


State Bar Court of California		ORIGINAL
Hearing Department		
Los Angeles		
REPROVAL		
Counsel for the State Bar Shataka Shores-Brooks Senior Trial Counsel 845 S. Figueroa Street Los Angeles, CA 90017 213-765-1091 Bar # 240392	Case Number(s): 17-O-00956	For Court use only FILED <i>P.S.</i> SEP 18 2018 STATE BAR COURT CLERK'S OFFICE LOS ANGELES
Counsel For Respondent Carole J. Buckner 525 B Street, Ste 2200 San Diego, CA 92101 619-906-5614 Bar # 116267	kwiktag® 241 070 143 	
In the Matter of: REBECCA LOO Bar # 232159 A Member of the State Bar of California (Respondent)	Submitted to: Settlement Judge STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING PUBLIC REPROVAL <input type="checkbox"/> PREVIOUS STIPULATION REJECTED	

Note: All information required by this form and any additional information which cannot be provided in the space provided, must be set forth in an attachment to this stipulation under specific headings, e.g., "Facts," "Dismissals," "Conclusions of Law," "Supporting Authority," etc.

A. Parties' Acknowledgments:

- (1) Respondent is a member of the State Bar of California, admitted **October 11, 2004**.
- (2) The parties agree to be bound by the factual stipulations contained herein even if conclusions of law or disposition are rejected or changed by the Supreme Court.
- (3) All investigations or proceedings listed by case number in the caption of this stipulation are entirely resolved by this stipulation and are deemed consolidated. Dismissed charge(s)/count(s) are listed under "Dismissals." The stipulation consists of **12** pages, not including the order.
- (4) A statement of acts or omissions acknowledged by Respondent as cause or causes for discipline is included under "Facts."

(Do not write above this line.)

- (5) Conclusions of law, drawn from and specifically referring to the facts are also included under "Conclusions of Law."
- (6) The parties must include supporting authority for the recommended level of discipline under the heading "Supporting Authority."
- (7) No more than 30 days prior to the filing of this stipulation, Respondent has been advised in writing of any pending investigation/proceeding not resolved by this stipulation, except for criminal investigations.
- (8) Payment of Disciplinary Costs—Respondent acknowledges the provisions of Bus. & Prof. Code §§6086.10 & 6140.7. (Check one option only):
- ☒ It is ordered that costs be awarded to the State Bar in accordance with Business and Professions Code section 6086.10, and are enforceable both as provided in Business and Professions Code section 6140.7 and as a money judgment.
- ☐ Case ineligible for costs (private reproof).
- ☐ It is ordered that costs be awarded to the State Bar in accordance with Business and Professions Code section 6086.10 and are enforceable both as provided in Business and Professions Code section 6140.7 and as a money judgment. SELECT ONE of the costs must be paid with Respondent's membership fees for each of the following years:
- If Respondent fails to pay any installment as described above, or as may be modified in writing by the State Bar or the State Bar Court, the remaining balance will be due and payable immediately.
- ☐ Costs are waived in part as set forth in a separate attachment entitled "Partial Waiver of Costs."
- ☐ Costs are entirely waived.
- (9) The parties understand that:
- (a) ☐ A private reproof imposed on a Respondent as a result of a stipulation approved by the Court prior to initiation of a State Bar Court proceeding is part of the Respondent's official State Bar membership records, but is not disclosed in response to public inquiries and is not reported on the State Bar's web page. The record of the proceeding in which such a private reproof was imposed is not available to the public except as part of the record of any subsequent proceeding in which it is introduced as evidence of a prior record of discipline under the Rules of Procedure of the State Bar.
- (b) ☐ A private reproof imposed on a Respondent after initiation of a State Bar Court proceeding is part of the Respondent's official State Bar membership records, is disclosed in response to public inquiries and is reported as a record of public discipline on the State Bar's web page.
- (c) ☒ A public reproof imposed on a Respondent is publicly available as part of the Respondent's official State Bar membership records, is disclosed in response to public inquiries and is reported as a record of public discipline on the State Bar's web page.

B. Aggravating Circumstances [Standards for Attorney Sanctions for Professional Misconduct, standards 1.2(h) & 1.5]. Facts supporting aggravating circumstances are required.

- (1) ☐ Prior record of discipline:
- (a) ☐ State Bar Court case # of prior case:

(Do not write above this line.)

