State Bar Court of California					
	Hearing Department Los Angeles ACTUAL SUSPENSION	ORIGINAL			
Counsel for the State Bar	Case Number(s): 17-0-06470	For Court use only			
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Los Angeles, CA 90017 (213) 765-1204		P.S.			
		AUG -6 2018			
Bar # <b>244350</b>		STATE BAR COURT CLERK'S OFFICE			
In Pro Per Respondent		LOS ANGELES			
Wayne Suojanen 26895 Aliso Creek Ste. B-440 Aliso Viejo, CA 92656		PUBLIC MATTER			
(310) 722-5748	Submitted to: Settlement Judge				
Bar # 193627	STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING				
In the Matter of: WAYNE WILLIAM SUOJANEN	ACTUAL SUSPENSION				
Bar # <b>193627</b>		ON REJECTED			
A Member of the State Bar of California (Respondent)					

Note: All information required by this form and any additional information which cannot be provided in the space provided, must be set forth in an attachment to this stipulation under specific headings, e.g., "Facts," "Dismissals," "Conclusions of Law," "Supporting Authority," etc.

### A. Parties' Acknowledgments:

- (1) Respondent is a member of the State Bar of California, admitted **December 15, 1997**.
- (2) The parties agree to be bound by the factual stipulations contained herein even if conclusions of law or disposition are rejected or changed by the Supreme Court.
- (3) All investigations or proceedings listed by case number in the caption of this stipulation are entirely resolved by this stipulation and are deemed consolidated. Dismissed charge(s)/count(s) are listed under "Dismissals." The stipulation consists of **16** pages, not including the order.
- (4) A statement of acts or omissions acknowledged by Respondent as cause or causes for discipline is included under "Facts."

(Effective July 1, 2018)

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Actual Suspension

- (5) Conclusions of law, drawn from and specifically referring to the facts are also included under "Conclusions of Law."
- (6) The parties must include supporting authority for the recommended level of discipline under the heading "Supporting Authority."
- (7) No more than 30 days prior to the filing of this stipulation, Respondent has been advised in writing of any pending investigation/proceeding not resolved by this stipulation, except for criminal investigations.
- (8) Payment of Disciplinary Costs—Respondent acknowledges the provisions of Bus. & Prof. Code §§6086.10 & 6140.7. It is recommended that (check one option only):
  - Costs be awarded to the State Bar in accordance with Business and Professions Code section 6086.10, and are enforceable both as provided in Business and Professions Code section 6140.7 and as a money judgment. Unless the time for payment of discipline costs is extended pursuant to subdivision (c) of section 6086.10, costs assessed against a member who is actually suspended or disbarred must be paid as a condition of reinstatement or return to active status.
  - Costs be awarded to the State Bar in accordance with Business and Professions Code section 6086.10 and are enforceable both as provided in Business and Professions Code section 6140.7 and as a money judgment. One-third of the costs must be paid with Respondent's membership fees for each of the following years: three billing cycles following the effective date of discipline.

If Respondent fails to pay any installment as described above, or as may be modified in writing by the State Bar or the State Bar Court, the remaining balance will be due and payable immediately.

- Costs are waived in part as set forth in a separate attachment entitled "Partial Waiver of Costs."
- Costs are entirely waived.
- B. Aggravating Circumstances [Standards for Attorney Sanctions for Professional Misconduct, standards 1.2(h) & 1.5]. Facts supporting aggravating circumstances are required.
- (1)  $\square$  Prior record of discipline:
  - (a) State Bar Court case # of prior case: 04-O-15147; 05-O-04615; 07-O-11193. See page 13, and Exhibit 1, 11 pages.
  - (b) Date prior discipline effective: May 27, 2011
  - (c) Rules of Professional Conduct/ State Bar Act violations: Rules of Professional Conduct, rules 3-110(A) and 4-100(A) and Business and Professions Code, sections 6068(a) and 6106.
  - (d) Degree of prior discipline: a two-year period of stayed suspension and a two-year period of probation with conditions including an actual suspension of 60 days.
  - (e) If Respondent has two or more incidents of prior discipline, use space provided below.
- (2) Intentional/Bad Faith/Dishonesty: Respondent's misconduct was dishonest, intentional, or surrounded by, or followed by bad faith.
- (3) **Misrepresentation:** Respondent's misconduct was surrounded by, or followed by, misrepresentation.

- (4) Concealment: Respondent's misconduct was surrounded by, or followed by, concealment.
- (5) Overreaching: Respondent's misconduct was surrounded by, or followed by, overreaching.
- (6) Uncharged Violations: Respondent's conduct involves uncharged violations of the Business and Professions Code, or the Rules of Professional Conduct.
- (7) Trust Violation: Trust funds or property were involved and Respondent refused or was unable to account to the client or person who was the object of the misconduct for improper conduct toward said funds or property.
- (8) Harm: Respondent's misconduct harmed significantly a client, the public, or the administration of justice.
- (9) Indifference: Respondent demonstrated indifference toward rectification of or atonement for the consequences of Respondent's misconduct.
- (10) Candor/Lack of Cooperation: Respondent displayed a lack of candor and cooperation to victims of Respondent's misconduct, or to the State Bar during disciplinary investigations or proceedings.
- (11) Multiple Acts: Respondent's current misconduct evidences multiple acts of wrongdoing. See page 13.
- (12) Dettern: Respondent's current misconduct demonstrates a pattern of misconduct.
- (13) **Restitution:** Respondent failed to make restitution.
- (14) Ulnerable Victim: The victim(s) of Respondent's misconduct was/were highly vulnerable.
- (15) **No aggravating circumstances** are involved.

Additional aggravating circumstances:

# C. Mitigating Circumstances [Standards 1.2(i) & 1.6]. Facts supporting mitigating circumstances are required.

- (1) **No Prior Discipline:** Respondent has no prior record of discipline over many years of practice coupled with present misconduct which is not likely to recur.
- (2) **No Harm:** Respondent did not harm the client, the public, or the administration of justice.
- (3) Candor/Cooperation: Respondent displayed spontaneous candor and cooperation with the victims of Respondent's misconduct or to the State Bar during disciplinary investigations and proceedings.
- (4) Remorse: Respondent promptly took objective steps demonstrating spontaneous remorse and recognition of the wrongdoing, which steps were designed to timely atone for any consequences of Respondent's misconduct.
- (5) **Restitution:** Respondent paid \$ on in restitution to without the threat or force of disciplinary, civil or criminal proceedings.
- (6) Delay: These disciplinary proceedings were excessively delayed. The delay is not attributable to Respondent and the delay prejudiced Respondent.

