

THE STATE BAR OF CALIFORNIA
OFFICE OF THE CHIEF TRIAL COUNSEL
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Los Angeles, California 90017-2515
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FILED

JUL 10 2014

STATE BAR COURT
CLERK'S OFFICE
LOS ANGELES

IN THE STATE BAR COURT OF THE STATE BAR OF CALIFORNIA

IN THE MATTER OF THE)
CONVICTION OF:) Case No. 14-C-2371
)
) Transmittal of Records of Conviction of Attorney (Bus. & Prof.
JOHN THOMAS RAFFLE,) Code §§ 6101-6102; Cal. Rules of Court, rule 9.5 et seq.)
No. 170973,) Felony;
) Crime(s) involved moral turpitude;
A Member of the State Bar.) Probable cause to believe the crime(s) involved moral
) turpitude;
) Crime(s) which may or may not involve moral turpitude or
) other misconduct warranting discipline;
) Transmittal of Notice of Finality of Conviction.
)

To the CLERK OF THE STATE BAR COURT:

1. Transmittal of records.

A. Pursuant to the provisions of Business and Professions Code, section 6101-6102 and California Rules of Court, rule 9.5 et seq., the Office of the Chief Trial Counsel transmits a certified copy of the record of convictions of the following member of the Bar and for such consideration and action as the Court deems appropriate:

B. Notice of Appeal

C. Evidence of Finality of Conviction (Mandate)

D. Other

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Name of Member: JOHN THOMAS RAFFLE

Date member admitted to practice law in California: June 6, 1994

Member's Address of Record: ElectroCore LLC

51 Gibraltar Dr., Ste. 3C

Morris Plains, NJ 07950

2. Date and court of conviction; offense(s).

The record of conviction reflects that the above-named member of the State Bar was convicted as follows:

Date of entry of conviction: July 29, 2013

Convicting court: U.S. District Court, Western District of Texas

Case number(s): 12-CR-00314

Crime(s) of which convicted and classification(s): Violation of Title 18 United States Code §371 (Conspiracy to Commit Offense against United States (Wire, Mail and Securities Fraud)), a felony involving moral turpitude as in *In re Berman* (1989) 48 Cal.3d 517 and analogous to *In re Utz* (1989) 48 Cal.3d 468; and Title 18 United States Code §§1001 and 2 (False Statements), a felony which involves moral turpitude.

3. Compliance with Rule 9.20. (Applicable only if checked.)

We bring to the Court's attention that, should the Court enter an order of interim suspension herein, the Court may wish to require the above-named member to comply with the provisions of rule 9.20, California Rules of Court, paragraph (a), within 30 days of the effective date of any such order; and to file the affidavit with the Clerk of the State Bar Court provided for in paragraph (c) of rule 9.20 within 40 days of the effective date of said order, showing the member's compliance with the provisions of rule 9.20.

4. Other information to assist the State Bar Court

Sentencing is set for August 29, 2014. Upon finality of the conviction, the State Bar will seek a request for summary disbarment.

DOCUMENTS TRANSMITTED:

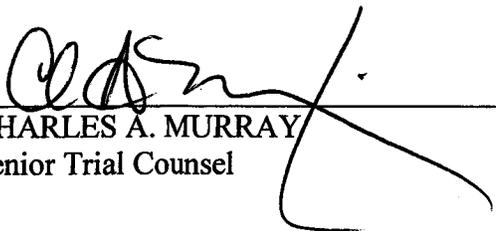
Certified Superseding Information
Certified Plea
Certified Factual Basis
Certified Report and Recommendation of US Magistrate Judge
Certified Docket

THE STATE BAR OF CALIFORNIA
OFFICE OF THE CHIEF TRIAL COUNSEL

DATED:

7/7/2014

BY:



CHARLES A. MURRAY
Senior Trial Counsel

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DECLARATION OF SERVICE BY CERTIFIED MAIL

CASE NUMBER: 14-C-2371

I, the undersigned, over the age of eighteen (18) years, whose business address and place of employment is the State Bar of California, 845 South Figueroa Street, Los Angeles, California 90017, declare that I am not a party to the within action; that I am readily familiar with the State Bar of California's practice for collection and processing of correspondence for mailing with the United States Postal Service; that in the ordinary course of the State Bar of California's practice, correspondence collected and processed by the State Bar of California would be deposited with the United States Postal Service that same day; that I am aware that on motion of party served, service is presumed invalid if postal cancellation date or postage meter date on the envelope or package is more than one day after date of deposit for mailing contained in the affidavit; and that in accordance with the practice of the State Bar of California for collection and processing of mail, I deposited or placed for collection and mailing in the City and County of Los Angeles, on the date shown below, a true copy of the within

Transmittal of Records of Conviction of Attorney; Certified Superseding Information; Certified Plea; Certified Factual Basis; Certified Report and Recommendation of US Magistrate Judge; Certified Docket

in a sealed envelope placed for collection and mailing as certified mail, return receipt requested, Article No.: 7196 9008 9111 1006 8739, at Los Angeles, on the date shown below, addressed to:

**John Thomas Raffle
ElectroCore LLC
51 Gibraltar Drive, Ste. 3C
Morris Plains, NJ 07950**

And courtesy copies via regular mail to:

**Glenn C. Colton
Dentons US LLP
1221 Avenue of the Americas
New York, NY 10020**

**Margaret D. Hall
SNR Dentons US LLP
2000 McKinney Ave., Ste. 1900
Dallas, TX 75201-6776**

**Gene R. Besen
SNR Dentons US LLP
2000 McKinney Ave., Ste. 1900
Dallas, TX 75201-6776**

in an inter-office mail facility regularly maintained by the State Bar of California addressed to:

N/A

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed at Los Angeles, California, on the date shown below.

DATED: July 10, 2014

Signed: 
**Lupe Pacheco
Declarant**