- (b) ☐ Date prior discipline effective:
 - (c) ☐ Rules of Professional Conduct/ State Bar Act violations:
 - (d) ☐ Degree of prior discipline:
 - (e) ☐ If Respondent has two or more incidents of prior discipline, use space provided below.
-
- (2) ☐ **Intentional/Bad Faith/Dishonesty:** Respondent's misconduct was dishonest, intentional, or surrounded by, or followed by bad faith.
 - (3) ☐ **Misrepresentation:** Respondent's misconduct was surrounded by, or followed by misrepresentation.
 - (4) ☐ **Concealment:** Respondent's misconduct was surrounded by, or followed by concealment.
 - (5) ☐ **Overreaching:** Respondent's misconduct was surrounded by, or followed by overreaching.
 - (6) ☐ **Uncharged Violations:** Respondent's conduct involves uncharged violations of the Business and Professions Code or the Rules of Professional Conduct.
 - (7) ☐ **Trust Violation:** Trust funds or property were involved and Respondent refused or was unable to account to the client or person who was the object of the misconduct for improper conduct toward said funds or property.
 - (8) ☒ **Harm:** Respondent's misconduct harmed significantly a client, the public, or the administration of justice. **see page 9.**
 - (9) ☐ **Indifference:** Respondent demonstrated indifference toward rectification of or atonement for the consequences of Respondent's misconduct.
 - (10) ☐ **Candor/Lack of Cooperation:** Respondent displayed a lack of candor and cooperation to victims of Respondent's misconduct, or to the State Bar during disciplinary investigations or proceedings.
 - (11) ☒ **Multiple Acts:** Respondent's current misconduct evidences multiple acts of wrongdoing. **see page 9.**
 - (12) ☐ **Pattern:** Respondent's current misconduct demonstrates a pattern of misconduct.
 - (13) ☐ **Restitution:** Respondent failed to make restitution.
 - (14) ☐ **Vulnerable Victim:** The victim(s) of Respondent's misconduct was/were highly vulnerable.
 - (15) ☐ **No aggravating circumstances** are involved.

Additional aggravating circumstances:

C. Mitigating Circumstances [Standards 1.2(i) & 1.6]. Facts supporting mitigating circumstances are required.

- (1) ☐ **No Prior Discipline:** Respondent has no prior record of discipline over many years of practice coupled with present misconduct which is not likely to recur.

(Do not write above this line.)

- (2) ☐ **No Harm:** Respondent did not harm the client, the public, or the administration of justice.
- (3) ☐ **Candor/Cooperation:** Respondent displayed spontaneous candor and cooperation with the victims of Respondent's misconduct or to the State Bar during disciplinary investigation and proceedings.
- (4) ☐ **Remorse:** Respondent promptly took objective steps demonstrating spontaneous remorse and recognition of the wrongdoing, which steps were designed to timely atone for any consequences of Respondent's misconduct.
- (5) ☐ **Restitution:** Respondent paid \$ _____ on _____ in restitution to _____ without the threat or force of disciplinary, civil or criminal proceedings.
- (6) ☐ **Delay:** These disciplinary proceedings were excessively delayed. The delay is not attributable to Respondent and the delay prejudiced Respondent.
- (7) ☐ **Good Faith:** Respondent acted with a good faith belief that was honestly held and objectively reasonable.
- (8) ☐ **Emotional/Physical Difficulties:** At the time of the stipulated act or acts of professional misconduct, Respondent suffered extreme emotional difficulties or physical or mental disabilities which expert testimony would establish was directly responsible for the misconduct. The difficulties or disabilities were not the product of any illegal conduct by Respondent, such as illegal drug or substance abuse, and the difficulties or disabilities no longer pose a risk that Respondent will commit misconduct.
- (9) ☐ **Severe Financial Stress:** At the time of the misconduct, Respondent suffered from severe financial stress which resulted from circumstances not reasonably foreseeable or which were beyond Respondent's control and which were directly responsible for the misconduct.
- (10) ☐ **Family Problems:** At the time of the misconduct, Respondent suffered extreme difficulties in Respondent's personal life which were other than emotional or physical in nature.
- (11) ☒ **Good Character:** Respondent's extraordinarily good character is attested to by a wide range of references in the legal and general communities who are aware of the full extent of Respondent's misconduct. **see page 9.**
- (12) ☐ **Rehabilitation:** Considerable time has passed since the acts of professional misconduct occurred followed by subsequent rehabilitation.
- (13) ☐ **No mitigating circumstances** are involved.