- (7) Good Faith: Respondent acted with a good faith belief that was honestly held and objectively reasonable.
- (8) Emotional/Physical Difficulties: At the time of the stipulated act or acts of professional misconduct, Respondent suffered extreme emotional difficulties or physical or mental disabilities which expert testimony would establish was directly responsible for the misconduct. The difficulties or disabilities were not the product of any illegal conduct by Respondent, such as illegal drug or substance abuse, and the difficulties or disabilities no longer pose a risk that Respondent will commit misconduct.
- (9) Severe Financial Stress: At the time of the misconduct, Respondent suffered from severe financial stress which resulted from circumstances not reasonably foreseeable or which were beyond Respondent's control and which were directly responsible for the misconduct.
- (10) **Family Problems:** At the time of the misconduct, Respondent suffered extreme difficulties in Respondent's personal life which were other than emotional or physical in nature.
- (11) Good Character: Respondent's extraordinarily good character is attested to by a wide range of references in the legal and general communities who are aware of the full extent of Respondent's misconduct.
- (12) **Rehabilitation:** Considerable time has passed since the acts of professional misconduct occurred followed by convincing proof of subsequent rehabilitation.
- (13) **No mitigating circumstances** are involved.

### Additional mitigating circumstances:

Pre-filing stipulation. See page 13.

### **D. Recommended Discipline:**

(1) 🛛 Actual Suspension:

Respondent is suspended from the practice of law for two (2) years, the execution of that suspension is stayed, and Respondent is placed on probation for three (3) years with the following conditions.

 Respondent must be suspended from the practice of law for the first six (6) months of the period of Respondent's probation.

### (2) Actual Suspension "And Until" Rehabilitation:

Respondent is suspended from the practice of law for , the execution of that suspension is stayed, and Respondent is placed on probation for with the following conditions.

 Respondent must be suspended from the practice of law for a minimum of the first of Respondent's probation and until Respondent provides proof to the State Bar Court of Respondent's rehabilitation, fitness to practice, and present learning and ability in the general law. (Rules Proc. of State Bar, tit. IV, Stds. for Atty. Sanctions for Prof. Misconduct, std. 1.2(c)(1).)

### (3) Actual Suspension "And Until" Restitution (Single Payee) and Rehabilitation:

Respondent is suspended from the practice of law for , the execution of that suspension is stayed, and Respondent is placed on probation for with the following conditions.

- Respondent must be suspended from the practice of law for a minimum of the first of Respondent's probation, and Respondent will remain suspended until both of the following requirements are satisfied:
  - a. Respondent makes restitution to in the amount of \$ plus 10 percent interest per year from (or reimburses the Client Security Fund to the extent of any payment from the Fund to such payee, in accordance with Business and Professions Code section 6140.5) and furnishes satisfactory proof to the State Bar's Office of Probation in Los Angeles; and
  - Respondent provides proof to the State Bar Court of Respondent's rehabilitation, fitness to practice, and present learning and ability in the general law. (Rules Proc. of State Bar, tit. IV, Stds. for Atty. Sanctions for Prof. Misconduct, std. 1.2(c)(1).)

### (4) Actual Suspension "And Until" Restitution (Multiple Payees) and Rehabilitation:

Respondent is suspended from the practice of law for , the execution of that suspension is stayed, and Respondent is placed on probation for with the following conditions.

- Respondent must be suspended from the practice of law for a minimum of the first of Respondent's probation, and Respondent will remain suspended until both of the following requirements are satisfied:
  - a. Respondent must make restitution, including the principal amount plus 10 percent interest per year (and furnish satisfactory proof of such restitution to the Office of Probation), to each of the following payees (or reimburse the Client Security Fund to the extent of any payment from the Fund to such payee in accordance with Business and Professions Code section 6140.5):

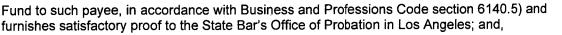
Payee	Principal Amount	Interest Accrues From
	· · · · · · · · · · · · · · · · · · ·	

 Respondent provides proof to the State Bar Court of Respondent's rehabilitation, fitness to practice, and present learning and ability in the general law. (Rules Proc. of State Bar, tit. IV, Stds. for Atty. Sanctions for Prof. Misconduct, std. 1.2(c)(1).)

### (5) Actual Suspension "And Until" Restitution (Single Payee) with Conditional Std. 1.2(c)(1) Requirement:

Respondent is suspended from the practice of law for , the execution of that suspension is stayed, and Respondent is placed on probation for with the following conditions.

- Respondent must be suspended from the practice of law for a minimum for the first of Respondent's probation, and Respondent will remain suspended until the following requirements are satisfied:
  - a. Respondent makes restitution to in the amount of \$ plus 10 percent interest per year from (or reimburses the Client Security Fund to the extent of any payment from the



 b. If Respondent remains suspended for two years or longer, Respondent must provide proof to the State Bar Court of Respondent's rehabilitation, fitness to practice, and present learning and ability in the general law. (Rules Proc. of State Bar, tit. IV, Stds. for Atty. Sanctions for Prof. Misconduct, std. 1.2(c)(1).)

## (6) Actual Suspension "And Until" Restitution (Multiple Payees) with Conditional Std. 1.2(c)(1) Requirement:

Respondent is suspended from the practice of law for , the execution of that suspension is stayed, and Respondent is placed on probation for with the following conditions.

- Respondent must be suspended from the practice of law for a minimum for the first of Respondent's probation, and Respondent will remain suspended until the following requirements are satisfied:
  - a. Respondent must make restitution, including the principal amount plus 10 percent interest per year (and furnish satisfactory proof of such restitution to the Office of Probation), to each of the following payees (or reimburse the Client Security Fund to the extent of any payment from the Fund to such payee in accordance with Business and Professions Code section 6140.5):

Payee	Principal Amount	Interest Accrues From	
· · · · · · · · · · · · · · · · · · ·		-	

 b. If Respondent remains suspended for two years or longer, Respondent must provide proof to the State Bar Court of Respondent's rehabilitation, fitness to practice, and present learning and ability in the general law. (Rules Proc. of State Bar, tit. IV, Stds. for Atty. Sanctions for Prof. Misconduct, std. 1.2(c)(1).)

### (7) Actual Suspension with Credit for Interim Suspension:

Respondent is suspended from the practice of law for , the execution of that suspension is stayed, and Respondent is placed on probation for with the following conditions.

Respondent is suspended from the practice of law for the first of probation (with credit given for the period of interim suspension which commenced on ).

### E. Additional Conditions of Probation:

(1) Review Rules of Professional Conduct: Within 30 days after the effective date of the Supreme Court order imposing discipline in this matter, Respondent must (1) read the California Rules of Professional Conduct (Rules of Professional Conduct) and Business and Professions Code sections 6067, 6068, and 6103 through 6126, and (2) provide a declaration, under penalty of perjury, attesting to Respondent's

compliance with this requirement, to the State Bar's Office of Probation in Los Angeles (Office of Probation) with Respondent's first quarterly report.

