliance or any future compliance due dates or lack of receipt of compliance documentation.

Charles Leroy Dupree IV
August 15, 2016
Page 2

Please be reminded that LATE completion, submission, or filing of proof/documents, does not mean you are in compliance. You will never be in compliance because being even one day late means that you are not in compliance with the terms and conditions of your probation.

If for any reason, you cannot *timely* comply with the terms and conditions of the discipline imposed, and to avoid a non-compliance referral, *you must* file a motion with the State Bar Court. See rules 5.162 and 5.300, et seq., Rules of Procedure of the State Bar of California. A copy of the motion must be served upon the Office of Probation. *The Office of Probation does not have the authority to extend compliance due dates or modify the terms and conditions of your probation.*

Please note that even if you are referred, you are **STILL REQUIRED TO TIMELY COMPLY** with all probation conditions in this matter. Additional violations may be subject to a separate non-compliance referral. If you have any questions regarding this matter, please contact me at Michael.Kanterakis@calbar.ca.gov.

Sincerely,



Michael Angelo Kanterakis
Probation Deputy

/mak

Enclosure(s)

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Discipline Costs – 2016

Effective January 1, 2016.

Pursuant to action by the State Bar's governing board in January 2011 and May 2012, the costs assessed for disciplinary matters are adjusted annually to account for changes in labor and other resource costs. The adjustment is calculated by combining 40% of the year-on-year percentage change in the Consumer Price Index¹ with 60% of the annual percentage change in the Employment Cost Index for Management, Professional and Related Occupations². For 2016, the adjustment is an increase of 2.39%.

For matters filed on or after January 1, 2016, the costs assessed are as follows:

Original Proceedings (Stage at which the matter settles)	Cost Assessment
Matters that go in Default	\$4,557
Matters that Settle Prior to Filing of a Notice of Disciplinary Charges	\$3,139
Matters that Settle during first 120 days of proceeding	\$3,669
Matters that Settle before Pretrial Statement is filed	\$5,816
Matters that Settle before trial but after Pretrial Statement is filed	\$7,609
Matters that proceed to a One-day trial	\$7,609
Matters that proceed to a Multi-day trial	\$17,159
Matters that proceed to the Review Department	\$20,989

Conviction Referrals (Stage at which the matter settles)	Cost Assessment
Matters that go into Default	\$3,075
Matters that Settle during the first 120 days of proceeding	\$2,567
Matters that Settle before Pretrial Statement is filed	\$5,507
Matters that Settle before trial but after Pretrial Statement is filed	\$7,228
Matters that proceed to a One-day trial	\$7,228
Matters that proceed into a Multi-day trial	\$13,162
Matters that proceed to the Review Department	\$18,814

Other Matters	Cost Assessment
Probation Revocation Proceedings	\$2,459
Rule 9.20 Proceedings	\$2,610

Additional Costs (as appropriate)	Cost Assessment
Each investigation matter over one	\$1,001
Each resignation	\$140
Consolidation cost equal to the minimum cost for the consolidated case type	
Transcript costs incurred by the Office of the Chief Trial Counsel (BPC § 6086.10(b)(1))	
Taxable costs incurred by the Office of the Chief Trial Counsel (BPC § 6086.10(b)(2))	

¹ Specifically, the December-to-December change in U.S. Bureau of Labor Statistics series CUURA422SA0.

² Specifically, the Q4-to-Q4 change in U.S. Bureau of Labor Statistics series CIU2010000100000I.

This document to which this certificate is attached,
is a full, true and correct copy of the original on
file and of record in the Office of Probation.



Attest August 15, 2016

By M.A. Kanterakis

Michael Angelo Kanterakis
State Bar of California, Office of Probation
Los Angeles, California

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