Additional mitigating circumstances:

No prior record of discipline, see page 9.

Prefiling stipulation, see page 10.

D. Discipline:

Discipline – Reproval

Respondent is **Publicly** reprovled. Pursuant to the provisions of rule 5.127(A) of the Rules of Procedure of the State Bar, this reprovial will be effective when this stipulation becomes final. Furthermore, pursuant to rule 9.19(a) of the California Rules of Court and rule 5.128 of the Rules of Procedure, the court finds that the protection of the public and the interests of Respondent will be served by the following conditions being attached to this reprovial. Failure to comply with any condition attached to this reprovial may constitute cause for

a separate disciplinary proceeding for willful breach of rule 1-110 of the State Bar Rules of Professional Conduct. Respondent is ordered to comply with the following conditions attached to this reproof for (Reproof Conditions Period) following the effective date of the reproof.

- (1) ☒ **Review Rules of Professional Conduct:** Within 30 days after the effective date of the order imposing discipline in this matter, Respondent must (1) read the California Rules of Professional Conduct (Rules of Professional Conduct) and Business and Professions Code sections 6067, 6068, and 6103 through 6126, and (2) provide a declaration, under penalty of perjury, attesting to Respondent's compliance with this requirement, to the State Bar's Office of Probation in Los Angeles (Office of Probation) with Respondent's first quarterly report.
- (2) ☒ **Comply with State Bar Act, Rules of Professional Conduct, and Reproof Conditions:** Respondent must comply with the provisions of the State Bar Act, the Rules of Professional Conduct, and all conditions of Respondent's reproof.
- (3) ☒ **Maintain Valid Official Membership Address and Other Required Contact Information:** Within 30 days after the effective date of the order imposing discipline in this matter, Respondent must make certain that the State Bar Attorney Regulation and Consumer Resources Office (ARCR) has Respondent's current office address, email address, and telephone number. If Respondent does not maintain an office, Respondent must provide the mailing address, email address, and telephone number to be used for State Bar purposes. Respondent must report, in writing, any change in the above information to ARCR within ten (10) days after such change, in the manner required by that office.
- (4) ☒ **Meet and Cooperate with Office of Probation:** Within 30 days after the effective date of the order imposing discipline in this matter, Respondent must schedule a meeting with Respondent's assigned probation case specialist to discuss the terms and conditions of Respondent's discipline and, within 45 days after the effective date of the court's order, must participate in such meeting. Unless otherwise instructed by the Office of Probation, Respondent may meet with the probation case specialist in person or by telephone. During the Reproof Conditions Period, Respondent must promptly meet with representatives of the Office of Probation as requested by it and, subject to the assertion of applicable privileges, must fully, promptly, and truthfully answer any inquiries by it and provide to it any other information requested by it.
- (5) ☒ **State Bar Court Retains Jurisdiction/Appeal Before and Cooperate with State Bar Court:** During Respondent's Reproof Conditions Period, the State Bar Court retains jurisdiction over Respondent to address issues concerning compliance with reproof conditions. During this period, Respondent must appear before the State Bar Court as required by the court or by the Office of Probation after written notice mailed to Respondent's official membership address, as provided above. Subject to the assertion of applicable privileges, Respondent must fully, promptly, and truthfully answer any inquiries by the court and must provide any other information the court requests.
- (6) ☒ **Quarterly and Final Reports:**
 - a. **Deadlines for Reports.** Respondent must submit written quarterly reports to the Office of Probation no later than each January 10 (covering October 1 through December 31 of the prior year), April 10 (covering January 1 through March 31), July 10 (covering April 1 through June 30), and October 10 (covering July 1 through September 30) within the Reproof Conditions Period. If the first report would cover less than 30 days, that report must be submitted on the next quarter date and cover the extended deadline. In addition to all quarterly reports, Respondent must submit a final report no earlier than ten (10) days before the last day of the Reproof Conditions Period and no later than the last day of the Reproof Conditions Period.