- (2) Comply with State Bar Act, Rules of Professional Conduct, and Probation Conditions: Respondent must comply with the provisions of the State Bar Act, the Rules of Professional Conduct, and all conditions of Respondent's probation.
- (3) Maintain Valid Official Membership Address and Other Required Contact Information: Within 30 days after the effective date of the Supreme Court order imposing discipline in this matter, Respondent must make certain that the State Bar Attorney Regulation and Consumer Resources Office (ARCR) has Respondent's current office address, email address, and telephone number. If Respondent does not maintain an office, Respondent must provide the mailing address, email address, and telephone number to be used for State Bar purposes. Respondent must report, in writing, any change in the above information to ARCR, within ten (10) days after such change, in the manner required by that office.
- (4) Meet and Cooperate with Office of Probation: Within 15 days after the effective date of the Supreme Court order imposing discipline in this matter, Respondent must schedule a meeting with Respondent's assigned probation case specialist to discuss the terms and conditions of Respondent's discipline and, within 30 days after the effective date of the court's order, must participate in such meeting. Unless otherwise instructed by the Office of Probation, Respondent may meet with the probation case specialist in person or by telephone. During the probation period, Respondent must promptly meet with representatives of the Office of Probation as requested by it and, subject to the assertion of applicable privileges, must fully, promptly, and truthfully answer any inquiries by it and provide to it any other information requested by it.
- (5) State Bar Court Retains Jurisdiction/Appear Before and Cooperate with State Bar Court: During Respondent's probation period, the State Bar Court retains jurisdiction over Respondent to address issues concerning compliance with probation conditions. During this period, Respondent must appear before the State Bar Court as required by the court or by the Office of Probation after written notice mailed to Respondent's official membership address, as provided above. Subject to the assertion of applicable privileges, Respondent must fully, promptly, and truthfully answer any inquiries by the court and must provide any other information the court requests.

### (6) X Quarterly and Final Reports:

- **a. Deadlines for Reports.** Respondent must submit written quarterly reports to the Office of Probation no later than each January 10 (covering October 1 through December 31 of the prior year), April 10 (covering January 1 through March 31), July 10 (covering April 1 through June 30), and October 10 (covering July 1 through September 30) within the period of probation. If the first report would cover less than 30 days, that report must be submitted on the next quarter date and cover the extended deadline. In addition to all quarterly reports, Respondent must submit a final report no earlier than ten (10) days before the last day of the probation period and no later than the last day of the probation period.
- b. Contents of Reports. Respondent must answer, under penalty of perjury, all inquiries contained in the quarterly report form provided by the Office of Probation, including stating whether Respondent has complied with the State Bar Act and the Rules of Professional Conduct during the applicable quarter or period. All reports must be: (1) submitted on the form provided by the Office of Probation; (2) signed and dated after the completion of the period for which the report is being submitted (except for the final report); (3) filled out completely and signed under penalty of perjury; and (4) submitted to the Office of Probation on or before each report's due date.
- c. Submission of Reports. All reports must be submitted by: (1) fax or email to the Office of Probation; (2) personal delivery to the Office of Probation; (3) certified mail, return receipt requested, to the Office of Probation (postmarked on or before the due date); or (4) other tracked-service provider, such as Federal Express or United Parcel Service, etc. (physically delivered to such provider on or before the due date).

- **d. Proof of Compliance.** Respondent is directed to maintain proof of Respondent's compliance with the above requirements for each such report for a minimum of one year after either the period of probation or the period of Respondent's actual suspension has ended, whichever is longer. Respondent is required to present such proof upon request by the State Bar, the Office of Probation, or the State Bar Court.
- (7) State Bar Ethics School: Within one year after the effective date of the Supreme Court order imposing discipline in this matter, Respondent must submit to the Office of Probation satisfactory evidence of completion of the State Bar Ethics School and passage of the test given at the end of that session. This requirement is separate from any Minimum Continuing Legal Education (MCLE) requirement, and Respondent will not receive MCLE credit for attending this session. If Respondent provides satisfactory evidence of completion of the Ethics School after the date of this stipulation but before the effective date of the Supreme Court's order in this matter, Respondent will nonetheless receive credit for such evidence toward Respondent's duty to comply with this condition.
- (8) State Bar Ethics School Not Recommended: It is not recommended that Respondent be ordered to attend the State Bar Ethics School because
- (9) State Bar Client Trust Accounting School: Within one year after the effective date of the Supreme Court order imposing discipline in this matter, Respondent must submit to the Office of Probation satisfactory evidence of completion of the State Bar Client Trust Accounting School and passage of the test given at the end of that session. This requirement is separate from any Minimum Continuing Legal Education (MCLE) requirement, and Respondent will not receive MCLE credit for attending this session. If Respondent provides satisfactory evidence of completion of the Client Trust Accounting School after the date of this stipulation but before the effective date of the Supreme Court's order in this matter, Respondent will nonetheless receive credit for such evidence toward Respondent's duty to comply with this condition.
- (10) Minimum Continuing Legal Education (MCLE) Courses California Legal Ethics [Alternative to State Bar Ethics School for Out-of-State Residents]: Because Respondent resides outside of California, within after the effective date of the Supreme Court order imposing discipline in this matter, Respondent must either submit to the Office of Probation satisfactory evidence of completion of the State Bar Ethics School and passage of the test given at the end of that session or, in the alternative, complete hours of California Minimum Continuing Legal Education-approved participatory activity in California legal ethics and provide proof of such completion to the Office of Probation. This requirement is separate from any MCLE requirement, and Respondent will not receive MCLE credit for this activity. If Respondent provides satisfactory evidence of completion of the Ethics School or the hours of legal education described above, completed after the date of this stipulation but before the effective date of the Supreme Court's order in this matter, Respondent will nonetheless receive credit for such evidence toward Respondent's duty to comply with this condition.
- (11) Criminal Probation: Respondent must comply with all probation conditions imposed in the underlying criminal matter and must report such compliance under penalty of perjury in all quarterly and final reports submitted to the Office of Probation covering any portion of the period of the criminal probation. In each quarterly and final report, if Respondent has an assigned criminal probation officer, Respondent must provide the name and current contact information for that criminal probation officer. If the criminal probation was successfully completed during the period covered by a quarterly or final report, that fact must be reported by Respondent in such report and satisfactory evidence of such fact must be provided with it. If, at any time before or during the period of probation, Respondent's criminal probation is revoked, Respondent is sanctioned by the criminal court, or Respondent's status is otherwise changed due to any alleged violation of the criminal probation conditions by Respondent, Respondent must submit the criminal court records regarding any such action with Respondent's next quarterly or final report.
- (12) Minimum Continuing Legal Education (MCLE): Within after the effective date of the Supreme Court order imposing discipline in this matter, Respondent must complete hour(s) of California Minimum Continuing Legal Education-approved participatory activity in SELECT ONE and must

provide proof of such completion to the Office of Probation. This requirement is separate from any MCLE requirement, and Respondent will not receive MCLE credit for this activity. If Respondent provides satisfactory evidence of completion of the hours of legal education described above, completed after the date of this stipulation but before the effective date of the Supreme Court's order in this matter, Respondent will nonetheless receive credit for such evidence toward Respondent's duty to comply with this condition.