- b. **Contents of Reports.** Respondent must answer, under penalty of perjury, all inquiries contained in the quarterly report form provided by the Office of Probation, including stating whether Respondent has complied with the State Bar Act and the Rules of Professional Conduct during the applicable quarter or period. All reports must be: (1) submitted on the form provided by the Office of Probation; (2) signed and dated after the completion of the period for which the report is being submitted (except for the final report); (3) filled out completely and signed under penalty of perjury; and (4) submitted to the Office of Probation on or before each report's due date.
- c. **Submission of Reports.** All reports must be submitted by: (1) fax or email to the Office of Probation; (2) personal delivery to the Office of Probation; (3) certified mail, return receipt requested, to the Office of Probation (postmarked on or before the due date); or (4) other tracked-service provider, such as Federal Express or United Parcel Service, etc. (physically delivered to such provider on or before the due date).
- d. **Proof of Compliance.** Respondent is directed to maintain proof of Respondent's compliance with the above requirements for each such report for a minimum of one year after the Repeal Conditions Period has ended. Respondent is required to present such proof upon request by the State Bar, the Office of Probation, or the State Bar Court.
- (7) ☒ **State Bar Ethics School:** Within one year after the effective date of the order imposing discipline in this matter, Respondent must submit to the Office of Probation satisfactory evidence of completion of the State Bar Ethics School and passage of the test given at the end of that session. This requirement is separate from any Minimum Continuing Legal Education (MCLE) requirement, and Respondent will not receive MCLE credit for attending this session.
- (8) ☐ **State Bar Ethics School Not Recommended:** It is not recommended that Respondent be ordered to attend the State Bar Ethics School because
- (9) ☒ **State Bar Client Trust Accounting School:** Within one year after the effective date of the order imposing discipline in this matter, Respondent must submit to the Office of Probation satisfactory evidence of completion of the State Bar Client Trust Accounting School and passage of the test given at the end of that session. This requirement is separate from any Minimum Continuing Legal Education (MCLE) requirement, and Respondent will not receive MCLE credit for attending this session.
- (10) ☐ **Minimum Continuing Legal Education (MCLE) Courses – California Legal Ethics [Alternative to State Bar Ethics School for Out-of-State Residents]:** Because Respondent resides outside of California, within _____ after the effective date of the order imposing discipline in this matter, Respondent must either submit to the Office of Probation satisfactory evidence of completion of the State Bar Ethics School and passage of the test given at the end of that session or, in the alternative, complete _____ hours of California Minimum Continuing Legal Education-approved participatory activity in California legal ethics and provide proof of such completion to the Office of Probation. This requirement is separate from any MCLE requirement, and Respondent will not receive MCLE credit for this activity.
- (11) ☐ **Criminal Probation:** Respondent must comply with all probation conditions imposed in the underlying criminal matter and must report such compliance under penalty of perjury in all quarterly and final reports submitted to the Office of Probation covering any portion of the period of the criminal probation. In each quarterly and final report, if Respondent has an assigned criminal probation officer, Respondent must provide the name and current contact information for that criminal probation officer. If the criminal probation was successfully completed during the period covered by a quarterly or final report, that fact must be reported by Respondent in such report and satisfactory evidence of such fact must be provided with it. If, at any time before or during the Repeal Conditions Period, Respondent's criminal probation is revoked, Respondent is sanctioned by the criminal court, or Respondent's status is otherwise changed due to any alleged violation of the criminal probation conditions by Respondent, Respondent must submit the criminal court records regarding any such action with Respondent's next quarterly or final report.

(Do not write above this line.)

- (12) ☐ **Minimum Continuing Legal Education (MCLE):** Within _____ after the effective date of the order imposing discipline in this matter, Respondent must complete _____ hour(s) of California Minimum Continuing Legal Education-approved participatory activity in **SELECT ONE** _____ and must provide proof of such completion to the Office of Probation. This requirement is separate from any MCLE requirement, and Respondent will not receive MCLE credit for this activity.
- (13) ☐ **Other:** Respondent must also comply with the following additional reprobation conditions:
- (14) ☒ **Multistate Professional Responsibility Examination Within One Year:** It is further ordered that Respondent be ordered to take and pass the Multistate Professional Responsibility Examination administered by the National Conference of Bar Examiners within one year after the effective date of the order imposing discipline in this matter and to provide satisfactory proof of such passage to the State Bar's Office of Probation within the same period. Failure to do so may result in suspension. (Cal. Rules of Court, rule 9.10(b).)
- (15) ☐ **The following conditions are attached hereto and incorporated:**
- ☐ Financial Conditions ☐ Medical Conditions
- ☐ Substance Abuse Conditions

ATTACHMENT TO
STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION

IN THE MATTER OF: REBECCA LOO

CASE NUMBER: 17-O-00956

FACTS AND CONCLUSIONS OF LAW.

Respondent admits that the following facts are true and that he is culpable of violations of the specified statutes and/or Rules of Professional Conduct.

Case No. 17-O-00956 (Complainants: David Lai, Michelle Lai, and Nelson Lee)

FACTS:

1. On June 9, 2015, David Lai, Michelle Lai, and Nelson Lee (collectively "Complainants"), hired respondent to represent them as trustees to the Golden Dragon and White Tiger trusts.
2. The Golden Dragon and White Tiger trusts were created by the parents of David Lai and Michelle Lai.
3. The Golden Dragon Trust was joined in the marital dissolution of *Shui-Fong Lai vs. Alfred Lai*, Los Angeles County Superior Court case no. BD552475, as community property.
4. On June 25, 2015, Complainants paid respondent \$5,000 for her representation.
5. On July 6, 2015, David Lai and Nelson Lee faxed a letter dated July 3, 2015, to respondent terminating her services, requesting an accounting and the return of unearned fees.
6. Respondent did not respond to the termination letter dated July 3, 2015.
7. Respondent did not provide Complainants with an accounting, did not return the client file, and did not return unearned fees.
8. Respondent discovered an error in her accounting in 2017 after the State Bar initiated an investigation.
9. On July 10, 2015, David Lai sent an email to respondent to confirm that respondent received the termination letter dated July 3, 2015.
10. Respondent did not respond to David Lai's July 10, 2015 email.
11. At the time of the termination, respondent had \$5,000 in unearned fees.
12. At the time of termination, respondent did not provide Complainants with a refund of the unearned fees.

13. On October 25, 2017, David Lai sent a letter to respondent requesting the return of the client file, an accounting, and the return of unearned fees.

14. On April 21, 2018, respondent returned the unearned fees and provided her clients with a final accounting.

15. On May 22, 2018, respondent returned the entire client file to David Lai, including the conservatorship matter, Los Angeles Superior Court case number BP159825.

CONCLUSIONS OF LAW:

16. By failing to refund promptly \$5000 of the advanced fees to the clients, upon respondent's termination of employment on July 6, 2015, respondent failed to promptly return unearned fees in willful violation of Rules of Professional Conduct, rule 3-700(D)(2).

17. By failing to render an appropriate accounting to the clients of the \$5,000 in advanced fees for legal services to be performed upon respondent's termination on July 6, 2015, respondent failed to account in willful violation of Rules of Professional Conduct, rule 4-100(B)(3).

18. By failing to promptly return the clients' file after termination of respondent's employment on July 6, 2015, following the clients' request for the clients' file on October 25, 2017, respondent failed to promptly release the clients' file in willful violation of Rules of Professional Conduct, rule 3-700(D)(1).

AGGRAVATING CIRCUMSTANCES.

Multiple Acts of Misconduct (Std. 1.5(b)): Respondent's failure to promptly return the client file, failure to promptly refund unearned fees, and failure to account evidences multiple acts of misconduct. (*In the Matter of Elkins* (Review Dept. 2009) 5 Cal. State Bar Ct. Rptr. 160, 168.)

Harm to the client (Std. 1.5 (j)): Respondent caused harm to her clients by failing to return the unearned fees. Due to respondent's failure to return unearned fees, respondent deprived her clients of their \$5,000 in unearned fees for more than two years.

MITIGATING CIRCUMSTANCES.

Good Character (Std. 1.6 (a)): Respondent has provided evidence of seven individuals willing to attest to her good character, including three attorneys, two family members, one friend, one judge, and the Consul General of Panama. Each have known respondent for significant periods of time, are aware of the full extent of the misconduct, and attested to their belief in respondent's good character, her ability as an attorney and her remorse concerning the misconduct.

No Prior Record of Discipline: Respondent was admitted to practice law October 11, 2004 and has remained active at all times since. Respondent had been discipline-free for 10 years of practice from admission to the start of the misconduct in June 2015. Respondent's 10 years of discipline free practice should be given significant weight. (*Hawes v. State Bar* (1990) 51 Cal. 3d 587, 596.) [attorney's practice of law for over 10 years given significant weight in mitigation].)