- (13) **Other:** Respondent must also comply with the following additional conditions of probation:
- (14) Proof of Compliance with Rule 9.20 Obligations: Respondent is directed to maintain, for a minimum of one year after commencement of probation, proof of compliance with the Supreme Court's order that Respondent comply with the requirements of California Rules of Court, rule 9.20, subdivisions (a) and (c). Such proof must include: the names and addresses of all individuals and entities to whom Respondent sent notification pursuant to rule 9.20; a copy of each notification letter sent to each recipient; the original receipt or postal authority tracking document for each notification sent; the originals of all returned receipts and notifications of non-delivery; and a copy of the completed compliance affidavit filed by Respondent with the State Bar Court. Respondent is required to present such proof upon request by the State Bar, the Office of Probation, or the State Bar Court.
- (15) The following conditions are attached hereto and incorporated:
  - Financial Conditions
    Medical Conditions

Substance Abuse Conditions

The period of probation will commence on the effective date of the Supreme Court order imposing discipline in this matter. At the expiration of the probation period, if Respondent has complied with all conditions of probation, the period of stayed suspension will be satisfied and that suspension will be terminated.

### F. Other Requirements Negotiated by the Parties (Not Probation Conditions):

- (1) Multistate Professional Responsibility Examination Within One Year or During Period of Actual Suspension: Respondent must take and pass the Multistate Professional Responsibility Examination administered by the National Conference of Bar Examiners within one year after the effective date of the Supreme Court order imposing discipline in this matter or during the period of Respondent's actual suspension, whichever is longer, and to provide satisfactory proof of such passage to the State Bar's Office of Probation within the same period. Failure to do so may result in suspension. (Cal. Rules of Court, rule 9.10(b).) If Respondent provides satisfactory evidence of the taking and passage of the above examination after the date of this stipulation but before the effective date of the Supreme Court's order in this matter, Respondent will nonetheless receive credit for such evidence toward Respondent's duty to comply with this requirement.
- (2) Multistate Professional Responsibility Examination Requirement Not Recommended: It is not recommended that Respondent be ordered to take and pass the Multistate Professional Responsibility Examination because
- (3) California Rules of Court, Rule 9.20: Respondent must comply with the requirements of California Rules of Court, rule 9.20, and perform the acts specified in subdivisions (a) and (c) of that rule within 30 and 40 days, respectively, after the effective date of the Supreme Court order imposing discipline in this matter. Failure to do so may result in disbarment or suspension.

For purposes of compliance with rule 9.20(a), the operative date for identification of "clients being represented in pending matters" and others to be notified is the filing date of the Supreme Court order, not any later "effective" date of the order. (*Athearn v. State Bar* (1982) 32 Cal.3d 38, 45.) Further, Respondent is required to file a rule 9.20(c) affidavit even if Respondent has no clients to notify on the

date the Supreme Court filed its order in this proceeding. (*Powers v. State Bar* (1988) 44 Cal.3d 337, 341.) In addition to being punished as a crime or contempt, an attorney's failure to comply with rule 9.20 is, inter alia, cause for disbarment, suspension, revocation of any pending disciplinary probation, and denial of an application for reinstatement after disbarment. (Cal. Rules of Court, rule 9.20(d).)

(4) California Rules of Court, Rule 9.20 – Conditional Requirement: If Respondent remains suspended for 90 days or longer, Respondent must comply with the requirements of California Rules of Court, rule 9.20, and perform the acts specified in subdivisions (a) and (c) of that rule within 120 and 130 days, respectively, after the effective date of the Supreme Court order imposing discipline in this matter. Failure to do so may result in disbarment or suspension.

For purposes of compliance with rule 9.20(a), the operative date for identification of "clients being represented in pending matters" and others to be notified is the filing date of the Supreme Court order, not any later "effective" date of the order. (*Athearn v. State Bar* (1982) 32 Cal.3d 38, 45.) Further, Respondent is required to file a rule 9.20(c) affidavit even if Respondent has no clients to notify on the date the Supreme Court filed its order in this proceeding. (*Powers v. State Bar* (1988) 44 Cal.3d 337, 341.) In addition to being punished as a crime or contempt, an attorney's failure to comply with rule 9.20 is, inter alia, cause for disbarment, suspension, revocation of any pending disciplinary probation, and denial of an application for reinstatement after disbarment. (Cal. Rules of Court, rule 9.20(d).)

- (5) California Rules of Court, Rule 9.20, Requirement Not Recommended: It is not recommended that Respondent be ordered to comply with the requirements of California Rules of Court, rule 9.20, because
- (6) Other Requirements: It is further recommended that Respondent be ordered to comply with the following additional requirements:

### ATTACHMENT TO

### STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION

IN THE MATTER OF: WAYNE SUOJANEN

CASE NUMBER: 17-O-06470

### FACTS AND CONCLUSIONS OF LAW.

Respondent admits that the following facts are true and that he is culpable of violations of the specified statutes and/or Rules of Professional Conduct.

### Case No. 17-O-06470 (State Bar Investigation)

FACTS:

1. Respondent was required to affirm his compliance with Minimum Continuing Legal Education ("MCLE") requirements for the period February 1, 2014 through January 31, 2017 on or before February 1, 2017. Respondent did not affirm compliance.

2. On June 30, 2017, the State Bar sent a notice to respondent at his membership records address informing him that he had not yet complied with his MCLE reporting requirements. It further informed him that he would need to report compliance no later than August 31, 2017 or he would be placed on "Not Eligible to Practice" status effective September 1, 2017. Respondent received the letter.

3. On August 4, 2017, the State Bar sent an additional notice to respondent at his membership records address which again informed him that he would be placed on "Not Eligible to Practice" status on September 1, 2017 if he did not report his MCLE compliance. Respondent received the letter.

4. Prior to and during August and September 2017, respondent was also counsel of record for the appellant in case number E067720, then pending before the California Court of Appeals, Fourth Appellate District. Pursuant to a stipulation filed in that matter, the court granted an extension of time for respondent to file the Appellant's Opening Brief ("AOB"). The new due date was August 21, 2017. Respondent did not timely file the AOB.

5. On August 23, 2017, the court issued a notice to respondent of the failure to timely file the AOB. The notice stated that if the AOB was not filed within 15 days (or by September 7, 2017), the appeal would be dismissed.

6. On September 1, 2017, respondent was placed on inactive status and no longer entitled to practice law in the State of California.

7. On September 7, 2017, respondent attempted to file the AOB. However, the filing was rejected by the clerk of the court because it did not conform to the formatting and pleading rules of the court and its electronic filing system.

8. On September 8, 2017, respondent filed an Application for Extension of Time to File Brief and requested additional time to file the AOB. Specifically, he requested until September 12, 2017. He signed this document as counsel of record.

9. In the interim, respondent hired a formatting company to correctly format his AOB so that it would be accepted by the clerk of the court.