Prefiling Stipulation: By entering into this stipulation, respondent has acknowledged misconduct and is entitled to mitigation for recognition of wrongdoing and saving the State Bar significant resources and time. (*Silva-Vidor v. State Bar* (1989) 49 Cal.3d 1071, 1079 [where mitigative credit was given for entering into a stipulation as to facts and culpability]; *In the Matter of Spaith* (Review Dept. 1996) 3 Cal. State Bar Ct. Rptr. 511, 521 [where the attorney's stipulation to facts and culpability was held to be a mitigating circumstance].)

AUTHORITIES SUPPORTING DISCIPLINE.

The Standards for Attorney Sanctions for Professional Misconduct “set forth a means for determining the appropriate disciplinary sanction in a particular case and to ensure consistency across cases dealing with similar misconduct and surrounding circumstances.” (Rules Proc. of State Bar, tit. IV, Stds. for Atty. Sanctions for Prof. Misconduct, std. 1.1. All further references to standards are to this source.) The standards help fulfill the primary purposes of discipline, which include: protection of the public, the courts and the legal profession; maintenance of the highest professional standards; and preservation of public confidence in the legal profession. (See std. 1.1; *In re Morse* (1995) 11 Cal.4th 184, 205.)

Although not binding, the standards are entitled to “great weight” and should be followed “whenever possible” in determining level of discipline. (*In re Silvertown* (2005) 36 Cal.4th 81, 92, quoting *In re Brown* (1995) 12 Cal.4th 205, 220 and *In re Young* (1989) 49 Cal.3d 257, 267, fn. 11.) Adherence to the standards in the great majority of cases serves the valuable purpose of eliminating disparity and assuring consistency, that is, the imposition of similar attorney discipline for instances of similar attorney misconduct. (*In re Naney* (1990) 51 Cal.3d 186, 190.) If a recommendation is at the high end or low end of a standard, an explanation must be given as to how the recommendation was reached. (Std. 1.1.) “Any disciplinary recommendation that deviates from the Standards must include clear reasons for the departure.” (Std. 1.1; *Blair v. State Bar* (1989) 49 Cal.3d 762, 776, fn. 5.)

In determining whether to impose a sanction greater or less than that specified in a given standard, in addition to the factors set forth in the specific standard, consideration is to be given to the primary purposes of discipline; the balancing of all aggravating and mitigating circumstances; the type of misconduct at issue; whether the client, public, legal system or profession was harmed; and the member’s willingness and ability to conform to ethical responsibilities in the future. (Stds. 1.7(b) and (c).)

In this matter, respondent admits to committing three acts of professional misconduct. Standard 1.7(a) requires that where a respondent “commits two or more acts of misconduct and the Standards specify different sanctions for each act, the most severe sanction must be imposed.”

Standard 2.2(b) states:

Suspension or reproof is the presumed sanction for a violation of rule 4-100 not involving commingling.

Standard 2.7(c) states:

Suspension or reproof is the presumed sanction for performance, communication, or withdrawal violations, which are limited in scope or time. The degree of sanction depends on the extent of the misconduct and the degree of harm to the client or clients.

Here, respondent failed to account, failed to return unearned fees, and failed to return the client file after her services were terminated. Respondent did not provide Complainants with an accounting, did not return the unearned fees, and did not return the client file for two years. Respondent is entitled to significant mitigation for 10 years of discipline free practice. Respondent is also entitled to mitigation for entering into a pre-filing stipulation and good character. Respondent's misconduct is aggravated by multiple acts of misconduct and harm to her clients. Respondent's significant mitigation for her 10 years of discipline free practice, good character, and pre-filing stipulation outweigh the harm to the client and multiple acts of misconduct.

Given the misconduct and the facts and circumstances surrounding the misconduct, discipline at the low end of the range discussed in Standards 2.2(b) and 2.7(c), public reproof, is sufficient to achieve the purposes of discipline expressed in Standard 1.1, including protection of the public. Accordingly, a public reproof is appropriate.

The Standards and case law support this result. In *In the Matter of Hanson* (Review Dept. 1994) 2 Cal. State Bar Ct. Rptr. 703, the attorney was found culpable of one count of failure to return unearned fees in a single client matter. The court found no mitigating factors and gave minimum weight in aggravation for the attorney's 17-year-old private reproof. The court imposed a public reproof.

In the instant matter, respondent's misconduct is similar to the misconduct in *Hanson* and the level of discipline should be similar to the level of discipline in that case. Although respondent committed more acts of misconduct than the attorney in *Hanson*, respondent does not have a prior record of discipline and has additional mitigating factors of good character and entering into a pre-filing stipulation.

EXCLUSION FROM MINIMUM CONTINUING LEGAL EDUCATION ("MCLE") CREDIT


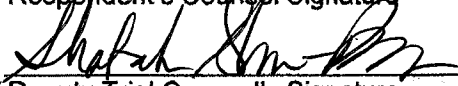
Respondent may not receive MCLE credit for completion of State Bar Ethics School or State Bar Client Trust Accounting School. (Rules Proc. of State Bar, rule 3201.)

(Do not write above this line.)

In the Matter of: REBECCA LOO	Case Number(s): 17-O-00956
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SIGNATURE OF THE PARTIES

By their signatures below, the parties and their counsel, as applicable, signify their agreement with each of the recitations and each of the terms and conditions of this Stipulation Re Facts, Conclusions of Law, and Disposition.

<u>8-29-18</u> Date	 Respondent's Signature	<u>Rebecca Loo</u> Print Name
<u> </u> Date	<u>See attached</u> Respondent's Counsel Signature	<u>Carole J. Buckner</u> Print Name
<u>9/10/18</u> Date	 Deputy Trial Counsel's Signature	<u>Shataka Shores-Brooks</u> Print Name

(Do not write above this line.)

In the Matter of:
REBECCA LOO

Case Number(s):
17-O-00956

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8-29-18

Date



Respondent's Signature

Rebecca Loo

Print Name

8-29-18

Date



Respondent's Counsel Signature

Carole J. Buckner

Print Name

Date

Deputy Trial Counsel's Signature

Shataka Shores-Brooks

Print Name

(Do not write above this line.)

In the Matter of: REBECCA LOO	Case Number(s): 17-O-00956
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REPROVAL ORDER

Finding that the stipulation protects the public and that the interests of Respondent will be served by any conditions attached to the reproof, IT IS ORDERED that the requested dismissal of counts/charges, if any, is GRANTED without prejudice, and:

- ☐ The stipulated facts and disposition are APPROVED AND THE REPROVAL IMPOSED.
- ☒ The stipulated facts and disposition are APPROVED AS MODIFIED as set forth below, and the REPROVAL IMPOSED.
- ☐ All court dates in the Hearing Department are vacated.
1. On page 1 of the Stipulation, at paragraph A.(3), line 3, "12 pages" is deleted, and in its place is inserted "13 pages".
 2. On page 5 of the Stipulation, at the top of the page, line 3, "one year" is inserted before "(Reproof Conditions Period)".
 3. On page 11 of the Stipulation, first non-indented paragraph, "Respondent did not provide Complainants with an accounting, did not return the unearned fees, and did not return the client file for two years" is deleted. In its place is inserted, "Respondent did not provide Complainants with an accounting or return the unearned fees for over two years and did not return the client file for over seven months after her client's request for the file."

The parties are bound by the stipulation as approved unless: 1) a motion to withdraw or modify the stipulation, filed within 15 days after service of this order, is granted; or 2) this court modifies or further modifies the approved stipulation. (See Rules Proc. of State Bar, rule 5.58(E) & (F).) **Otherwise the stipulation shall be effective 15 days after service of this order.**

Failure to comply with any conditions attached to this reproof may constitute cause for a separate proceeding for willful breach of rule 1-110, Rules of Professional Conduct.

September 18, 2018
Date

Rebecca Meyer Rosenberg
REBECCA MEYER ROSENBERG, JUDGE PRO TEM
Judge Pro Tem of the State Bar Court

CERTIFICATE OF SERVICE

[Rules Proc. of State Bar; Rule 5.27(B); Code Civ. Proc., § 1013a(4)]

I am a Court Specialist of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of Los Angeles, on September 18, 2018, I deposited a true copy of the following document(s):

STIPULAITON RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER
APPROVING

in a sealed envelope for collection and mailing on that date as follows:

- ☒ by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at Los Angeles, California, addressed as follows:

CAROLE JOANN BUCKNER
PROCOPIO, CORY, HARGREAVES & SAVITCH
LLP
525 B ST
STE 2200
SAN DIEGO, CA 92101 - 4474

- ☒ by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

SHATAKA A. SHORES-BROOKS, Enforcement, Los Angeles

I hereby certify that the foregoing is true and correct. Executed in Los Angeles, California, on September 18, 2018.



Paul Songco
Court Specialist
State Bar Court