10. There were additional difficulties formatting the documents for electronic filing and the clerk recommended that respondent file an Amended Application for Extension of Time to File Brief. Respondent sent an undated Amended Application to the formatting company for filing.

11. On September 13, 2017, the formatting company, on respondent's behalf, attempted to file the Amended Application. However, it was rejected by the court as non-conforming.

12. Respondent informed his client of his inactive status and on September 13, 2017, respondent received a signed substitution form from his client after business hours. Respondent then forwarded that to the formatting company for filing. The substitution sought to allow respondent's client to represent himself.

13. On September 14, 2017, the formatting company filed, at respondent's request, the Amended Application bearing respondent's name and signature. The formatting company also filed the substitution form and respondent was substituted out of the matter.

14. At no point did respondent inform the court that he was not entitled to practice.

15. On October 24, 2017, respondent verified his MCLE compliance with the State Bar. He also included certificates of MCLE completion which demonstrated that he completed all of his MCLE courses between September 26 and October 20, 2017. Effective October 24, 2017, respondent was returned to active status.

CONCLUSIONS OF LAW:

16. By identifying himself as counsel of record in court documents and then filing or attempting to file those documents as counsel of record in case number E067720 then pending before the California Court of Appeals, Fourth Appellate District in September 2017, respondent held himself out as entitled to practice law, and actually practiced law, when respondent was not an active member of the State Bar. By practicing law when not entitled to do so, respondent violated Business and Professions Code, sections 6125 and 6126, and thereby willfully violated Business and Professions Code, section 6068(a).

17. By identifying himself as counsel of record in court documents and then filing or attempting to file those documents as counsel of record in case number E067720 then pending before the California Court of Appeals, Fourth Appellate District in September 2017, respondent held himself out as entitled to practice law, and actually practicing law, when respondent knew or was grossly negligent in not knowing, respondent was not an active member of the State Bar. Respondent thereby committed an act involving moral turpitude, dishonesty or corruption in willful violation of Business and Professions Code, section 6106.

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### AGGRAVATING CIRCUMSTANCES.

**Prior Record of Discipline (Std. 1.5(a)):** Respondent has one prior record of discipline. Effective May 27,2011, respondent received a two-year period of stayed suspension and a two-year period of probation with conditions including an actual suspension of 60 days. In three State Bar matters, respondent commingled personal funds in his client trust account ("CTA") and improperly issued CTA checks for personal or business purposes, issued CTA checks against insufficient funds, and failed to perform legal services with competence, practiced law while has was not an active member of the State Bar, and misrepresented his status as an attorney.

In September 2004, respondent was suspended for failure to pay his State Bar dues. During the period of his suspension, he made three court appearances and four filings in one matter before returning to active status. He did not inform the court of his suspension. In September 2005, respondent was suspended for failure to comply with his MCLE requirements. During the period of his suspension, he made one appearance, one filing, and engaged in a telephonic "meet and confer" meeting on three separate matters.

Attached as Exhibit 1, consisting of 11 pages, is a true and correct copy of the record of prior discipline.

Multiple Acts of Wrongdoing (Std. 1.5(b)): Respondent filed or attempted to file documents on four separate occasions when he was not authorized to practice of law. Multiple acts are an aggravating circumstance.

### MITIGATING CIRCUMSTANCES.

**Prefiling Stipulation:** By entering into this stipulation, respondent has acknowledged misconduct and is entitled to mitigation for recognition of wrongdoing and saving the State Bar significant resources and time. (*Silva-Vidor v. State Bar* (1989) 49 Cal.3d 1071, 1079 [where mitigative credit was given for entering into a stipulation as to facts and culpability]; *In the Matter of Spaith* (Review Dept. 1996) 3 Cal. State Bar Ct. Rptr. 511, 521 [where the attorney's stipulation to facts and culpability was held to be a mitigating circumstance].)

### **AUTHORITIES SUPPORTING DISCIPLINE.**

The Standards for Attorney Sanctions for Professional Misconduct "set forth a means for determining the appropriate disciplinary sanction in a particular case and to ensure consistency across cases dealing with similar misconduct and surrounding circumstances." (Rules Proc. of State Bar, tit. IV, Stds. for Atty. Sanctions for Prof. Misconduct, std. 1.1. All further references to standards are to this source.) The standards help fulfill the primary purposes of discipline, which include: protection of the public, the courts and the legal profession; maintenance of the highest professional standards; and preservation of public confidence in the legal profession. (See std. 1.1; *In re Morse* (1995) 11 Cal.4th 184, 205.)

Although not binding, the standards are entitled to "great weight" and should be followed "whenever possible" in determining level of discipline. (*In re Silverton* (2005) 36 Cal.4th 81, 92, quoting *In re Brown* (1995) 12 Cal.4th 205, 220 and *In re Young* (1989) 49 Cal.3d 257, 267, fn. 11.) Adherence to the standards in the great majority of cases serves the valuable purpose of eliminating disparity and assuring consistency, that is, the imposition of similar attorney discipline for instances of similar attorney misconduct. (*In re Naney* (1990) 51 Cal.3d 186, 190.) If a recommendation is at the high end or low end of a standard, an explanation must be given as to how the recommendation was reached. (Std. 1.1.)

"Any disciplinary recommendation that deviates from the Standards must include clear reasons for the departure." (Std. 1.1; *Blair v. State Bar* (1989) 49 Cal.3d 762, 776, fn. 5.)

In determining whether to impose a sanction greater or less than that specified in a given standard, in addition to the factors set forth in the specific standard, consideration is to be given to the primary purposes of discipline; the balancing of all aggravating and mitigating circumstances; the type of misconduct at issue; whether the client, public, legal system or profession was harmed; and the member's willingness and ability to conform to ethical responsibilities in the future. (Stds. 1.7(b) and (c).)

Standard 2.11 applies to respondent's act of moral turpitude and presumes disbarment or actual suspension. Additionally, because respondent has a prior record of discipline, Standard 1.8(a) is also implicated. It states that where an attorney has one prior record of discipline, the sanction must be greater than the previous sanction absent exceptions not applicable here. Respondent last received an actual suspension of 60 days, and therefore any sanction must be greater.

Even a single appearance, if done knowingly, supports a finding of moral turpitude. (*In the Matter of Burke* (Review Dept. 2016) 5 Cal. State Bar Ct. Rptr. 448, 459.) Respondent knowingly engaged in the unauthorized practice of law. "...an attorney cannot expressly or impliedly create or leave undisturbed the false impression that he or she has the present or future ability to practice law when in fact he or she is or will be on suspension." (*In the Matter of Wyrick* (Review Dept. 1992) 2 Cal. State Bar Ct. Rptr. 83, 91.) Here, respondent took no steps to advise the court that he was not authorized to practice even as he made multiple filings and attempted filings.

What makes the misconduct more concerning is that respondent has a prior record of discipline which includes, *inter alia*, nearly identical misconduct. Respondent has previously been disciplined for engaging in the unauthorized practice of law while on suspension for MCLE non-compliance. This tends to indicate that the prior sanction was insufficient to deter further misconduct and a more severe sanction is required. (*See, e.g., In the Matter of Kaplan* (Review Dept. 1996) 3 Cal. State Bar Ct. Rptr. 547, 564 (finding a prior record "strongly aggravating" where it involved similar misconduct).)

Given the repetition of the misconduct, a significantly increased period of actual suspension is warranted. Therefore, respondent should be suspended for a period of two years with the execution of that suspension stayed. Respondent should also be placed on probation for a period of three years with conditions including an actual suspension of six months. Doing so is necessary to protect the public, the courts, and the legal profession; maintain the highest professional standards; and preserve public confidence in the profession.

Case law supports this level of discipline. In *In the Matter of Wells* (Review Dept. 2006) 4 Cal. State Bar Ct. Rptr. 896, the attorney was found culpable of engaging in the unauthorized practice of law in another jurisdiction, collecting illegal fees, failing to refund those fees, and of an act of moral turpitude in making a misrepresentation to the State Bar during the disciplinary investigation. Licensed only in California, the *Wells* attorney opened an office in South Carolina and practiced law in at least two client matters. Because she had an honest belief that she was allowed to practice law in a *pro hac vice* status, she was found to have violated only Business and Professions Code, section 6068(a) and not section 6106. However, culpability was found for violating section 6106 in a separate count for misrepresenting her representation of clients in a response to the State Bar.

The *Wells* attorney received "significant" mitigation for good character, emotional distress, and signing a stipulation. The conduct was aggravated by a prior private reproval, multiple acts, harm to the public, and indifference. The Review Department recommended a two year stayed suspension and a two year period of probation with conditions including an actual suspension for six months.

Like the *Wells* attorney, respondent has engaged in the unauthorized practice of law. And, as in *Wells*, respondent engaged in moral turpitude. However, there are also significant differences in the gravity of the misconduct. For instance, the *Wells* attorney engaged in the unauthorized practice of law in two client matters over a period of approximately 13 months. By contrast, the present matter involves only one client and occurred over a period of less than a month. Moreover, the *Wells* attorney engaged in a multiplicity of actions constituting UPL. By contrast, respondent's unauthorized practice was limited to a single client. In addition, the present matter does not involve illegal fees or a refusal to return those fees.

Nevertheless, the present misconduct is much more highly aggravated. The prior discipline is much more significant here both in terms of its severity and in its relation to the present misconduct. Further, respondent lacks the "significant" mitigation present in *Wells*. Therefore, this matter presents less serious misconduct that is much more highly aggravated. Under these circumstances, discipline in line with *Wells* – including a six-month period of actual suspension – is appropriate.

### COSTS OF DISCIPLINARY PROCEEDINGS.

Respondent acknowledges that the Office of Chief Trial Counsel has informed respondent that as of June 26, 2018, the discipline costs in this matter are estimated to be \$3,255. Respondent further acknowledges that should this stipulation be rejected or should relief from the stipulation be granted, the costs in this matter may increase due to the cost of further proceedings.

### **EXCLUSION FROM MINIMUM CONTINUING LEGAL EDUCATION ("MCLE") CREDIT**

Respondent may <u>not</u> receive MCLE credit for completion of **State Bar Ethics School.** (Rules Proc. of State Bar, rule 3201.)

15

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In the Matter of: WAYNE WILLIAM SUOJANEN



Case Number(s): 17-O-06470

### **SIGNATURE OF THE PARTIES**

By their signatures below, the parties and their counsel, as applicable, signify their agreement with each of the recitations and each of the terms and conditions of this Stipulation Re Facts, Conclusions of Law, and Disposition.

Friday 13, 2018 Wayne Suojanen Respondent's Signature Print Name

Date 7-/6-/8 Date Deputy

Respondent's Counsel Signature

Peputy Trial Counsel's Signature

Print Name

Drew Massey Print Name

In the Matter of: WAYNE WILLIAM SUOJANEN Case Number(s): 17-O-06470

### ACTUAL SUSPENSION ORDER

Finding the stipulation to be fair to the parties and that it adequately protects the public, IT IS ORDERED that the requested dismissal of counts/charges, if any, is GRANTED without prejudice, and:

- The stipulated facts and disposition are APPROVED and the DISCIPLINE RECOMMENDED to the Supreme Court.
- The stipulated facts and disposition are APPROVED AS MODIFIED as set forth below, and the DISCIPLINE IS RECOMMENDED to the Supreme Court.
- All Hearing dates are vacated.

The parties are bound by the stipulation as approved unless: 1) a motion to withdraw or modify the stipulation, filed within 15 days after service of this order, is granted; or 2) this court modifies or further modifies the approved stipulation. (See Rules Proc. of State Bar, rule 5.58(E) & (F).) The effective date of this disposition is the effective date of the Supreme Court order herein, normally 30 days after the filed date of the Supreme Court order. (See Cal. Rules of Court, rule 9.18(a).)

8/6/18

Date

**DONALD F. MILES** Judge of the State Bar Court



# SUPREME COURT

APR 2 7 2011

Frederick K. Charlich Clerk

### State Bar Court Nos. 04-O-15147 (05-O-04615); 07-O-11193 (Cons.) Deputy

### S190812

### IN THE SUPREME COURT OF CALIFORNIA

### En Banc

### In re WAYNE WILLIAM SUOJANEN on Discipline

The court orders that Wayne William Suojanen, State Bar Number 193627, is suspended from the practice of law in California for two years, execution of that period of suspension is stayed, and he is placed on probation for two years subject to the following conditions:

- 1. Wayne William Suojanen is suspended from the practice of law for the first 60 days of probation;
- 2. Wayne William Suojanen must comply with the other conditions of probation recommended by the Hearing Department of the State Bar Court in its Decision filed on December 22, 2010; and
- 3. At the expiration of the period of probation, if Wayne William Suojanen has complied with all conditions of probation, the two-year period of stayed suspension will be satisfied and that suspension will be terminated.

Wayne William Suojanen must also take and pass the Multistate Professional Responsibility Examination within one year after the effective date of this order and provide satisfactory proof of such passage to the State Bar's Office of Probation in Los Angeles within the same period. Failure to do so may result in an automatic suspension. (Cal. Rules of Court, rule 9.10(b).) Costs are awarded to the State Bar in accordance with Business and Professions Code section 6086.10 and are enforceable both as provided in Business and Professions Code section 6140.7 and as a money judgment.

I, Frederick K. Ohlrich, Clerk of the Supreme Court of the State of California, do hereby certify that the preceding is a true copy of an order of this Court as shown by the records of my office

Witness my hand and the seal of the Court this \_\_\_\_\_\_day of <u>APR 2 7 2011</u> 20\_\_\_\_\_ By: <u>\_\_\_\_\_\_</u> Deputy

## CANTIL-SAKAUYE

**Chief Justice** 

## **PUBLIC MATTER**

FILE

### **STATE BAR COURT OF CALIFORNIA**

### **HEARING DEPARTMENT – LOS ANGELES**

In the Matter of

WAYNE WILLIAM SUOJANEN,

Member No. 193627,

A Member of the State Bar.

Case Nos.: 04-O-15147-RAP (05-O-04615); 07-O-11193 (Cons.)

### DECISION AND ORDER SEALING CERTAIN DOCUMENTS

### I. INTRODUCTION

In this disciplinary proceeding, respondent Wayne William Suojanen ("respondent") was accepted for participation in the State Bar Court's Alternative Discipline Program ("ADP"). As the court has now found that respondent has successfully completed the ADP, the court will recommend to the Supreme Court that respondent be suspended from the practice of law in California for two years, that execution of that period of suspension be stayed, and that he be placed on probation for two years subject to certain conditions, including a 60-day period of suspension.

### **II. PERTINENT PROCEDURAL HISTORY**

In March 2006, respondent contacted the State Bar's Lawyer Assistance Program ("LAP") to assist him with his mental health issue. Respondent subsequently signed a LAP Participation Plan. On April 27, 2006, the State Bar of California's Office of the Chief Trial Counsel ("State Bar") filed a Notice of Disciplinary Charges ("NDC") against respondent in case nos. 04-O-15147 (05-O-04615). Respondent sought to participate in the State Bar Court's ADP. On June 29, 2006, this matter was referred to the ADP.

On October 17, 2006, respondent submitted a declaration to the court, which established a nexus between respondent's mental health issue and the charges in case nos. 04-O-15147 (05-O-04615).

The parties entered into a Stipulation Re Facts and Conclusions of Law ("Stipulation") in May 2007. The Stipulation set forth the factual findings, legal conclusions and mitigating and aggravating circumstances involved in case nos. 04-O-15147 (05-O-04615).

Following briefing by the parties, the court issued a Confidential Statement of Alternative Dispositions and Orders dated August 9, 2007, formally advising the parties of: (1) the discipline which would be recommended to the Supreme Court if respondent successfully completed the ADP; and (2) the discipline which would be recommended if respondent failed to successfully complete or was terminated from the ADP. After agreeing to those alternative dispositions, respondent and his counsel executed the Contract and Waiver for Participation in the State Bar Court's ADP; the court accepted respondent for participation in the ADP; and respondent's period of participation in the ADP began on August 9, 2007.

On August 31, 2009, however, the State Bar filed a second NDC against respondent in case no. 07-O-11193. On September 10, 2009, this matter was referred to the ADP. Case no. 07-O-11193 was subsequently consolidated with case nos. 04-O-15147 (05-O-04615).

On November 30, 2009, respondent submitted a declaration to the court, which established a nexus between respondent's mental health issue and the charges in case no. 07-O-11193.

- 2 -

The parties entered into a First Amended Stipulation Re Facts and Conclusions of Law ("Amended Stipulation") in January 2010. The Amended Stipulation set forth the factual findings, legal conclusions and mitigating and aggravating circumstances involved in case no. 07-O-11193.

Following briefing by the parties, the court, on March 24, 2010, issued an Order Amending the Confidential Statement of Alternative Dispositions and Orders. This order modified: (1) the discipline which would be recommended to the Supreme Court if respondent successfully completed the ADP; and (2) the discipline which would be recommended if respondent failed to successfully complete or was terminated from the ADP. After respondent agreed to these modifications, the court issued an Agreement and Order Amending Contract and Waiver for Participation in the State Bar Court's ADP.

Respondent participated successfully in both the LAP and the State Bar Court's ADP. On October 5, 2010, after receiving a Certificate of One Year of Participation in the LAP -Mental Health, the court filed an order finding that respondent has successfully completed the ADP.

### **III. FINDINGS OF FACT AND CONCLUSIONS OF LAW**

The parties' Stipulation and Amended Stipulation, including the court's orders approving the Stipulation and Amended Stipulation, are attached hereto and hereby incorporated by reference, as if fully set forth herein. The Stipulation and Amended Stipulation set forth the factual findings, legal conclusions, and aggravating and mitigating circumstances in this matter. Altogether, respondent stipulated to seven counts of misconduct.

In case no. 04-O-15147, respondent commingled personal funds in his client trust account ("CTA") and improperly issued CTA checks for personal or business purposes. In case no. 05-O-04615, respondent issued CTA checks against insufficient funds. In case no. 07-O-11193,

- 3 -

respondent failed to perform legal services with competence, practiced law while has was not an active member of the State Bar, and misrepresented his status as an attorney.

In aggravation, respondent's misconduct involved trust funds and resulted in significant harm.

In mitigation, respondent was candid and cooperative with the State Bar and had no prior record of discipline. In addition, respondent successfully completed the ADP. Respondent's successful completion of the ADP, which required his successful participation in the LAP, as well as the Certificate of One Year Participation in the Lawyer Assistance Program - Mental Health, qualify as clear and convincing evidence that respondent no longer suffers from the mental health issue which led to his misconduct. Accordingly, it is appropriate to consider respondent's successful completion of the ADP as a mitigating circumstance in this matter.

### **IV. DISCUSSION**

The purpose of State Bar disciplinary proceedings is not to punish the attorney but, rather, to protect the public, preserve public confidence in the legal profession, and maintain the highest possible professional standards for attorneys. (*Chadwick v. State Bar* (1989) 49 Cal.3d 103, 111.)

In determining the appropriate alternative discipline recommendations if respondent successfully completed the ADP or was terminated from, or failed to successfully complete, the ADP, the court considered the discipline recommended by the parties, as well as certain standards and case law. In particular, the court considered standards 1.3, 1.4, 1.5, 1.6, 2.2(b), 2.3, 2.4(b), and 2.6, and *In the Matter of Doran* (Review Dept. 1998) 3 Cal. State Bar Ct. Rptr. 871; *In the Matter of McKiernan* (Review Dept. 1995) 3 Cal. State Bar Ct. Rptr. 420; *In the Matter of Broderick* (Review Dept. 1994) 3 Cal. State Bar Ct. Rptr. 138; *In the Matter of Heiser* (Review Dept. 1990) 1 Cal. State Bar Ct. Rptr. 47; *In the Matter of Koehler* (Review Dept. 1991)

- 4 -

1 Cal. State Bar Ct. Rptr. 615; and In the Matter of Miller (Review Dept. 1990) 1 Cal. State Bar Ct. Rptr. 131.

Because respondent has now successfully completed the ADP, this court, in turn, now recommends to the Supreme Court the imposition of the lower level of discipline, set forth more fully below, contained in the Confidential Statement of Alternative Dispositions and Orders, as amended on March 24, 2010.

### V. DISCIPLINE

It is hereby recommended that respondent **Wayne William Suojanen**, State Bar Number 193627, be suspended from the practice of law in California for two years, that execution of that period of suspension be stayed, and that he be placed on probation<sup>1</sup> for a period of two years subject to the following conditions:

- 1. Respondent Wayne William Suojanen is suspended from the practice of law for the first 60 days of probation;
- 2. Respondent Wayne William Suojanen must also comply with the following additional conditions of probation:
  - a. During the probation period, respondent must comply with the provisions of the State Bar Act and the Rules of Professional Conduct of the State Bar of California;
  - b. Within ten (10) days of any change, respondent must report to the Membership Records Office of the State Bar and to the Office of Probation of the State Bar of California ("Office of Probation"), all changes of information, including current office address and telephone number, or other address for State Bar purposes, as prescribed by section 6002.1 of the Business and Professions Code;
  - c. Within thirty (30) days after the effective date of discipline, respondent must contact the Office of Probation and schedule a meeting with respondent's assigned probation deputy to discuss these terms and conditions of probation. Upon the direction of the Office of Probation, respondent must meet with the probation deputy either in person or by

<sup>&</sup>lt;sup>1</sup> The probation period will commence on the effective date of the Supreme Court order imposing discipline in this matter. (See Cal. Rules of Court, rule 9.18.)

telephone. During the period of probation, respondent must promptly meet with the probation deputy as directed and upon request;

d. Respondent must submit written quarterly reports to the Office of Probation on each January 10, April 10, July 10 and October 10 of the period of probation. Under penalty of perjury, respondent must state whether respondent has complied with the State Bar Act, the Rules of Professional Conduct, and all conditions of probation during the preceding calendar quarter. Respondent must also state whether there are any proceedings pending against him in the State Bar Court and if so, the case number and current status of that proceeding. If the first report would cover less than thirty (30) days, that report must be submitted on the next quarter date, and cover the extended period.

In addition to all quarterly reports, a final report, containing the same information, is due no earlier than twenty (20) days before the last day of the period of probation and no later than the last day of the probation period;

- e. Subject to the assertion of applicable privileges, respondent must answer fully, promptly and truthfully any inquiries of the Office of Probation which are directed to respondent personally or in writing relating to whether respondent is complying or has complied with the probation conditions;
- f. Within one (1) year of the effective date of the discipline herein, respondent must provide to the Office of Probation satisfactory proof of attendance at a session of the State Bar Ethics School, and passage of the test given at the end of that session;
- g. Respondent must comply with all provisions and conditions of his Participation Agreement with the LAP and must provide the Office of Probation with certification of completion of the LAP. Respondent must immediately report any non-compliance with any provision(s) or condition(s) of his Participation Agreement to the Office of Probation. Respondent must provide an appropriate waiver authorizing the LAP to provide the Office of Probation and this court with information regarding the terms and conditions of respondent's participation in the LAP and his compliance or non-compliance with LAP requirements. Revocation of the written waiver for release of LAP information is a violation of this condition. Respondent will be relieved of this condition upon providing to the Office of Probation satisfactory certification of completion of the LAP.

At the expiration of the period of probation, if Wayne William Suojanen has complied with all conditions of probation, the two-year period of stayed suspension will be satisfied and that suspension will be terminated. It is also recommended that Wayne William Suojanen take and pass the Multistate Professional Responsibility Examination ("MPRE") administered by the National Conference of Bar Examiners, MPRE Application Department, P.O. Box 4001, Iowa City, Iowa, 52243, (telephone 319-337-1287) and provide proof of passage to the State Bar's Office of Probation in Los Angeles, within one year after the effective date of the discipline herein. Failure to pass the MPRE within the specified time results in actual suspension by the Review Department, without further hearing, until passage. (But see Cal. Rules of Court, rule 9.10(b), and Rules Proc. of State Bar, rule 321(a)(1) and (3).)

It is not recommended that Wayne William Suojanen be required to attend the State Bar's Client Trust Accounting school, as he satisfactorily completed this school in August 2008.

### VI. COSTS

It is recommended that costs be awarded to the State Bar in accordance with Business and Professions Code section 6086.10, and are enforceable both as provided in Business and Professions Code section 6140.7 and as a money judgment.

### VII. DIRECTION RE DECISION AND ORDER SEALING CERTAIN DOCUMENTS

The court directs a court case administrator to file this Decision and Order Sealing Certain Documents. Thereafter, pursuant to rule 806(c) of the Rules of Procedure of the State Bar of California ("Rules of Procedure"), all other documents not previously filed in this matter are ordered sealed pursuant to rule 23 of the Rules of Procedure.

It is further ordered that protected and sealed material will only be disclosed to: (1) parties to the proceeding and counsel; (2) personnel of the Supreme Court, the State Bar Court and independent audiotape transcribers; and (3) personnel of the Office of Probation when necessary for their duties. Protected material will be marked and maintained by all authorized individuals in a manner calculated to prevent improper disclosures. All persons to whom

- 7 -

• protected material is disclosed will be given a copy of this order sealing the documents by the person making the disclosure.

### IT IS SO ORDERED.

Dated: December 20, 2010.

RICHARD A. PLATEL Judge of the State Bar Court

### **CERTIFICATE OF SERVICE**

[Rule 62(b), Rules Proc.; Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of Los Angeles, on December 22, 2010, I deposited a true copy of the following document(s):

### DECISION AND ORDER SEALING CERTAIN DOCUMENTS STIPULATION RE FACTS AND CONCLUSIONS OF LAW STIPULATION RE FACTS AND CONCLUSIONS OF LAW

in a sealed envelope for collection and mailing on that date as follows:

by first-class mail, with postage thereon fully prepaid, through the United States Postal . Service at Los Angeles, California, addressed as follows:

WAYNE W. SUOJANEN SUOJANEN LAW OFC 26895 ALISO CREEK RD STE B-440 ALISO VIEJO, CA 92656

 $\boxtimes$ 

by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

CHARLES MURRAY, Enforcement, Los Angeles

I hereby certify that the foregoing is true and correct. Executed in Los Angeles, California; on December 22, 2010. Johnnie Lee Smith

Case Administrator State Bar Court



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The document to which this certificate is affixed is a full, true and correct copy of the original on file and of record in the State Bar Court.

ATTEST July 17, 2018 State Bar Court, State Bar of California, Los Angeles utins By\_\_\_\_\_ Clerk

### **CERTIFICATE OF SERVICE**

### [Rules Proc. of State Bar; Rule 5.27(B); Code Civ. Proc., § 1013a(4)]

I am a Court Specialist of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of Los Angeles, on August 6, 2018, I deposited a true copy of the following document(s):

# STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING

in a sealed envelope for collection and mailing on that date as follows:

by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at Los Angeles, California, addressed as follows:

WAYNE W. SUOJANEN SUOJANEN LAW OFC 26895 ALISO CREEK RD STE B-440 ALISO VIEJO, CA 92656

by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

DREW MASSEY, Enforcement, Los Angeles

I hereby certify that the foregoing is true and correct. Executed in Los Angeles, California, on August 6, 2018.

Paul Songco Court Specialist State Bar Court